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COVER SHEET

Attorney Docket Number  
8111-046-999

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## 1. Name of conveying party(ies):

Jun-Kye BAE,  
Jun-Hee KIM,  
Young-Geun HAN,  
Sang-Hyuck KIM,  
Sang-Bae LEE

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: Korean Institute of Science and TechnologyAddress: 39-1 Hawolgok-dong,  
Seongbuk-gu, Seoul 136-791

Country (if other than USA): Republic of Korea:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment

Merger

Security Agreement

Change of Name

Other

Execution Date: August 27, 2004

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 10/936,109

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

JONES DAY  
222 East 41st Street  
New York, New York 10017

## 6. Number of applications and patents involved:

17. Total fee (37 CFR 3.41):.....\$ 40  
Please charge to the deposit account listed in Section 8.

## 8. Deposit account number:

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

For Anthony M. Insogna  
By Michael Fainberg  
Name of Person Signing

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## ASSIGNMENT

WHEREAS, WE, BAE, Jun-Kye; KIM, Jun-Hee; HAN, Young-Geun; KIM, Sang-Hyuck; and, LEE, Sang-Bae citizens of Republic of Korea, residing at 350-6 Gungnae-dong, Bundang-gu, Seongnam-si, Gyeonggi-do 463-470, Republic of Korea; Siyeong Apt. 31-304, Godeok-dong, Gangdong-gu, Seoul 134-751, Republic of Korea; c/o KIST, 39-1 Hawolgok-dong, Seongbuk-gu, Seoul 136-791, Republic of Korea; Woosung Apt. 1-707, 63 Daechi-dong, Gangnam-gu, Seoul 135-834, Republic of Korea; and, Hyundai 3-cha Apt. 301-701, 816 Chang 4-dong, Dobong-gu, Seoul 132-044, Republic of Korea are the inventors of the invention in for which we have executed an application for a Patent of the United States

- ☐ which is executed on ☐ even date herewith or ☐
- ☐ which is identified by Jones Day docket no.
- ☒ which was filed on September 8, 2004, Application No. 10/936,109
- ☐ We hereby authorize and request our attorney, , of Jones Day, , to insert here in parentheses (Application number, \_\_\_\_\_ filed \_\_\_\_\_ ) the filing date and application number of said application when known.

and WHEREAS, KOREA INSTITUTE OF SCIENCE AND TECHNOLOGY of 39-1 Hawolgok-dong, Seongbuk-gu, Seoul 136-791, Republic of Korea, ASSIGNEE is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application: **TUNABLE DISPERSION COMPENSATOR FOR AN OPTICAL TRANSMISSION SYSTEM**

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date	<u>August 27</u>	, 2004	<u>Bae Jun Kye</u>	BAE, Jun-Kye	L.S.
Date	<u>August 27</u>	, 2004	<u>Kim Jun Hee</u>	KIM, Jun-Hee	L.S.
Date	<u>August 27</u>	, 2004	<u>Young Geun Han</u>	HAN, Young-Geun	L.S.
Date	<u>August 27</u>	, 2004	<u>Sang Hyuck Kim</u>	KIM, Sang-Hyuck	L.S.
Date	<u>August 27</u>	, 2004	<u>Sang Bae Lee</u>	LEE, Sang-Bae	L.S.

State of \_\_\_\_\_ )  
 ) SS.:  
 County of \_\_\_\_\_ )

On \_\_\_\_\_, 2004, before me, \_\_\_\_\_, Notary Public, personally appeared \_\_\_\_\_, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal