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Substitute PTO-1595

U.S. Department of Commerce
U.S. Patent and trademark Office

DOCKET No S03-013-CIP

To the Honorable Commissioner of Patents: Please record the attached original documents or copy thereof.

1. Name of the conveying party(ies):

John L. Faul,
Toshihiko Nishimura,
Peter N. Kao.

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: ROX MEDICAL INC

Internal Address:

Street Address: 5825 Avenida EncinasCity: Carlsbad, State: CA Zip: 92008

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Additional name(s) & address(es) attached?

☐ Yes ☒ No

Execution Dates; 12/20/04, 1/4/05, and 1/5/05,

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

Execution date of application: _____ N/A

Title of application:

IMPLANTABLE ARTERIO-VEINUS SHUNT DEVICES AND METHODS FOR THEIR USEA. Patent Application No.(s): 10/961,731

B. Patent No.(s): N/A

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bell & Associates.

Street Address: Bell & Associates
416 Funston Ave
San Francisco, CA 94118
Tel: (415) 752-40856. Total number of applications and patent involved: 17. Total fee (37 CFR 3.41)\$40.00☐ Enclosed☒ Authorized to be charged to deposit account8. Deposit Account Number for Bell & Associates:
503,194

The Commissioner is hereby authorized to charge any additional fees associated with this case or credit any overpayment to Deposit Account No. 503,194

DO NOT USE THIS SPACE

9. Name of person signing

Adam Warwick Bell, D.Phil.Signature AWBDate 14 Jan 2005Total number of pages including coversheet, attachments, and documents: 6

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents, Mail Stop Assignment Recordation Services, Washington, D.C. 20231 or fax to (703) 306-5995.

01/24/2005 DBYRNE 00000035 503194 10961731

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PATENT
REEL: 016157 FRAME: 0175

WORLDWIDE ASSIGNMENT OF RIGHTS	Docket Number: S03-013-CIP
<p>Whereas I, Peter N. Kao of Palo Alto, CA, hereafter referred to as "assignor" have invented certain new and useful improvements in arterio-venous shunt devices as disclosed in US application Serial No. 10/961,731 filed 10 October 2004 entitled</p> <p>IMPLANTABLE ARTERIO-VENOUS SHUNT DEVICES AND METHODS FOR THEIR USE</p> <p>Whereas, Stanford University, California, herein referred to as "assignee" whose mailing address is Stanford University Office of Technology Licensing, 1705 El Camino Real, Palo Alto, CA 94306 is desirous of acquiring the entire right, title and interest in the same; Now, therefore, for good and valuable consideration the receipt whereof is acknowledged, I the assignor by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in all the countries of the world and the entire right, title and interest in and to any and all intellectual property and patent rights (including rights associated with any and all provisional, non-provisional, divisional, RCE, CPA, continuing applications, including continuations-in-part) which may be granted therefore in any country or region of the world, I hereby authorize and request the appropriate Patent Office of any country in which a patent may issue, to issue said patent to said assignee of the entire right, title, and interest in and to the same, for the assignee's sole use and ownership; and for the use and ownership of the assignee's legal representatives, for the full term for which said patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.</p> <p>Executed on this date: <u>12/20/04</u></p> <p>At this place: <u>Palo Alto, CA</u></p> <p>Print Name: <u>Peter N. Kao</u></p> <p>Signature: <u>Peter N. Kao</u></p>	

WORLDWIDE ASSIGNMENT OF RIGHTS

Docket Number:

S03-013-CIP

Whereas I, **Toshihiko Nishimura of Menlo Park, CA**, hereafter referred to as "assignor" have invented certain new and useful improvements in arterio-venous shunt devices as disclosed in US application Serial No. 10/961,731 filed 10 October 2004 entitled

IMPLANTABLE ARTERIO-VENOUS SHUNT DEVICES AND METHODS FOR THEIR USE

Whereas, **Stanford University**, California, herein referred to as "assignee" whose mailing address is Stanford University Office of Technology Licensing, 1705 El Camino Real, Palo Alto, CA 94306 is desirous of acquiring the entire right, title and interest in the same; Now, therefore, for good and valuable consideration the receipt whereof is acknowledged, I the assignor by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in all the countries of the world and the entire right, title and interest in and to any and all intellectual property and patent rights (including rights associated with any and all provisional, non-provisional, divisional, RCE, CPA, continuing applications, including continuations-in-part) which may be granted therefore in any country or region of the world, I hereby authorize and request the appropriate Patent Office of any country in which a patent may issue, to issue said patent to said assignee of the entire right, title, and interest in and to the same, for the assignee's sole use and ownership; and for the use and ownership of the assignee's legal representatives, for the full term for which said patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed on this date: 1/5/2005At this place: 1044 Middle Ave. Menlo Park CA 94025Print Name: Toshihiko NishimuraSignature: 

WORLDWIDE ASSIGNMENT OF RIGHTS

Docket Number:
S03-013-CIP

Whereas I, **John L. Faul of Stanford, CA** hereafter referred to as "assignor" have invented certain new and useful improvements in arterio-venous shunt devices as disclosed in US application Serial No. 10/961,731 filed 10 October 2004 entitled

IMPLANTABLE ARTERIO-VENOUS SHUNT DEVICES AND METHODS FOR THEIR USE

Whereas, **Stanford University**, California, herein referred to as "assignee" whose mailing address is Stanford University Office of Technology Licensing, 1705 El Camino Real, Palo Alto, CA 94306 is desirous of acquiring the entire right, title and interest in the same; Now, therefore, for good and valuable consideration the receipt whereof is acknowledged, I the assignor by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in all the countries of the world and the entire right, title and interest in and to any and all intellectual property and patent rights (including rights associated with any and all provisional, non-provisional, divisional, RCE, CPA, continuing applications, including continuations-in-part) which may be granted therefore in any country or region of the world, I hereby authorize and request the appropriate Patent Office of any country in which a patent may issue, to issue said patent to said assignee of the entire right, title, and interest in and to the same, for the assignee's sole use and ownership; and for the use and ownership of the assignee's legal representatives, for the full term for which said patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed on this date: JAN 4th 2005At this place: STANFORD UNIVERSITYPrint Name: John L. FaulSignature: 