

Docket No.: 151348-1

FORM PTO-1735 (Modified)
(Rev. 03-01)
OMB No. 0551-0077 (exp. 5/31/2002)
P08A/REV03

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

PATENTS ONLY

Tab settings

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Danlian Zheng
Mohamed Ali
Kirk Pierce
Vasanthi Kothanur

2. Name and address of receiving party(ies):

Name: General Electric CompanyAddress: 1 River Road

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherCity: Schenectady State/Prov.: NYCountry: USA ZIP: 10504Execution Date: 12/17/04, 12/21/04, 2/23/05, 01/03/05

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

10/905,385

12/30/2004

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Philmore H. Colburn IIRegistration No. 35,101Address: Cantor Colburn LLP55 Griffin Road SouthCity: BloomfieldState/Prov.: CTCountry: USAZIP: 06002

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

06-1130

(Attach duplicate copy of this page if paying by deposit account)

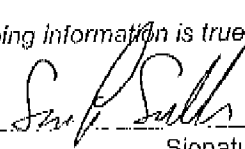
DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Sean F. Sullivan, Reg. No. 38,328

Name of Person Signing



Signature

April 21, 2005

21

Date

Total number of pages including cover sheet, attachments, and

Mail documents to be recorded with required cover sheet information to:
Mail Stop Assignment Recordation Services
Director of the United States Patent and Trademark Office
P.O. Box 1450, Alexandria, VA 22313-1450

PATENT

REEL: 016121 FRAME: 0739

700172692

CH \$40.00 061130 10905385

GE Docket No. 151348-1

1

ASSIGNMENT

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration of which I/we acknowledge receipt, I/we,

Danlan Zheng of 613 Clifton Park Center Road, Clifton Park, NY 12065;

Mohamed Ali of 23 Mapleridge Ave., Clifton Park, NY 12065;

Kirk Pierce of 300 Garlington Road, P.O. Box 648, Greenville, SC, 29602; and

Vasanth Kothnur of 6 Madison Way, Clifton Park, NY 12065

hereby sell and assign to General Electric Company, a New York Corporation, having an address at 1 River Road, Schenectady, New York 12345, USA, (hereinafter referred to as "Company"), its successors and assigns the entire right, title and interest in and to the invention and improvements invented and originated by me/us and described in the application for United States Patent currently entitled **VIBRATION REDUCTION SYSTEM FOR A WIND TURBINE** GE Docket Number 151348-1

☒ executed concurrently herewith, or

☐ filed on [date] having Serial Number [Serial Number]

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I/we will communicate to said Company or its representatives or nominees any facts known to me/us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

GE Docket No. 151348-1 2

Inventor 1

Signature: [Signature]
Danian Zheng

Date: Dec 17, 2004.

Witnessed by: [Signature]
Signature

Date: DEC 17, 2004

Raymond E Chupp
Printed Name of Witness

Witnessed by: [Signature]
Signature

Date: 12/17/04

IMDAD THAM
Printed Name of Witness

*****OR*****

Danian Zheng Date: _____

State of _____ SS:

County of _____

This _____ day of _____, 20____, before me personally came the above named

Danlian Zheng to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal _____
(Notary Public)

GE Docket No. 151348-1

3

Inventor 2

Signature: _____
Mohamed Ali

Date: _____

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

*****OR*****

Mohamed Ali

Date: _____

State of _____ SS:

County of _____

This _____ day of _____, 20____, before me personally came the above named

Mohamed Ali _____ to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

GE Docket No. 151348-1 4

Inventor 3

Signature: Kirk Pierce Date: _____

Witnessed by: _____ Date: _____
Signature

Printed Name of Witness

Witnessed by: _____ Date: _____
Signature

Printed Name of Witness

*****OR*****

Kirk Pierce Date: _____

State of _____ SS:

County of _____

This _____ day of _____, 20____, before me personally came the above named

Kirk Pierce to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal _____
(Notary Public)

GS Docket No. 151348-1 5

Inventor 4

Signature: _____
Vasanth Kothnur

Date: _____

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

Date: _____

Vasanth Kothnur

State of _____ SS:

County of _____

This _____ day of _____, 20____, before me personally came the above named

Vasanth Kothnur to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal _____

(Notary Public)

