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01-24-2005



RECORD

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

FORM PTO-1595  
(Rev. 10/02)

Docket No.: 50212-604

102923488

To the Honorable Commissioner for Patents and Trademarks: Please record the attached original documents or copy thereto:

<p>1. Name of Conveying Party(ies): <b>Takeo KOMIYA, Takashi SASAKI, Yasushi FUJIMURA, Tsukuru KATSUYAMA, Naoyuki YAMABAYASHI</b></p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies): Name: SUMITOMO ELECTRIC INDUSTRIES, LTD. Address: 5-33, Kitahama 4-chome, Chuo-ku, Osaka, JAPAN</p> <p>Additional name(s) &amp; address(es) attached? <input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>3. Nature of Conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other</p> <p>Execution Date: July 14, 2004, July 13, 2004, July 16, 2004, July 19, 2004, July 23, 2004 (respectively)</p>	

4. Application number(s) or patent number(s):  
If the document is being filed together with a new application, the execution date of the application is: July 14, 2004, July 13, 2004, July 16, 2004, July 19, 2004, July 23, 2004 (respectively)

<p>A. Patent Application No(s). <b>10/501,512, filed July 15, 2004</b></p>	<p>B. Patent No(s).</p>
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Additional numbers attached?  Yes  No

<p>5. Name and address of party to whom correspondence concerning document should be mailed: Name: MCDERMOTT WILL &amp; EMERY LLP Internal Address: Street Address: 600 13th Street, N.W. City: Washington State: D. C. Zip: 20005-3096</p>	<p>6. Total number of applications and patents involved: 1</p> <p>7. Total fee (37 CFR 3.41) \$40.00 <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number: 500417</p>
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9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Name and Registration No. of Person Signing	Signature	Date
	Total number of pages including cover sheet: 3	

OMB No. 0651-0027 (exp. 6/30/2005)

01/21/2005 DBYRNE 00000224 500417 10501512  
01 FC:8021 40.00 DA

PATENT  
REEL: 016156 FRAME: 0341

# ASSIGNMENT

Whereas, I/we,

Name	Address
1) <b>Takeo KOMIYA</b>	c/o Yokohama Works of Sumitomo Electric Industries, Ltd., 1, Taya-cho, Sakae-ku, Yokohama-shi, Kanagawa 244-8588 Japan
2) <b>Takashi SASAKI</b>	c/o Yokohama Works of Sumitomo Electric Industries, Ltd., 1, Taya-cho, Sakae-ku, Yokohama-shi, Kanagawa 244-8588 Japan
3) <b>Yasushi FUJIMURA</b>	c/o Yokohama Works of Sumitomo Electric Industries, Ltd., 1, Taya-cho, Sakae-ku, Yokohama-shi, Kanagawa 244-8588 Japan
4) <b>Tsukuru KATSUYAMA</b>	c/o Yokohama Works of Sumitomo Electric Industries, Ltd., 1, Taya-cho, Sakae-ku, Yokohama-shi, Kanagawa 244-8588 Japan
5) <b>Naoyuki YAMABAYASHI</b>	c/o Yokohama Works of Sumitomo Electric Industries, Ltd., 1, Taya-cho, Sakae-ku, Yokohama-shi, Kanagawa 244-8588 Japan

hereinafter called assignor(s), have invented certain improvements in  
**OPTICAL WAVEGUIDE MODULE**

and executed an application for Letters Patent of the United States of America therefor on even date herewith unless otherwise indicated below:

filed on \_\_\_\_\_, Serial No. \_\_\_\_\_; and

Whereas

**SUMITOMO ELECTRIC INDUSTRIES, LTD.**  
5-33, Kitahama 4-chome, Chuo-ku,  
Osaka-shi, Osaka 541-0041 Japan

(assignee), desires to acquire the entire right, title and interest in the application and invention, and to any United States patents to be obtained therefor;

NOW THEREFORE, be it known that, for good and valuable consideration from assignee, the receipt of which is hereby acknowledged, I/WE, as assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, MY/OUR entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof; and I/WE hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY further covenant and agree that I/WE will, without further consideration, communicate with assignee, its successors and assigns, any facts known to ME/US respecting this invention and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors and assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

INVENTORS

DATE SIGNED

1):	<i>Takeo Komiya</i>	<i>14 / July / 2004</i>
Name:	Takeo KOMIYA	
2):	<i>Takashi Sasaki</i>	<i>13 / July / 2004</i>
Name:	Takashi SASAKI	
3):	<i>Yasushi Fujimura</i>	<i>15 / July / 2004</i>
Name:	Yasushi FUJIMURA	
4):	<i>Tsukuru Katsuyama</i>	<i>19 / July / 2004</i>
Name:	Tsukuru KATSUYAMA	

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. § 261)

5):  
Name:

*Naoyuki Yamabayashi*  
Naoyuki YAMABAYASHI

*23 / July / 2004*

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. § 261)

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PATENT  
REEL: 016156 FRAME: 0343