

JAN 12 2005

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01-21-2005

Attorney Dkt. 655/67066-CIP

To the Honorable Commissioner of Patents and Trademarks

Documents or copy thereof.

## 1. Name of conveying parties:

Charles F. SPITZ and Howard A. ABRAMS

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

102922449

Name: Computer Associates Think, Inc.

Internal Address:

## 3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Street Address: One Computer Associates Plaza

City/Country Islandia, New York 11749

Additional name(s) &amp; address(es) attached?

☐ Yes ☒ No

Execution Date: December 28, 2004 and January 6, 2005

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) S.N. 10/887,972  
filed July 9, 2004

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard F. Jaworski, Esq.

Internal Address:

Street Address: Cooper &amp; Dunham LLP

1185 Avenue of the Americas

City: New York State: N.Y. ZIP 10036

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40

☒ Enclosed☐ Authorized to be charged to Deposit Account

## 8. Deposit account number:

03-3125

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Richard F. Jaworski Reg. No. 33,515  
Name of Person Signing

Signature

January 10, 2005  
Date

Total Number of pages including cover sheet: 9

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**Dkt. 655/67066-CIP**

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## **ASSIGNMENT**

*In consideration of One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged, we, the undersigned,*

*Charles F. SPITZ, now residing at 33 Ivy Road, Wellesley, Massachusetts 02482; and  
Howard A. ABRAMS, now residing at 855 Folsom St. #326, San Francisco, California  
94107.*

*Hereby sell, assign and transfer to COMPUTER ASSOCIATES THINK, INC., a  
corporation of Delaware, having a place of business at One Computer Associates Plaza  
Islandia, New York 11749, its successors, assigns and legal representatives, the entire right,  
title and interest for all countries, in and to any and all inventions which are disclosed and  
claimed, and as possessed by the undersigned, any and all inventions which are disclosed but  
not claimed, in the application for United States Patent, which was executed by the  
undersigned concurrently herewith and is entitled*

### **INTEGRATED VISUALIZATION OF SECURITY INFORMATION FOR AN INDIVIDUAL (See Schedule of Patents)**

*and in and to said application and all divisional, continuing, substitute, renewal, reissue, and  
all other applications for U.S. Letters Patent or other related property rights in any and all  
foreign countries which have been or shall be filed on any of said inventions disclosed in said  
application; and in and to all original and reissued patents or related foreign documents which  
have been or shall be issued on said inventions;*

*Authorize and request the Commissioner of Patents of the United States to issue to said  
Assignee, the corporation above named, its successors, assigns and legal representatives, in  
accordance with this assignment, any and all United States Letters Patent on said inventions or  
any of them disclosed in said application;*

*Agree that said Assignee may apply for and receive foreign Letters Patent or rights of  
any other kind for said inventions, or any of them; and may claim, in applications for said  
foreign Letters Patent or other rights, the priority of the aforesaid United States patent*

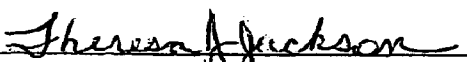
Page 2 of 4

*application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and*

**COVENANT** *with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.*

 [L.S.]  
Charles F. SPITZ

Date: JAN. 6, 2005

Witness:   
THERESA J JACKSON

*Page 3 of 4*

\_\_\_\_\_  
*Howard A. ABRAMS* *[L.S.]*

*Date:* \_\_\_\_\_

*Witness:* \_\_\_\_\_  
\_\_\_\_\_

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***Schedule of Patent Applications***

1. Provisional Application No. 60/486,673, filed July 11, 2003, entitled "SYSTEMS AND METHODS FOR NORMALIZING SECURITY EVENTS FOR ANALYSIS."
2. U.S. Patent Application Serial No. 10/887,972, filed July 9, 2004, entitled "INTEGRATED VISUALIZATION OF SECURITY INFORMATION FOR AN INDIVIDUAL."
3. PCT International Application No. PCT/US04/21804, filed July 9, 2004, entitled "INTEGRATED VISUALIZATION OF SECURITY INFORMATION FOR AN INDIVIDUAL."

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*and*

*Howard A. ABRAMS, now residing at ~~855 Folsom St. #326~~, 200 Brannan #441*

*NA-  
12/28/04*

*San Francisco, California 94107.*

*Hereby sell, assign and transfer to COMPUTER ASSOCIATES THINK, INC., a corporation of Delaware, having a place of business at One Computer Associates Plaza Islandia, New York 11749, its successors, assigns and legal representatives, the entire right, title and interest for all countries, in and to any and all inventions which are disclosed and claimed, and as possessed by the undersigned, any and all inventions which are disclosed but not claimed, in the application for United States Patent, which was executed by the undersigned concurrently herewith and is entitled*

**INTEGRATED VISUALIZATION OF SECURITY  
INFORMATION FOR AN INDIVIDUAL  
(See Schedule of Patents)**

*and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for U.S. Letters Patent or other related property rights in any and all foreign countries which have been or shall be filed on any of said inventions disclosed in said application; and in and to all original and reissued patents or related foreign documents which have been or shall be issued on said inventions;*

*Authorize and request the Commissioner of Patents of the United States to issue to said Assignee, the corporation above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said inventions or any of them disclosed in said application;*

*Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said*

Page 2 of 4

*foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and*

**COVENANT** *with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.*

\_\_\_\_\_[L.S.]  
Charles F. SPITZ

Date: \_\_\_\_\_

Witness: \_\_\_\_\_  
\_\_\_\_\_

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 [L.S.]  
Howard A. ABRAMS

Date: 12/29/04

Witness: \_\_\_\_\_  
\_\_\_\_\_



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PATENT

RECORDED: 01/12/2005

REEL: 016154 FRAME: 0594