



12-29-2004



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PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party or parties:

1. Patrick S. Daugherty
2. Paul H. Bessette; and
3. Jeffrey Rice

2. Name and address of receiving party or parties:

The Regents of the University of California
1111 Franklin Street, Twelfth Floor
Oakland, CA 94607-5200
United States

Additional conveying party or parties attached? ☐ Yes ☒ No

Additional receiving party or parties attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- | | |
|---|---|
| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Merger |
| <input type="checkbox"/> Security Agreement | <input type="checkbox"/> Change of Name |
| <input type="checkbox"/> Government Interest Assignment | |
| <input type="checkbox"/> Executive Order 9424, Confirmatory License | |
| <input type="checkbox"/> Other: _____ | |

Execution Date(s): 1. 3 November 2004; 2. 2 November 2004; and 3. 3 November 2004

4. Application number(s) or patent number(s):

- ☐ This document is being filed together with a new application, the specification filed herewith.
☒ Application No. 10/920,244; filed: 18 August 2004
☐ Patent No. *: filed: *

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Suzannah K. Sundby, Esq.
Smith, Gambrell & Russell, LLP
1850 M. Street, NW #800
Washington, DC 20036

6. Total number of applications and patents involved: 1

7. Total Fee (37 C.F.R. § 3.41): \$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account:

8. Deposit Account No.: 02-4300, duplicate sheet attached.

Authorized user name: Suzannah K. Sundby

Suzannah K. Sundby
Reg. No. 43,172

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Date: 21 December 2004
Smith, Gambrell & Russell, LLP
1850 M Street, NW, #800
Washington, DC 20036
(202) 263-4332

Total number of pages 2

PATENT 225557.1

REEL: 016100 FRAME: 0476

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Patrick S. Daugherty 2. Paul H. Bessette 3. Jeffrey Rice

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of their right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

POLYPEPTIDE DISPLAY LIBRARIES AND METHODS OF MAKING AND USING THEREOF

and which is found in the

- (a) ☐ U.S. provisional application filed herewith and listing the above named persons as inventors.
(b) ☐ U.S. patent application filed herewith and listing the above named persons as inventors.
(c) ☒ U.S. application serial no. **10/920,244**, filed on **18 August 2004**.
(d) ☐ U.S. Patent No.: *, issued *.

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEES will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or their legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEES, The Regents of the University of California and the U.S. Government represented by the Department of Veterans Affairs.

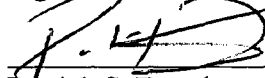
Executed this


3 day of Nov, 2004

2nd day of Nov, 2004

3rd day of Nov, 2004

Signature of Inventor(s)


Patrick S. Daugherty


Paul H. Bessette


Jeffrey Rice

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