

12/17/04

10/498682

01-28-2005



Attorney Docket U 015247-5

COVER SHEET
ONLY

Rec'd PCT/PTO 17 DEC 2004

102928567

To the Honorable Commissioner of Patents and Trademarks, please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1. ANA TERESA MARCOS RODRÍGUEZ
2. ANTONIO ESTRELLA DE CASTRO
3. JAVIER COSTA PEREZ

Additional name(s) of conveying party(ies) attached?

☒ Yes ☐ No

2. Name and address of receiving party (ies):

Name: VITATENE, S.A.

Address: AVDA. DE ANTIBIOTICOS,
59/61, E-24009
LEON, SPAIN

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____

Additional name(s) & addresses(es) attached?

☐ Yes ☒ No

Execution Date(s):

1. SEPTEMBER 15TH 2004
2. SEPTEMBER 13TH 2004
3. SEPTEMBER 13TH 2004

4. Application number(s) or patent number(s)

☐ Being filed together with a new application.

☒ International Application No.: PCT/ES02/00610
International Filing Date: 20 FEBRUARY 2002
Patent Application No.(s): 10/498,682

☐ Patent No.(s):Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Clifford J. Mass

Address: Ladas & Parry LLP
26 West 61st Street
New York, N.Y. 10023
(fax) (212) 246-8959

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) \$ 40.00

- ☒ Check Enclosed
- ☒ Authorized to be charged in whole or in part to:

8. Deposit account number: 12-0425

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9. Signature:

CLIFFORD J. MASS
Name of Person Signing

Signature

DECEMBER 17, 2004
Date

Total number of pages including coversheet, attachments, and documents:

9

EXPRESS MAIL LABEL

NO.: EV 481672040 US

(Assignment (Document) Cover Sheet—page 2 of 3) 13-21

PATENT
REEL: 016201 FRAME: 0977

12/27/2004 MKAYPAGH 00000122 10498682

01 FC:8021

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1. Additional names of conveying party(ies):

4. MANUEL ANTONIO OLIVER CRUZ
5. NIEVES FRAILE YECORA
6. JUAN LUIS DE LA FUENTE MORENO
7. MARTA RODRÍGUEZ SAIZ
8. BRUNO DIEZ GARCIA
9. ENRIQUE PEIRO CEZON
10. ANGEL MUÑOZ RUIZ
11. WALTER CABRI
12. JUAN FRANCISCO LOPEZ ORTIZ
13. JOSÉ LUIS BARRERO FUENTE

Execution Date(s):

4. SEPTEMBER 13TH 2004
5. SEPTEMBER 13TH 2004
6. SEPTEMBER 13TH 2004
7. SEPTEMBER 13TH 2004
8. SEPTEMBER 15TH 2004
9. SEPTEMBER 13TH 2004
10. SEPTEMBER 13TH 2004
11. OCTOBER 11TH 2004
12. SEPTEMBER 13TH 2004
13. SEPTEMBER 13TH 2004

2. Additional names and addresses of receiving party (ies):

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Address

Name:

Address

Name:

Address

Name:

Address

4. Additional application number(s) or patent number(s)

- 4.
- 5.
- 6.
- 7.
- 8.

For: ☐ U.S. and/or ☐ Foreign Rights
For: ☒ U.S. Application or
☐ U.S. Provisional Application
For: ☐ U.S. Patent
For: ☐ PCT Application
By: Multiple Inventors

ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS (Inventors):ANA TERESA MARCOS RODRÍGUEZ*(type or print name of inventors)*C/O VITATENE, S.A.AVDA. ANTIBIOTICOS, 59/61E-24009 LEÓN, SPAINAddressSPANISH

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Added page(s) for signature by subsequent inventors. Number of pages added

2

EXPRESS MAIL LABEL
NO.: EV 481672040 US

(Assignment of Invention—Multiple Inventors—page 1 of 3) 16-3.2

PATENT
REEL: 016201 FRAME: 0979

ADDED PAGE TO ASSIGNMENT FOR SIGNATURE BY SUBSEQUENT INVENTORS

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(Assignment of Invention—Multiple Inventors—Added Page) **16-3.2a**

ADDED PAGE TO ASSIGNMENT FOR SIGNATURE BY SUBSEQUENT INVENTORS

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Nationality

Address

Nationality

(Assignment of Invention—Multiple Inventors—Added Page) 16-3.2a

(if assignment is by person or entity to whom invention was previously assigned
and this was recorded in PTO, add the following)

Recorded on _____

Reel _____

Frame _____

hereby sells, assigns and transfers to
ASSIGNEE

VITATENE, S.A.
(type or print name of ASSIGNEE)

