

12-30-2004

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OMB No. 0651-0027 (exp. 6/30/2005)U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

12-17-04

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PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)/Execution Date(s):**Matthew N. Miller  
James W. Caddell, Jr.  
James M. Caldwell  
Brian A. Norton

12/06/2004; 12/06/2004;

Execution Date(s) 12/16/2004; 12/06/2004

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No**3. Nature of conveyance:**

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: General Electric Company

Internal Address: Same as "Street Address" below

Street Address: 1 River Road

City: Schenectady

State: New York

Country: US Zip: 12345

Additional name(s) & address(es) attached? ☐ Yes ☒ No**4. Application or patent number(s):**☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No**5. Name and address to whom correspondence concerning document should be mailed:**

Name: ADAMS EVANS P.A.

Internal Address: Same as "Street Address" below

Street Address: 301 S. Tryon Street

2180 Two Wachovia Center

City: Charlotte

State: NC Zip: 28282

Phone Number: 704-375-9249

Fax Number: 704-375-0729

Email Address: jmh@adamspat.com

**6. Total number of applications and patents involved:**

one

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 01-0265

Authorized User Name Jonathan M. Hines

**9. Signature:**

Signature

December 17, 2004

Date

Jonathan M. Hines; Reg. No. 44,764

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

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PATENT  
REEL: 016107 FRAME: 0769

# ASSIGNMENT

ATTORNEY DOCKET No. 3163/34

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration of which we acknowledge receipt, we

Matthew N. Miller  
James W. Caddell, Jr.  
James M. Caldwell  
Brian A. Norton

of  
of  
of  
of  
Maineville, Ohio  
Milford, Ohio  
Alexandria, Kentucky  
Cincinnati, Ohio

respectively, hereby sell and assign to General Electric Company, a New York corporation having an address at 1 River Road, Schenectady, New York 12345, USA, (hereinafter referred to as "Company"), its successors and assigns, our entire respective rights, titles and interests in and to the invention and improvements invented and originated by us and described in the application for United States Patent currently entitled TURBINE NOZZLE SEGMENT AND METHOD OF REPAIRING SAME and executed on the dates indicated below, and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

Matthew N. Miller signed the declaration for the above identified patent application on 12-06-04.

James W. Caddell, Jr. signed the declaration for the above identified patent application on 12-06-04.

James M. Caldwell signed the declaration for the above identified patent application on 12-16-04.

Brian A. Norton signed the declaration for the above identified patent application on 12-06-04.

Date: 12/06/04

Matthew N. Miller  
Name: Matthew N. Miller

State of Ohio  
County of Hamilton, ss.

On this 6<sup>TH</sup> day of December, 2004, before me, County aforesaid, personally appeared Matthew N. Miller known to me to be the individual(s) named in, and who executed, the foregoing instrument, and being first duly sworn, did acknowledge that the so-named individual(s) executed the same as his/her/their free will and deed and as for the purposes therein set forth.

D. Michelle Bischof  
Notary Public

Seal

D. MICHELLE BISCHOF  
Notary Public, State of Ohio  
My Commission Expires June 4, 2008

Date: 12/06/04

James W. Caddell Jr.

Name: James W. Caddell, Jr.

State of Ohio  
County of Hamilton, ss.

On this 6<sup>TH</sup> day of December, 2004, before me, County aforesaid, personally appeared James W. Caddell, Jr. known to me to be the individual(s) named in, and who executed, the foregoing instrument, and being first duly sworn, did acknowledge that the so-named individual(s) executed the same as his/her/their free will and deed and as for the purposes therein set forth.

D. Michelle Bischof  
Notary Public

D. MICHELLE BISCHOF  
Notary Public, State of Ohio  
My Commission Expires June 4, 2008

Seal

Date: 12/16/04

James M. Caldwell  
Name: James M. Caldwell

State of Ohio  
County of Hamilton, ss.

On this 16<sup>TH</sup> day of December, 2004, before me, County aforesaid, personally appeared James M. Caldwell known to me to be the individual(s) named in, and who executed, the foregoing instrument, and being first duly sworn, did acknowledge that the so-named individual(s) executed the same as his/her/their free will and deed and as for the purposes therein set forth.

D. Michelle Bischof  
Notary Public

D. MICHELLE BISCHOF  
Notary Public, State of Ohio  
My Commission Expires June 4, 2008

Seal

Date: 12/06/04

Brian A. Norton  
Name: Brian A. Norton

State of Ohio  
County of Hamilton, ss.

On this 6<sup>TH</sup> day of December, 2004, before me, County aforesaid, personally appeared Brian A. Norton known to me to be the individual(s) named in, and who executed, the foregoing instrument, and being first duly sworn, did acknowledge that the so-named individual(s) executed the same as his/her/their free will and deed and as for the purposes therein set forth.

D. Michelle Bischof  
Notary Public

D. MICHELLE BISCHOF  
Notary Public, State of Ohio  
My Commission Expires June 4, 2008

Seal