DEC 21 2004 14:31 FR GE CORPORATION R/D

518 387 7292 TO 818602860115

P.02/06

GE Docket No. 151348-1

1

ASSIGNMENT

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration of which I/we acknowledge receipt, I/we,

Danlan Zheng of 613 Clifton Park Center Road, Clifton Park, NY 12065;
11, 81 West Ave. Ridge

Mohamed Ali of 23 Maple Ridge Ave., Clifton Park, NY 12065;

Kirk Pierce of 300 Garlington Road, P.O. Box 648, Greenville, SC, 29602; and

Vasanth Kothumar of 6 Madison Way, Clifton Park, NY 12065

hereby sell and assign to General Electric Company, a New York Corporation, having an address at 1 River Road, Schenectady, New York 12345, USA, (hereinafter referred to as "Company"), its successors and assigns the entire right, title and interest in and to the invention and improvements invented and originated by me/us and described in the application for United States Patent currently entitled **VIBRATION REDUCTION SYSTEM FOR A WIND TURBINE** GE Docket Number 151348-1

☒ executed concurrently herewith, or

☐ filed on [date] having Serial Number [Serial Number]

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I/we will communicate to said Company or its representatives or nominees any facts known to me/us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

GE Docket No. 151348-1

2

Inventor 1

Signature: _____
Dandan Zheng

Date: _____

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

*****OR*****

Dandan Zheng

Date: _____

State of _____ SS:

County of _____

This _____ day of _____, 20____, before me personally came the above named

Dandan Zheng to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal _____
(Notary Public)

DEC 21 2004 14:32 FR GE CORPORATION R/D

518 387 7292 TO 818602860115

P.03/06

GE Docket No. 151348-1

3

Inventor 2

Signature: *Mohamed Ali*

Mohamed Ali

Date: 21 Dec 2004Witnessed by: *Cynthia K. Davidheiser*

Signature

Date: 12/21/04Cynthia K. Davidheiser
Printed Name of WitnessWitnessed by: *Raymond E Chapp*

Signature

Date: 12/21/04RAYMOND E CHAPP
Printed Name of Witness

*****OR*****

Date: _____

Mohamed Ali

State of _____, SS:

County of _____

This _____ day of _____, 20____, before me personally came the above named

Mohamed Ali to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

GH Docket No. 151348-1

4

Inventor 3

Signature: Kirk Pierce

Date: _____

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

*****OR*****

Date: _____

Kirk Pierce

State of _____ SS:

County of _____

This _____ day of _____, 20____, before me personally came the above named

Kirk Pierce to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

GB Docket No. 151348-1

5

Inventor of

Signature: _____
Vasanth Kothnur

Date: _____

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

*****OR*****

Vasanth Kothnur

Date: _____

State of _____ SS:

County of _____

This _____ day of _____, 20____, before me personally came the above named

Vasanth Kothnur to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal _____
(Notary Public)

GB Docket No. 151348-1

1

ASSIGNMENT

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration of which I/we acknowledge receipt, I/we,

Danlin Zheng of 2414 Hambridge, Clifton Park, NY 12065;
Mohamed Ali of 23 Mapleridge Ave., Clifton Park, NY 12065;
Kirk Pierce of 101 Gilderview Dr., Simpsonville, SC 29681; and
Vasanth Kothnur of 6 Madison Way, Clifton Park, NY 12065

hereby sell and assign to General Electric Company, a New York Corporation, having an address at 1 River Road, Schenectady, New York 12345, USA, (hereinafter referred to as "Company"), its successors and assigns the entire right, title and interest in and to the invention and improvements invented and originated by me/us and described in the application for United States Patent currently entitled **VIBRATION REDUCTION SYSTEM FOR A WIND TURBINE** GB Docket Number 151348-1

☒ executed concurrently herewith, or

☐ filed on [date] having Serial Number [Serial Number]

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I/we will communicate to said Company or its representatives or nominees any facts known to me/us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

USPTO Docket No. 151348-1

2

Inventor 1

Signature: _____
Danlan Zheng

Date: _____

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

*****OR*****

Danlan Zheng

Date: _____

State of _____ SS:

County of _____

This _____ day of _____, 20____, before me personally came the above named

Danlan Zheng to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal _____
(Notary Public)

