

PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:

CORRECTIVE ASSIGNMENT

NATURE OF CONVEYANCE:

Corrective Assignment to correct the recordation to re-record the assignment to correct the inventor name from <Karandeep Singh Ananad> to <Karandeep Singh Anand>. previously recorded on Reel 015796 Frame 0220. Assignor(s) hereby confirms the assignment of their invention described and claimed in Application No. 10/937,650, filed September 9, 2004.

CONVEYING PARTY DATA

Name	Execution Date
Catharine van Ingen	09/07/2004
Brian T. Berkowitz	09/07/2004
Karandeep Singh Anand	09/06/2004
Manikandan Thangarathnam	09/06/2004
Purushottam M. Kulkarni	09/06/2004
William T. Shelton Jr.	09/07/2004
Seetharaman Harikrishnan	09/06/2004
Sundararajan Srinivasan	09/06/2004
Yezdi Z. Lashkari	09/07/2004

RECEIVING PARTY DATA

Name:	Microsoft Corporation
Street Address:	One Microsoft Way
City:	Redmond
State/Country:	WASHINGTON
Postal Code:	98052

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	10937650

CORRESPONDENCE DATA

Fax Number: (206)224-0779

*Correspondence will be sent via US Mail when the fax attempt is unsuccessful.*

Phone: (206)682-8100

Email: [efiling@cojk.com](mailto:efiling@cojk.com)

PATENT

500037533

REEL: 016196 FRAME: 0591

CH \$40.00 10937650

Correspondent Name: Larry T. Harris, Esq.  
Address Line 1: Christensen O'Connor Johnson Kindness  
Address Line 2: 1420 Fifth Avenue, Suite 2800  
Address Line 4: Seattle, WASHINGTON 98101-2347

NAME OF SUBMITTER:

Larry T. Harris

**Total Attachments: 13**

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09-22-2004

9/9/04



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ACTION FORM COVER SHEET  
PATENTS ONLYPatent and Trademark Office  
Attorney Docket No.: MSFT122795

To the Commissioner for Patents: Please record the attached original documents or copy thereof.

## 1. Submission Type

- ☒ New
- ☐ Resubmission (Non-Recordation)
- ☐ Correction of Error: Reel \_\_\_\_\_, Frame \_\_\_\_\_

## 2. Conveyance Type:

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other:

## 3. Names of conveying parties:

## Execution Date:

Catharine van Ingen September 7, 2004  
 Brian T. Berkowitz **Anand** September 7, 2004  
 Karandeep Singh **Anand** September 6, 2004  
 Manikandan Thangarathnam September 6, 2004  
 Purushottam M. Kulkarni September 6, 2004  
 William T. Shelton, Jr. September 7, 2004  
 Seetharaman Harikrishnan September 6, 2004  
 Sundararajan Srinivasan September 6, 2004  
 Yezdi Z. Lashkari September 7, 2004

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 4. Name and address of receiving party:

Name: Microsoft Corporation

Address: One Microsoft Way  
Redmond, Washington 98052

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 5. Application number(s) and/or patent number(s):

A. Patent Application No(s).

B. Patent No(s).

Additional numbers attached? ☐ Yes ☐ No

If this document is being filed together with a new patent application, enter the date the patent application was signed by the first named executing inventor: September 7, 2004

## 6. Name and address of party to whom correspondence concerning document should be mailed:

Customer No. 26389

Larry T. Harris, Esq.  
 CHRISTENSEN O'CONNOR  
 JOHNSON KINDNESS<sup>PLLC</sup>  
 1420 Fifth Avenue, Suite 2800  
 Seattle, WA 98101-2347  
 206.682.8100

9/13/2004 HTECKLU1 00000076 10937650

4 FC:2021

40.00 DP

## 7. Total number of applications and/or patents involved: 1

8. Total fee (37 C.F.R. 3.41): .....\$40.00

Enclosed Check No. 158005 includes the recordation fee.

9. ☐ The fee is authorized to be charged to Deposit Account No. 03-1740. (A duplicate copy of this page is enclosed.)

10. The Director is hereby authorized to charge any fees under 37 C.F.R. § 3.41 which may be required by this paper, or credit any overpayment, to Deposit Account No. 03-1740.

