

FORM PTO-1619A
Expires 08/30/99
OMB 0651-0027

U.S. Department of Commerce
Patent and Trademark Office
PATENT

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Reel # Frame #
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Conveyance Type

☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other
U.S. Government
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☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached
Execution Date
Month Day Year
03282003

Name (line 1) **Viteri, Fermin**

Name (line 2)

Second Party

Name (line 1) **Anderson, Roger E.**

Name (line 2)

Execution Date
Month Day Year
03312003

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) **Clean Energy Systems, Inc.**

Name (line 2)

Address (line 1) **11330 Sunco Drive, Suite A**

Address (line 2)

Address (line 3) **Rancho Cordova** **CA** **95742**
City State/Country Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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PATENT

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REEL: 016133 FRAME: 0429

OP \$40.00 10645954

FORM PTO-1619B
Expires 09/30/09
OMB 0851-0027

Page 2

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Correspondent Name and Address

Area Code and Telephone Number

916-781-6634

Name

Bradley P. Heisler

Address (line 1)

Heisler & Associates

Address (line 2)

3017 Douglas Blvd., Suite 300

Address (line 3)

Roseville, CA 95661

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

#

4

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

10/645,954

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month

Day

Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

#

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:

Enclosed

☒

Deposit Account

☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes

☐


No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Bradley P. Heisler



4-21-05

Name of Person Signing

Signature

Date

ASSIGNMENT

I, Fermin Viteri, in consideration of the sum of one dollar (\$1.00) and other good and valuable consideration paid to the undersigned, agree to assign, and hereby do assign, transfer and set over to Clean Energy Systems, Inc., a California corporation, whose address is 11330 Sunco Drive, Suite A, Rancho Cordova, California 95742, the entire right, title and interest in and to the invention known as: SEMI-CLOSED BRAYTON CYCLE GAS TURBINE POWER SYSTEMS, for which an application for patent in the United States Patent and Trademark Office has been filed on May 14, 2001 and received Application Serial No. 09/855,237.

1) The undersigned agrees to execute all papers necessary in connection with each application to protect the invention, and any continuing or divisional applications thereof, and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agrees to execute all papers necessary in connection with any interference which may be declared concerning any application relating to the invention, or any continuation or division thereof, and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with any such interference.

3) The undersigned agrees to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks, and all equivalent foreign officials, to assign any and all Letters Patent resulting from said application or any divisions or continuing applications thereof to the Assignee, as Assignee of the entire interest, and hereby covenant that Assignor has full right to convey the entire interest herein assigned, and that Assignor has not executed, and will not execute, any agreement in conflict herewith.

5) The undersigned agrees to perform all affirmative acts which may be necessary to obtain a grant of valid patents to the Assignee relating to the invention.

6) The undersigned hereby grants the Assignee and its duly authorized representatives the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office, and any equivalent foreign office, for recordation of this document.

Date March 28, 2003 Name of Inventor Fermin Viteri
Fermin Viteri

CALIFORNIA

ALL-PURPOSE

ACKNOWLEDGEMENT

STATE OF CALIFORNIA)

COUNTY OF Sacramento)

TIFFANY GAMBLE NOTARY PUBLIC

On 28 MARCH 03 before me.

DATE

NAME, TITLE OF OFFICER (E.G., "JANE DOE, NOTARY PUBLIC")

personally appeared, FERMIN VITERI

personally known to me, proved to me on the basis of satisfactory evidence to be the person by whose name is by subscribed to the within instrument and acknowledged to me that he personally executed the same in his capacity as authorized capacity as and that by his signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



NOTARY PUBLIC SIGNATURE

OPTIONAL INFORMATION

TITLE OR TYPE OF DOCUMENT AssignmentDATE OF DOCUMENT 28 March 2003NUMBER OF PAGES 1

SIGNER(S) OTHER THAN NAMED ABOVE _____

PATENT

REEL 016133 FRAME 0432

ASSIGNMENT

I, Roger E. Anderson, in consideration of the sum of one dollar (\$1.00) and other good and valuable consideration paid to the undersigned, agree to assign, and hereby do assign, transfer and set over to Clean Energy Systems, Inc., a California corporation, whose address is 11330 Sunco Drive, Suite A, Rancho Cordova, California 95742, the entire right, title and interest in and to the invention known as: SEMI-CLOSED BRAYTON CYCLE GAS TURBINE POWER SYSTEMS, for which an application for patent in the United States Patent and Trademark Office has been filed on May 14, 2001 and received Application Serial No. 09/855,237.

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6) The undersigned hereby grants the Assignee and its duly authorized representatives the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office, and any equivalent foreign office, for recordation of this document.

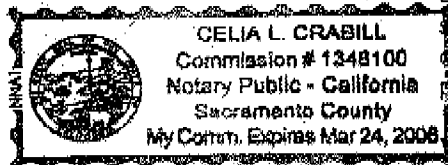
Date March 31, 2003

Name of Inventor

Roger E. Anderson
Roger E. Anderson

State of CALIFORNIA
 County of SACRAMENTO
 On MARCH 31, 2003 before me, CELIA L. CRABILL
DATE NAME, TITLE OF OFFICER - E.G., "JANE DOE, NOTARY PUBLIC"
 personally appeared ROGER E. ANDERSON
NAME(S) OF SIGNER(S)

☐ personally known to me - OR - ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Celia L. Crabill

SIGNATURE OF NOTARY

OPTIONAL SECTION

CAPACITY CLAIMED BY SIGNER

Though statute does not require the Notary to fill in the data below, doing so may prove invaluable to persons relying on the document.

☒ INDIVIDUAL

☐ CORPORATE OFFICER(S)

TITLE(S)

☐ PARTNER(S) ☐ LIMITED
☐ GENERAL

☐ ATTORNEY-IN-FACT

☐ TRUSTEE(S)

☐ GUARDIAN/CONSERVATOR

☐ OTHER: _____

SIGNER IS REPRESENTING:

NAME OF PERSON(S) OR ENTITY(IES)

OPTIONAL SECTION

THIS CERTIFICATE MUST BE ATTACHED TO THE DOCUMENT DESCRIBED AT RIGHT:

TITLE OR TYPE OF DOCUMENT ASSIGNMENT

NUMBER OF PAGES ONE

DATE OF DOCUMENT 3-31-03

SIGNER(S) OTHER THAN NAMED ABOVE NONE

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