

REC

01-11-2005

SHEET

U.S. DEPARTMENT OF  
COMMERCE  
Patent and Trademark Office

102917721

To the Honorable Commissioner of Patents and Trademarks, attached original documents or copy thereof.

## 1. Name of conveying party(ies)

Mu-Jen Lai and Schang-Jin Hon

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

12-22-04

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: December 22, 2004

## 2. Name and address of receiving party(ies)

Name: Super Nova Optoelectronics Corporation

Internal Address:

Street Address: 12 FL, No. 33, Sec. 2, Jianguo N. Rd.

Jhongsan District

Taipei, Taiwan

City: State: ZIP:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: December 22, 2004

## A. Patent Application No.(s)

for "Gallium-Nitride Based Light Emitting Diode Structure  
And Fabrication Thereof"

## B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jason Z. Lin

Internal Address:

Supreme Patent Services

Street Address: Post Office Box 2339

City: Saratoga State: CA ZIP: 95070

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41) ..... \$ 40.00

☒ Credit Card Payment Form PTO-2038 Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jason Z. Lin

Name of Person Signing

Signature

Dec. 22, 2004  
Date

Total number of pages including cover sheet, attachments, and document:

2

Mail documents to be recorded with required cover sheet information to:

Director of the US Patent and Trademark Office, Mail Stop Assignment

P.O. Box 1450, Alexandria, VA 22313-1450

12/23/2004 FPA/NETA 00000027 11020737

04 FEB 2005

40.00 DP

PATENT  
REEL: 016129 FRAME: 0950

UTILITY  
SOLE/JOINT-U.S. ONLY

## ASSIGNMENT

WHEREAS, Mu-Jen Lai, Schang-Jing Hon  
 whose post office address(es) appear(s) below, hereinafter referred to as ASSIGNOR, have invented certain new and useful  
GALLIUM-NITRIDE BASED LIGHT EMITTING DIODE STRUCTURE AND  
FABRICATION THEREOF  
 hereinafter referred to as the Invention) for which an application for United States Letters Patent was

- ☐ executed on even date herewith;  
☐ executed on \_\_\_\_\_;  
☐ filed on \_\_\_\_\_, Serial No. \_\_\_\_\_;  
☐ filed as PCT International Application No. \_\_\_\_\_ on \_\_\_\_\_, designating  
 The United States of America;  
☐ Patent number \_\_\_\_\_, issue on \_\_\_\_\_.

WHEREAS, SUPER NOVA OPTOELECTRONICS CORPORATION  
 whose post office address(es) are 12F1., No. 33, Sec. 2, Jianguo N. Rd., Jhongshan District  
Taipei, Taiwan  
 hereinafter referred to as ASSIGNEE(s), are desirous of acquiring the entire right, title and interest in and to the same in the  
 United States;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, I/we, ASSIGNOR,  
 by these presents do sell, assign and transfer unto said ASSIGNEE, the entire right, title, and interest in and to said invention  
 and application throughout the United States of America, including any and all Letters Patent granted on any division,  
 continuation, continuation-in-part and reissue of said application.

ALSO, ASSIGNOR hereby agrees to execute any documents that legally may be required in connection with the filing,  
 prosecution and maintenance of said application or any other patent application(s) in the United States for said invention,  
 including additional documents that may be required to affirm the rights of ASSIGNEE in and to said invention, all without  
 further consideration. ASSIGNOR also agrees, without further consideration and at ASSIGNEE's expense, to identify and  
 communicate to ASSIGNEE at ASSIGNEE's request documents and information concerning the invention that are within  
 ASSIGNOR's possession or control, and to provide further assurances and testimony on behalf of ASSIGNEE that lawfully may  
 be required of ASSIGNOR in respect of the prosecution, maintenance and defense of any patent application or patent  
 encompassed within the terms of this instrument. ASSIGNOR's obligations under this instrument shall extend to ASSIGNOR's  
 heirs, executors, administrators and other legal representatives.

ALSO, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all Letters  
 Patent referred to above to ASSIGNEE, as the ASSIGNEE of the entire right, title and interest in and to the same, for  
 ASSIGNEE's sole use and behoof, and for the use and behoof of ASSIGNEE's legal representatives and successors, to the full  
 end of the term for which such Letters Patent may be granted, as fully and entirely as the same would have been held by  
 ASSIGNOR had this assignment and sale not been made.

Assignor: Mu-Jen Lai  
No. 22, Alley 6, Lane 173,  
Longmen St., Jhongli City,  
Taoyuan County, Taiwan

Dated this 22th day of Dec., 2004 at Taiwan

Mu Jen Lai

Signature

Assignor: Schang-Jing Hon  
2F., No. 8, Lane 29, Jhusing St.,  
Bade City, Taoyuan County, Taiwan

Dated this 22th day of Dec., 2004 at Taiwan

Schang Jing Hon

Signature

Assignor

Dated this \_\_\_\_\_ day of \_\_\_\_\_ at \_\_\_\_\_

Signature

☐ CONTINUED ON PAGE 2