DO NOT USE THIS SPACE

## 11. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Larry T. Harris

Name of Attorney or Agent  
 Registration No. 44,745  
 Direct Dial 206.695.1642

Signature

September 9, 2004

Date

Total number of pages, including cover sheet, attachments, and document: 11

SEP 27 2004 17:38 FR MICROSOFT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
--

Inventorship..... Catharine van Ingen et al.  
Assignee..... Microsoft Corporation  
Attorney's Docket No. .... MSFT122795  
Title: METHOD, SYSTEM, AND APPARATUS FOR PROVIDING ALERT SYNTHESIS IN A  
DATA PROTECTION SYSTEM

**PATENT ASSIGNMENT**

**PARTIES TO THE ASSIGNMENT**

**Assignors:**

Catharine van Ingen  
10011 NE 1st Street, E-913  
Bellevue, WA 98004

Brian T. Berkowitz  
1205 East Prospect Street  
Seattle, WA 98102

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Manikandan Thangarathnam  
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Hyderabad - 500045, India

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Bellevue, WA 98008

William T. Shelton, Jr.  
2723 32nd Avenue South  
Seattle, WA 98144

Seetharaman Hari Krishnan  
16625 Redmond Way, Suite M, PMB: 458  
Redmond, WA 98052

Sundararajan Srinivasan  
101, Plot #6, NavaNirman Nagar  
Road No. 71, Jubilee Hills  
Hyderabad - 500033 India

SEP 07 2004 10:20 PM MICROSOFT BUILD 40  
Yezdi Z. Lashkari  
201 Galer Street, #367  
Seattle, WA 98109

Assignee:

Microsoft Corporation  
Corporation in the State of Washington  
One Microsoft Way  
Redmond, Washington 98052

AGREEMENT

WHEREAS, ASSIGNORS (listed above) are inventors of an invention entitled "METHOD, SYSTEM, AND APPARATUS FOR PROVIDING ALERT SYNTHESIS IN A DATA PROTECTION SYSTEM," as described and claimed in the specification forming part of an application for United States letters patent executed by Catharine van Ingen on 07 SEPT 04; Brian T. Berkowitz on 07 Sept 04; Karandeep Singh Anand on \_\_\_\_\_; Marikandan Thangarathnam on \_\_\_\_\_; Purushottam M. Kulkarni on \_\_\_\_\_; William T. Shelton, Jr. on \_\_\_\_\_; Seetharaman Harikrishnan on \_\_\_\_\_; Sundararajan Srinivasan on \_\_\_\_\_; and Yezdi Z. Lashkari on \_\_\_\_\_.

WHEREAS, Microsoft Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Washington having a place of business at One Microsoft Way, Redmond, Washington 98052, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all

Yezdi Z. Lashkari  
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letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Director of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

07 SEPT 04  
Date

Catharine van Ingen  
Catharine van Ingen

07 Sept 04  
Date

Brian T. Berkowitz  
Brian T. Berkowitz

                      
Date

Karandeep Singh Anand  
Karandeep Singh Anand

                      
Date

Manikandan Thangarathnam  
Manikandan Thangarathnam

06/08/2004 MON 10:11 AM

letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Director of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Catharine van Ingen

\_\_\_\_\_  
Date

\_\_\_\_\_  
Brian T. Berkowitz

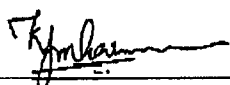
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\_\_\_\_\_  
Date

Kol Singh  
\_\_\_\_\_  
Karandeep Singh Anand

9/6/04  
\_\_\_\_\_  
Date

T. Marikandan  
\_\_\_\_\_  
Marikandan Thangarathnam

9/6/2004  
Date

  
Purushottam M. Kulkarni

                      
Date

                      
William T. Shelton, Jr.

9/6/04  
Date

P. H  
Seetharaman Harikrishnan

9/6/2004  
Date

  
Sundararajan Srinivasan

                      
Date

                      
Yezdi Z. Lashkari

LTH:dm

Date

9/7/2004  
Date

Date

Date

Date

Purushottam M. Kulkarni

*William T. Shelton, Jr.*  
William T. Shelton, Jr.

Seetharaman Harikrishnan

Sundararajan Srinivasan

Yezdi Z. Lashkari

LTH:clm

Date

Purushottam M. Kulkarni

Date

William T. Shelton, Jr.

Date

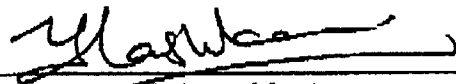
Seetharaman Harikrishnan

Date

Sundararajan Srinivasan

Date

9/2/04



Rezdi Z. Lashkari

LTH:clm