

05-23-2005

7/3/02



BOX ASSIGNMENTS

102908685

DO NOT USE FOR TRADEMARKS

RECORDATION FORM COVER SHEET

PATENT APPLICATIONS & PATENTS ONLY

TO THE ASSISTANT COMMISSIONER OF PATENTS AND TRADEMARKS:

SIR: PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. NAME OF CONVEYING PARTY(IES) (ASSIGNORS(S)):

1. Donald H. Picker

2. Gary S. Jacob

3.

4.

5.

6.

7.

8.

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☒ NO

2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: Synergy Pharmaceuticals, Inc.

ADDRESS: Two Executive Drive, Suite 450, Somerset, New Jersey 08873

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

3. NATURE OF CONVEYANCE (DOCUMENT):

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)☒ ASSIGNMENT OF☐ WHOLE☒ PART INTEREST☒ ORIGINAL☐ FACSIMILE/PHOTOCOPY☐ CHANGE OF NAME☐ VERIFIED TRANSLATION☐ SECURITY ☐ MERGER☐ OTHER:

EXEC. DATE: June 3 and May 30, 2002

EXECUTION DATE(S) ON THE DECLARATION IF FILED HEREWITH: (NOTE: IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE ATTY!) June 3 and May 30, 2002

4.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached? ☐ YES ☐ NO

A. PAT. APP. NO.(S)

M#

1* INVENTOR

B. PATENT NO(S)

M#

1* INVENTOR

series code/serial no

if not in item 1

if not in item 1

10/031,145

0284934

Zitzmann

5. Name & Address of Party to Whom Correspondence Concerning Document Should be Mailed:

Pillsbury Winthrop LLP
Intellectual Property Group
1600 Tysons Boulevard McLean, VA 22102

6. NUMBER INVOLVED:

APPLNS 1 + PATS 0 = TOTAL = 1

7. AMOUNT OF FEE DUE: (Code 581)

ABOVE TOTAL x \$40 = \$40

Pd

5.5 ATTY DKT:

0284934

8. PLEASE CHARGE TO OUR DEPOSIT ACCOUNT

NUMBER: 03-3975

UNDER ORDER NO

081361

0284934

MATTER NO.

CLIENT REF.

dup. sheet not required

CLIENT NO.

MATTER NO.

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Signature

Attorney: Robert W. Hahl

Reg. No. 33,893

Date: July 3, 2002

Atty/Sec: RWH/kmh

TEL: (703) 905-2251

FAX: (703) 905-2500

10. Total number of pages including this cover sheet, attachments and document (do not file dup. Cover sheet)

3

FILE WITH PTO RETURN RECEIPT (PAT-103A)

Please return signed/recorded to:
Pillsbury Winthrop LLP
Intellectual Property Group
1600 Tysons Boulevard
McLean, VA 22102

Atty. Dkt. PMS 284934 | OTT-3337-1
M# Client Ref.

ASSIGNMENT
of U.S. Origin Patent Application

WHEREAS, the undersigned, to wit:

1) <u>Donald H. PICKER</u>	2) <u>Gary S. JACOB</u>
3) _____	4) _____
5) _____	6) _____
7) _____	8) _____
9) _____	10) _____
11) _____	

(hereinafter collectively ASSIGNOR), has/have made an invention known as Dkt. 284934

and entitled: Long chain N-alkyl compounds and OXA derivatives thereof

for which an application for Letters Patent of the United States

☐ was executed even date herewith and is about to be filed in the United States Patent and Trademark Office;

☒ was filed on January 17, 2002, Appln. No. 10/031,145 ;

AND WHEREAS Synergy Pharmaceuticals, Inc.

(hereinafter ASSIGNEE), duly organized and existing under the laws of the State of Delaware, and having
its principal office and place of business at Two Executive Drive, Suite 450, Somerset, NJ 08873,
desires to acquire an interest therein;

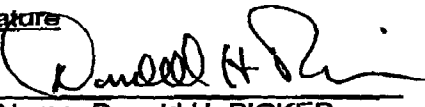

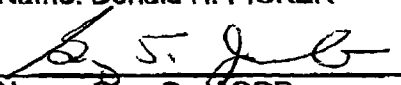
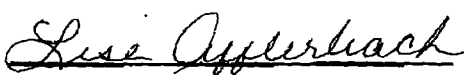
NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said ASSIGNOR, does hereby sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right, title and interest to the said invention in the United States and all foreign countries, as described in the aforesaid application, and to the said application and to all continuations, divisions, reissues and substitutes of said application, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and ASSIGNOR hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives.

AND ASSIGNOR hereby agrees to execute any papers requested by ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby transferred.

ASSIGNOR furthermore agrees upon request of said ASSIGNEE, and without further remuneration, to execute any and all papers desired by said ASSIGNEE for the filing and granting of foreign applications and the perfecting of title thereto in said ASSIGNEE.

NOTE: The undersigned hereby authorizes Pillsbury Winthrop LLP of the above address to insert hereon any further identification necessary or desirable for recordation of this document.

Executed on the date(s) below indicated.

<u>Signature</u>	<u>Date Signed</u>	<u>Witness</u>
1)  Name: Donald H. PICKER	<u>6/3/02</u>	 Lisa Apperbach
2)  Name: Gary S. JACOB	<u>5/30/02</u>	 Lisa Apperbach
3) _____ Name: _____	_____	_____
4) _____ Name: _____	_____	_____
5) _____ Name: _____	_____	_____
6) _____ Name: _____	_____	_____
7) _____ Name: _____	_____	_____
8) _____ Name: _____	_____	_____