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FORM PTO-1595 (Modified) (Rev. 03-01) OMB No. 0651-0027 (exp.5/31/2002) 01-31-2005



Docket No.: A-9806

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

P08A/REV03 102929771 Tab settings → → → a ragemark Office: Please record the attached original documents or copy thereof. To the Director of the United States P Name and address of receiving party(ies): 1. Name of conveying party(ies): Cassius ALMEIDA Name: Ameritrade, IP Company, Inc. Address: 4211 S. 102nd Street Additional names(s) of conveying party(ies) 3. Nature of conveyance: ☐ Assignment Merger NE ☐ Security Agreement Change of Name City: Omaha State/Prov.: ZIP: 68127 Other Corrective Recordation to Correct Conveying Country: U.S. Party's Name Execution Date: September 20, 2004 X No Additional name(s) & address(es) ☐ Yes 4. Application number(s) or patent numbers(s): If this document is being filed together with a new application, the execution date of the application is: B. Patent No.(s) Filing date Patent Application No. January 29, 2003 60/443,178 January 29, 2004 10/765,998 ☐ Yes 🛛 No Additional numbers 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Name: Jason H. Vick 7. Total fee (37 CFR 3.41):....\$ 80.00 45,285 Registration No. Enclosed - Any excess or insufficiency should be credited or debited to deposit account Address: Miles & Stockbridge P.C. Authorized to be charged to deposit account 1751 Pinnacle Dr., Suite 500 8. Deposit account number: City: McLean State/Prov.: VA ZIP: 22102 (Attach duplicate copy of this page if paying by deposit account) Country: U.S. DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. January 26, 2005 Jason H. Vick Date Name of Person Signing Signature

01/28/2005 ECDOPER | 00000000 | 00000000

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Total number of pages including cover sheet, attachments, and Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services Director of the United States Patent and Trademark Office P.O. Box 1450, Alexandria, VA 22313-1450

**PATENT** 

## **ASSIGNMENT**

WHEREAS, We, Cassius Almeida, Arthur Lussier, Jim Logue and Dominic Faloni have invented one or more improvements in:

## **QUOTE AND ORDER ENTRY INTERFACE**

described in an application (or provisional application) for Letters Patent of the United States:

- identified by Application No. 10/765,998, filed January 29, 2004 in the United States Patent Office; and
- Application No. 60/443,178 filed in the United States Patent Office on January 29, 2003; and

WHEREAS, Ameritrade, IP Company, Inc. (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the Commonwealth of Nebraska, and having a usual place of business at 4211 S. 102<sup>nd</sup> St., Omaha, Nebraska 68127 desires to acquire an interest therein, in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns, and legal representatives, our entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, including Canada, in and to the inventions described in said application, together with our entire right, title and interest in and to said application and such Letters Patent as may issue thereon or claim priority under international convention, including but not limited to continuations, divisionals, reissues, and reexaminations of said application of such Letters Patent; said inventions, applications and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all of our rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of our entire right, title and interest in and to said inventions, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all Letters Patent to ASSIGNEE for its own name as assignee of our entire right, title and interest therein.

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RECORDED: 01/26/2005

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns, and legal representatives, but at its or their expense and charges, including: the execution of applications for patents in foreign countries; the execution of substitution, reissue, divisional or continuation applications; and preliminary or other statements or the giving of testimony in any interference or other proceeding in which said inventions or any application or patent directed thereto may be involved; and we further hereby authorize ASSIGNEE or its attorneys or agents to insert the correct serial number and filing date into this assignment, if none is indicated on that date of our execution of this assignment;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

*IN TESTIMONY WHEREOF*, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor: <sub>-</sub>	Likei	9-20-04
	Cassius ALMEIDA	Date
Inventor:		
•	Arthur LUSSIER	Date
Inventor:		
	Jim LOGUE	Date
Inventor:		
	Dominic FALONI	Date

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