

S-99,945

Form PTO1595

(Rev. 10/02)

12-29-2004

U.S. Department of Commerce
Patent and Trademark Office

SHEET

102912283

To the Honorable Commissioner for Patents. Please attach original documents or copy thereof.

Name of conveying party(ies):

1. Goutam Gupta, 2. Elizabeth Hong-Geller,
3. Patrick R. Shiflett, 4. Nancy M. Lehnert

Additional name(s) of conveying

party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party:

Name: The Regents of the University of California

Address:

Los Alamos National Laboratory

LC/IP, MS A187

Los Alamos, NM 87545

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 1. 10/12/2004, 2. 10/12/2004

3. 10/14/2004, 4. 12/16/2004

Additional name(s) address(es) attached

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 10/746,959

B. Patent No.(s)

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kenneth K. Sharples

Registration No.: 35,355

Address: Los Alamos National Laboratory
LC/IP, MS A187
Los Alamos, NM 87545

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):

\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number 12-2150

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ray G. Wilson

Name of person signing

Signature

Date

12/21/04

Total number of pages: 5

OMB No. 0651-0027 (exp. 6/30/05)

Fax documents to be recorded with required cover sheet to: (703) 306-5995

OR

Mail documents to be recorded with required cover sheet to: Commissioner for Patents

Mail Stop Assignments

PO Box 1450

Alexandria, VA 22313-1450

12/29/2004 ELOOPER 00000015 122150 10746959

01 FC:0021

40.00 DA

PATENT
REEL: 016098 FRAME: 0760

ASSIGNMENT

DOE S-99,945

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Goutam Gupta
2. Elizabeth Hong-Geller
3. Patrick R. Shiflett

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, Fifth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention titled:

STRUCTURE-BASED RECEPTOR MIMICS TARGETED AGAINST BACTERIAL SUPERANTIGEN TOXINS

and which is found in

- (a) ☐ U.S. patent application executed on 12/24/2003, entitled as above and listing the above-named person(s) as inventor(s)
- (b) ☒ U.S. application Serial No. 10/746,959, filed on 12/24/2003.
- (c) ☐ U.S. Patent No. , issued .
- (d) ☐ U.S. provisional application filed on _____, entitled as above and listing the above-named person(s) as inventor(s)

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

10/12/04
day of _____, _____

12 day of October, 2004

14 day of October, 2004

Signature of Inventor(s)

Goutam Gupta
Goutam Gupta

Elizabeth Hong-Geller
Elizabeth Hong-Geller

Patrick R. Shiflett
Patrick R. Shiflett

ASSIGNMENT

DOE S-99,945

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Nancy M. Lehnert

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, Fifth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention titled:

STRUCTURE-BASED RECEPTOR MIMICS TARGETED AGAINST BACTERIAL SUPERANTIGEN TOXINS

and which is found in

- (a) ☐ U.S. patent application executed on 12/24/2003, entitled as above and listing the above-named person(s) as inventor(s)
- (b) ☒ U.S. application Serial No. 10/746,959, filed on 12/24/2003.
- (c) ☐ U.S. Patent No. , issued .
- (d) ☐ U.S. provisional application filed on _____, entitled as above and listing the above-named person(s) as inventor(s)

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

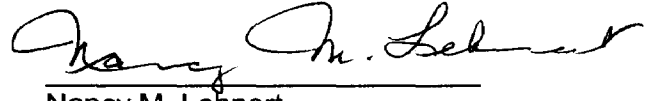
ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

16 day of December, 2004

Signature of Inventor(s)



Nancy M. Lehnert