

01-21-2005

U.S. Department of Commerce

RECORD

102922576

ITS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- a) Levi A. Campbell g)
- b) Richard C. Chu h)
- c) Michael J. Ellsworth, Jr. i)
- d) Madhusudan K. Iyengar j)
- e) Roger R. Schmidt k)
- f) Robert E. Simons l)

More names attached? Y X N

2. Name and address of receiving party(ies):

Name: INTERNATIONAL BUSINESS
MACHINES CORPORATION
Address: New Orchard Road
Armonk, NY 10504

More names attached? Y X N3. Nature of Conveyance: X Assignment

4. Application number: 11/008,711

Filed: 12/09/2004

Filed with new application: Y X N

Execution date(s):

- | | |
|----------------------|--------------------------------|
| a) <u>11/11/2004</u> | g) <u> </u> |
| b) <u>11/11/2004</u> | h) <u> </u> |
| c) <u>11/11/2004</u> | i) <u> </u> |
| d) <u>11/11/2004</u> | j) <u> </u> |
| e) <u>11/11/2004</u> | k) <u> </u> |
| f) <u>11/11/2004</u> | l) <u> </u> |

Additional numbers attached? Y X N**COPY**

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kevin P. Radigan, Esq.
HESLIN ROTHENBERG FARLEY & MESITI, P.C.
5 Columbia Circle
Albany, New York 12203

6. Total # of applications and patents involved: 1 7. Total fee (37 CFR 3.41): \$40.008. Charge to Deposit Account:
09-0463 (IBM)

DO NOT USE THIS SPACE

9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kevin P. Radigan, Esq.
Registration No.: 31,789

Kevin P. Radigan
Signature

1-04-2005
Date

Total number of pages including cover sheet, attachments, and document: 4 Express Mail Label No. (if applicable):

Docket No.: POU920040172US1

01/04/2005
700142467

U.S. PATENT AND TRADEMARK OFFICE

Form PTO 1595

U.S. Department of Commerce

COPY

RECORDATION FORM COVER SHEET - PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- a) Levi A. Campbell g)
b) Richard C. Chu h)
c) Michael J. Ellsworth, Jr. i)
d) Madhusudan K. Jyengar j)
e) Roger R. Schmidt k)
f) Robert E. Simons l)

More names attached? Y ___ X ___ N

2. Name and address of receiving party(ies):

Name: INTERNATIONAL BUSINESS
MACHINES CORPORATION
Address: New Orchard Road
Armonk, NY 10504

More names attached? ___ Y ___ X ___ N

3. Nature of Conveyance: ___ X ___ Assignment

4. Application number: 11/008,711

Filed: 12/09/2004

Filed with new application: ___ Y ___ X ___ N

Execution date(s):

- a) 11/11/2004 g) _____
b) 11/11/2004 h) _____
c) 11/11/2004 i) _____
d) 11/11/2004 j) _____
e) 11/11/2004 k) _____
f) 11/11/2004 l) _____

Additional numbers attached? ___ Y ___ X ___ N

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kevin P. Radigan, Esq.
LESLIN ROTHENBERG FARLEY & MESITI, P.C.
5 Columbia Circle
Albany, New York 12203

6. Total # of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

8. Charge to Deposit Account:
02-0463 (IBM)

DO NOT USE THIS SPACE

9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kevin P. Radigan, Esq.
Registration No.: 31,789

Signature

Date

Total number of pages including cover sheet, attachments, and document: 4

Express Mail Label No. (if applicable):

Docket No.: POU920040172US1

CHI \$40.00 030463 11008711

ASSIGNMENT

Whereas, we

- | | | |
|-----|-------------------------------------------------|-------------------------------------------|
| (1) | Levi A. Campbell
County of Ulster | of New Paltz
State of New York |
| (2) | Richard C. Chu
County of Dutchess | of Hopewell Junction
State of New York |
| (3) | Michael J. Ellsworth, Jr.
County of Dutchess | of Lagrangeville
State of New York |
| (4) | Madhusudan K. Iyengar
County of Dutchess | of Rhinebeck
State of New York |
| (5) | Roger R. Schmidt
County of Dutchess | of Poughkeepsie
State of New York |
| and | | |
| (6) | Robert E. Simons
County of Dutchess | of Poughkeepsie
State of New York |

COPY

have invented certain improvements in

COOLING APPARATUS FOR AN ELECTRONICS SUBSYSTEM EMPLOYING A COOLANT FLOW DRIVE APPARATUS BETWEEN COOLANT FLOW PATHS

and executed a United States Patent Application therefore on

- (1) 11/11/2004, (2) 11/11/2004, (3) 11/11/2004, (4) 11/11/2004,
(5) 11/11/2004, and (6) 11/11/2004.

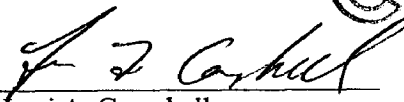
Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at New Orchard Road, Armonk, New York, 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and the invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patents granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patents on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

COPY

(1) at Poughkeepsie, NY
on 11/11/04


Levi A. Campbell

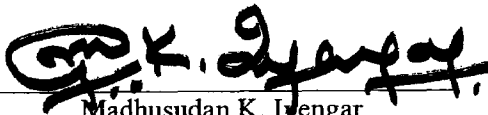
(2) at Poughkeepsie, NY
on 11/11/04


Richard C. Chu

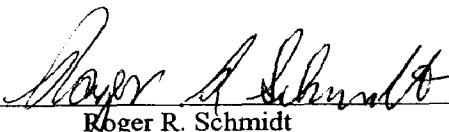
(3) at Poughkeepsie, NY
on 11/11/04


Michael J. Ellsworth, Jr.

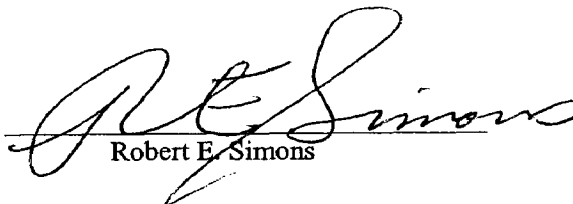
(4) at Poughkeepsie, NY
on 11/11/04


Madhusudan K. Iyengar

(5) at Poughkeepsie, NY
on 11/11/04


Roger R. Schmidt

(6) at Poughkeepsie, NY
on 11/11/04


Robert E. Simons