

01-05-2005



102903924

EET

U.S. Department of Commerce

Patent and Trademark Office

Attorney Docket No. 890-008.012

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Shi-Hao Li

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

AU Optonics Corporation  
No. 1, Li-Hsin Rd. 2  
Science-Based Industrial Park  
Hsinchu 300  
Taiwan, R.O.C.

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: December 13, 2004

Additional name(s) and address(es) attached?

☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: December 16, 2004

A. Patent Application No.(s)

B. Patent No.(s)

12/22/2004 HMARZ11 00000054 11014508

05 FC:8021

40.00 DP

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Kenneth Q. Lao  
Ware Fressola, Van Der Sluys & Adolphson LLP  
Bradford Green, Building 5  
755 Main Street  
P.O. Box 224  
Monroe, CT 06468-0224

6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

23-0442

(Attach duplicate copy of this page if paying by deposit account.)

## Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attachment copy is a true copy of the original document.

Kenneth Q. Lao

Name of Person Signing

Signature

Dec. 16, 2004

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
Mail Stop Assignment Recordation Services, Commissioner of Patents,  
P.O. Box 1450, Alexandria, VA 22313-1450.

PATENT  
REEL: 016114 FRAME: 0737

**ASSIGNMENT AND AGREEMENT**

**FOR VALUE RECEIVED, I, Shi-Hao LI**, a citizen of R.O.C. and residing at 2F., No.4, Alley 10, Lane 48, Sec. 1, Changjiang Rd., Banciao City, Taipei County 220, Taiwan (R.O.C.), hereby sell, assign and transfer to **AU OPTRONICS CORPORATION**, a corporation duly organized and existing under the laws of R.O.C., and having a principal place of business at No. 1, Li-Hsin Rd. 2, Science-Based Industrial Park, Hsinchu 300, Taiwan, R.O.C., as assignee, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries in and to certain inventions relating to improvements in a **ORGANIC LIGHT-EMITTING DEVICE WITH IMPROVED LAYER STRUCTURE**, which is described in an application for Letters Patent of the United States, executed by us on even date herewith; and identified as Ware, Fressola, Van Der Sluys and Adolphson LLP docket number **890-008.012**; and all the rights and privileges under any and all Letters Patent that may be granted therefor.

I request that any and all patents for said inventions be issued to said assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

I agree that, when requested, I will, without charge to said assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in said assignee, its successors, assigns and legal representatives or nominees.

I authorize and empower the said assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by: (a) the International Convention for the Protection of Industrial property, as amended, or by convention which may henceforth be substituted for it; and (b) the Patent Cooperation Treaty, as amended, or by any treaty which may henceforth be substituted for it; and to invoke and claim such right of priority without further written or oral authorization from me.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may

be required in any country for any purpose and more particularly in proof of the right of the said assignee or nominee to claim the aforesaid benefit of the right of priority provided by: (a) the International Convention for the protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it; and (b) the Patent Cooperation Treaty, as amended, or by any treaty which may henceforth be substituted for it.

I covenant with said assignee, its successors and assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

Signed at Taiwan, R.O.C. this 13<sup>th</sup> day of  
December, in the year 2004.

Shi-Hao Li

Shi-Hao Li