AVDA. ANTIBIÓTICOS, 59/61
Address

SPANISH
Nationality

E-24009 LEÓN, SPAIN

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest
☐ an undivided _____ percent (_____ %) right, title and interest
for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☐ and in all foreign countries, including all rights to claim priority, in and to any and all
improvements which are disclosed in the invention entitled:

IMPROVED METHOD OF PRODUCING LYCOPENE THROUGH THE FERMENTATION
OF SELECTED STRAINS OF BLAKESLEA TRISPOREA, FORMULATIONS AND USES OF
THE LYCOPENE THUS OBTAINED

Name of inventor(s) ANA TERESA MARCOS RODRÍGUEZ;
ANTONIO ESTRELLA DE CASTRO
JAVIER COSTA PEREZ
MANUEL ANTONIO OLIVER RUIZ
NIEVES FRAILE YECORA
JUAN LUIS DE LA FUENTE MORENO
MARTA RODRÍGUEZ SAIZ
BRUNO DIEZ GARCIA
ENRIQUE PEIRO CEZON
ANGEL MUÑOZ RUIZ
WALTER CABRI
JUAN FRANCISCO LOPEZ ORTIZ
JOSÉ LUIS BARREDO FUENTES

(check and complete (a), (b), (c), (d), (e), (f) or (g))

(Assignment of Invention—Multiple Inventors—page 2 of 3) 16-3.2

PATENT
REEL: 016201 FRAME: 0982

and which is found in (37 C.F.R. 3.21)

- (a) ☐ Not yet filed U.S. patent application executed on even date herewith
(b) ☐ Not yet filed U.S. patent application executed on _____
(c) ☐ Not yet filed U.S. provisional application naming the above inventor(s) for the above-entitled invention
☐ To comply with 37 C.F.R. 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.
(d) ☐ U.S. patent or provisional patent application no. _____ filed on _____
(e) ☒ International application no. PCT/ES02 / 00610
(f) ☐ U.S. patent no. _____ issued _____
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

- (g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

ANA TERESA MARCOS RODRÍGUEZ
(type name of Assignor)

(x) Ana Teresa Marcos this (x) Sept 15th
Signature of ASSIGNOR date of signing

ANTONIO ESTRELLA DE CASTRO
(type name of Assignor)

(x) [Signature] this (x) Sept-13th
Signature of ASSIGNOR date of signing

JAVIER COSTA PEREZ
(type name of Assignor)

(x) Javier Costa this (x) Sept-13th
Signature of ASSIGNOR date of signing

MANUEL ANTONIO OLIVER RUIZ
(type name of Assignor)

(x) M. Oliver Ruiz this (x) 13.9.4
Signature of ASSIGNOR date of signing

NIEVES FRAILE YECORA
(type name of Assignor)

(x) N. Fraile Yecora this (x) Sept-13th
Signature of ASSIGNOR date of signing

(Assignment of Invention—Multiple Inventors—page 3 of 3) 16-3.2

JUAN LUIS DE LA FUENTE MORENO

(type name of Assignor)

(x) Juan Luis de la Fuente
Signature of ASSIGNOR

this (x) Sept 12th
date of signing

MARTA RODRÍGUEZ SAIZ

(type name of Assignor)

(x) Marta Rodriguez Saiz
Signature of ASSIGNOR

this (x) Sept 13th
date of signing

BRUNO DIEZ GARCIA

(type name of Assignor)

(x) Bruno Diez
Signature of ASSIGNOR

this (x) Sept 15th
date of signing

ENRIQUE PEIRO CEZON

(type name of Assignor)

(x) Enrique Peiro
Signature of ASSIGNOR

this (x) Sept 13th
date of signing

ANGEL MUÑOZ RUIZ

(type name of Assignor)

(x) Angel Muñoz Ruiz
Signature of ASSIGNOR

this (x) Sept. 13, 2004
date of signing

WALTER CABRI

(type name of Assignor)

(x) Walter Cabri
Signature of ASSIGNOR

this (x) Oct. 11th
date of signing

~~JUAN FRANCISCO~~

JOSÉ LUIS LOPEZ ORTIZ

(type name of Assignor)

(x) José Luis Lopez Ortiz
Signature of ASSIGNOR

this (x) Sept. 13th
date of signing

JOSÉ LUIS BARREDO FUENTE

(type name of Assignor)

(x) José Luis Barredo
Signature of ASSIGNOR

this (x) Sept 13th
date of signing

(if ASSIGNOR is a legal entity, complete the following information)

(type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

[] Notarization or Legalization Page Added.