GI Docket No. 151348-1

3

Inventor 2

Signature: _____
Mohamed Ali

Date: _____

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

*****OR*****

Mohamed Ali

Date: _____

State of _____ SS:

County of _____

This _____ day of _____, 20____, before me personally came the above named

Mohamed Ali _____ to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

GB Docket No. 151348-1

4

Inventor 3

Signature: Kirk Gee Pierce
Kirk Gee Pierce

Date: 2/23/2005

Witnessed by: Brandon Gerber
Signature

Date: 2/23/2005

Brandon Gerber
Printed Name of Witness

Witnessed by: David Pesetsky
Signature

Date: 2/23/2005

David Pesetsky
Printed Name of Witness

*****OR*****

Kirk Gee Pierce Date: _____

State of _____ SS;

County of _____

This _____ day of _____, 20____, before me personally came the above named

Kirk Gee Pierce to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal _____
(Notary Public)

CE Docket No. 151348-1

5

Inventor 4

Signature: _____
Vasanth Kollmur

Date: _____

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

*****OR*****

Vasanth Kollmur

Date: _____

State of _____ SS:

County of _____

This _____ day of _____, 20____, before me personally came the above named

Vasanth Kollmur to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal _____
(Notary Public)

GE Docket No. 151348-1

1

ASSIGNMENT

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration of which I/we acknowledge receipt, I/we,

Mohamed Ali of 23 Mapleridge Ave., Clifton Park, NY 12065;

Danlian Zheng of 2414 Huntridge, Clifton Park, NY 12065;

Kirk Pierce of 29950 Skyline Drive, Tehachapi, CA 93561; and

Vasanth Kothnur of 6 Madison Way, Clifton Park, NY 12065

hereby sell and assign to General Electric Company, a New York Corporation, having an address at 1 River Road, Schenectady, New York 12345, USA, (hereinafter referred to as "Company"), its successors and assigns the entire right, title and interest in and to the invention and improvements invented and originated by me/us and described in the application for United States Patent currently entitled **VIBRATION REDUCTION SYSTEM FOR A WIND TURBINE** GE Docket Number 151348-1

☒ executed concurrently herewith, or

☐ filed on [date] having Serial Number [Serial Number]

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I/we will communicate to said Company or its representatives or nominees any facts known to me/us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

GE Docket No. 151348-1

2

Inventor 1

Signature: _____
Mohamed Ali

Date: _____

Witnessed by: _____
Signature

Printed Name of Witness

Date: _____

Witnessed by: _____
Signature

Printed Name of Witness

Date: _____

*****OR*****

Mohamed Ali

Date: _____

State of _____ SS:

County of _____

This _____ day of _____, 20____, before me personally came the above named
_____**Mohamed Ali**_____ to me personally known as the individual who executed the
foregoing assignment, who acknowledged to me that he/she executed the same of his/her own
free will for the purposes therein set forth.

Seal

(Notary Public)

GE Docket No. 151348-1

3

Inventor 2

Signature: _____
Danlian Zheng

Date: _____

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

*****OR*****

Danlian Zheng

Date: _____

State of _____ SS:

County of _____

This _____ day of _____, 20____, before me personally came the above named

Danlian Zheng to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

GE Docket No. 151348-1

4

Inventor 3

Signature: _____
Kirk Pierce

Date: _____

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

*****OR*****

Kirk Pierce

Date: _____

State of _____ SS:

County of _____

This _____ day of _____, 20____, before me personally came the above named

Kirk Pierce to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

GE Docket No. 151348-1

5

Inventor 4

Signature: _____
Vasanth Kothnur

Date: _____

Witnessed by: _____
Signature

Printed Name of Witness

Date: _____

Witnessed by: _____
Signature

Printed Name of Witness

Date: _____

*****OR*****
K.S. Vasanthi Kumar

Vasanth Kothnur

Date: January 03, 2005

State of New York SS:

County of Schenectady

This 3rd day of January, 2005, before me personally came the above named
Vasanth Kothnur to me personally known as the individual who executed the
foregoing assignment, who acknowledged to me that he/she executed the same of his/her own
free will for the purposes therein set forth.

Seal

Alma Alexander
(Notary Public)

ALMA ALEXANDER
Notary Public, State of New York
No. 6000700
Qualified in Schenectady County
Commission Expires December 22, 2005