

01-28-2005



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To the Director of the U.S. Patent and Trademark Office. Please record the attached documents or the new address(es) below

1. Name of conveying party(ies)/Execution Date(s):

Terry D. Connell
Michael W. Russell
Hesham Nawar
Sergio Arce

Execution Date(s): Connell - 1/7/05; Russell - 1/7/05;
Nawar - 1/10/05; Arce - 1/5/05

Additional names of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Research Foundation of State University of New
York at Buffalo, The

Internal Address: STOR Intellectual Property
Division

Street Address: UB Technology Incubator
Suite 111, 1576 Sweet Home Road

City: Amherst

State: New York

Country: U.S. Zip: 14228

Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s) 10/950,822

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Hodgson Russ LLP

Intellectual Property Department

Street Address: One M&T Plaza, Suite 2000

City: Buffalo

State: New York Zip: 14203

Phone Number: (716) 856-4000

Fax Number: (716) 849-0349

Email Address: rkadle@hodgsonruss.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

- a. Credit Card Last 4 Numbers _____
Expiration Date _____
b. Deposit Account Number 08-2442
Authorized User Name _____

9. Signature:

Ranjana Kadle
Signature

January 20, 2005

Date

Ranjana Kadle

Total number of pages including cover
sheet, attachments, and documents:

3

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

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PATENT
REEL: 016180 FRAME: 0162

ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, Terry D. Connell, residing at 46 Park Drive, Williamsville, New York 14221; Michael W. Russell, residing at 36 Dappled Drive, East Amherst, New York 14051; Hesham Nawar, residing at 120 Shoshone Street, Buffalo, New York 14214; and Sergio Arce, residing at 1339 North Forest Road, Apartment B-22, Williamsville, New York 14221, the undersigned

Hereby sell, assign and transfer to THE RESEARCH FOUNDATION OF STATE UNIVERSITY OF NEW YORK a corporation of The State of New York, having a place of business at STOR Intellectual Property Division, UB Technology Incubator, Suite 111, 1576 Sweet Home Road, Amherst, NY 14228, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent entitled Adjuvant Activities of Mutants of LT-IIa and LT-IIb Enterotixin Lacking Binding to Ganglioside, filed September 27, 2004, serial no. 10/950,822 and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said

improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

1/7/05
Date

Terry D. Connell
Terry D. Connell

1/7/05
Date

Michael W. Russell
Michael W. Russell

1/10/05
Date

Hesham Nawar
Hesham Nawar

01-05-05
Date

Sergio Arce
Sergio Arce