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Substitute Form PTO-1595 Attorney Docket No.: 07148-064001 Client's Ref. No.: CGL99/0003US01, A015-00548.0001

# RECORDATION FORM COVER SHEET PATENTS ONLY

Commissioner for Patents: Please record the attached original docum	nent(s) or copy(ies).
1. Name of conveying party(ies):	2. Name and address of receiving party(ies):
The Samuel Roberts Noble Foundation, Inc.	Miami University 501 E. High Street Oxford, Ohio 45056
Additional name(s) attached? 🗆 Yes 🗵 No	
3. Nature of conveyance:	
I Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other:	
Execution Date: 11/25/1998 and 11/13/1998	Additional names/addresses attached? 🛛 Yes 🗷 No
4. Application number(s) or patent number(s):	
If this document is being filed with a new application, the executio	n date of the application is:
A. Patent Application No(s).:	B: Patent No(s).:
	6,307,128
Additional numbers a	attached? 🗆 Yes 🗵 No
<ol> <li>Name/address of party to whom correspondence concerning document should be mailed;</li> </ol>	6. Total number of applications/patents involved: 1
M. ANGELA PARSONS, PH.D. Fish & Richardson P.C., P.A. 60 South Sixth Street Suite 3300 Minneapolis, MN 55402	<ul> <li>7. Total fee (37 CFR §3.41): \$40</li> <li>□ Enclosed</li> <li>☑ Authorized to charge Deposit Account.</li> <li>8. Deposit Account No.: 06-1050</li> <li>Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.</li> </ul>
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any attached copy is a true copy of the original documen	d belief, the foregoing information is true and correct and nt.
Reg. No. 44,282 Name of Person Signing Signature	Date 1,2005
To	tal number of pages including coversheet, attachments and document: 5

CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

May 9, 2005 Date of Transmission Jill Huso Typed Name of Person Signing Certificate PATENT REEL: 016206 FRAME: 0259

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# ASSIGNMENT OF RIGHTS AND ROYALTY AGREEMENT

This agreement (the "Agreement") is made effective the 13th day of November, 1998 between THE SAMUEL ROBERTS NOBLE FOUNDATION, INC. (hereinafter "NOBLE"), an Oklahoma nonprofit corporation having offices at 2510 Sam Noble Parkway, Ardmore, Oklahoma 73401 and MIAMI UNIVERSITY, (hereafter "MIAMI"), an educational institution of higher learning located at Oxford, Ohio 45056.

#### WITNESSETH:

WHEREAS, NOBLE and MIAMI have jointly engaged in research in the plant and agricultural field; and

WHEREAS, an invention has been made jointly as a result of this research by Dr. Dusty (Martha Ann) Post-Beittenmiller of NOBLE, Dr. Jan G. Jaworski of MIAMI, and Dr. James Todd of MIAMI; and

WHEREAS, a United States patent application has been filed concerning said invention entitled "Fatty Acid Elongases" by a third party, with which MIAMI has an agreement; and

WHEREAS, as a result of Dr. Dusty (Martha Ann) Post-Beittenmiller's status as a joint inventor, and her obligation to assign all of her right, title and interest to the same to NOBLE, NOBLE has rights to all of the Post-Beittenmiller ownership interest in said invention;

WHEREAS, MIAMI is willing to pay NOBLE a lump sum amount upon execution of this Agreement and a royalty on future sales or licenses in return for NOBLE's rights to the subject matter in said patent application and all scientific knowhow and other inventions related to the technology embodied in said patent application, said technology relating to nucleic acids encoding 3-keto acyl synthase proteins that are effective for producing very long chain fatty acids, polypeptides produced from such nucleic acids and transgenic plants expressing such nucleic acids (hereafter the "Technology"); and

#### Assignment of Rights and Royalty Agreement - Page 1 of 4

NOW, THEREFORE, in consideration of the premises, the mutual covenants, promises and agreements set forth herein, and any other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties hereby covenant, promise and agree as follows:

1. NOBLE hereby grants to MIAMI all of its world wide right, title, interest and possession in and to the Technology. MIAMI shall be responsible for procuring any patent protection in any country and prosecuting any patent in any country which it deems appropriate and for all expenses, attorney fees, and maintenance fees associated with the same. NOBLE agrees to execute all necessary papers for effecting transfer and recording the same with the various patent offices. MIAMI agrees to pay all expenses necessary for recording assignment of patent rights.

2. In consideration for the transfer of rights to the Technology described in paragraph 1, MIAMI agrees to pay

3. MIAMI shall render to NOBLE within thirty (30) days of the last day of the preceding calendar quarter during any period which royalties are due hereunder, a written report specifying total amounts earned by MIAMI as a result of sales and/or licensing of the Technology. Each report shall be accompanied by a check payable to NOBLE in the amount of royalties accrued pursuant to section 2 during such calendar quarter. MIAMI agrees to pay interest on any due but unpaid royalties or other monies owing at the rate of twelve (12) percent per annum compounded quarterly from the due date of the unpaid royalties or monies.

4. MIAMI hereby grants to NOBLE a non-exclusive royalty free license to use the Technology including any patent related to the Technology which may issue in NOBLE's ongoing scientific research program and MIAMI represents that all agreements it has entered to date, and any future agreements of sale, transfer, assignment or license of the Technology to a third party will be made subject to NOBLE's license;

5. MIAMI agrees to give appropriate recognition to NOBLE and its scientists in any press release concerning the Technology for their role in developing the Technology in the course of the join scientific research program. NOBLE shall have the right to review and approve any such press release in advance of publication.

### Assignment of Rights and Royalty Agreement - Page 2 of 4

6. MIAMI will provide NOBLE with an annual accounting of all payments earned from the sale and/or licensing of the Technology. MIAMI shall keep adequate records in sufficient detail to enable the royalties payable to NOBLE hereunder to be determined, and shall permit such records to be inspected at any time during regular business hours by NOBLE, or for an accountant appointed by NOBLE and acceptable to MIAMI. If the results of the accounting show that MIAMI, at any time, has made a payment which is more than five (5) percent less than the payment actually owed, MIAMI agrees to immediately render payment for the difference owed and MIAMI also agrees to pay for the cost of the accounting.

7. MIAMI shall bear the sole responsibility for any patent infringement suit brought against MIAMI by a third party based on MIAMI's use or sale of any of the Technology.

8. To the extent permitted by the Constitution and the laws of the State of Ohio, Miami agrees to bear full responsibility for all claims arising out of personal injury, death, property damage, intellectual property damages, or any other reason, arising as a result of Miami activities with respect to the Technology. It is understood that NOBLE and its employees and agents shall have no liability in connection with Miami's activities concerning the Technology. Nothing herein shall preclude Miami from asserting any defenses it may have under law.

9. **NOBLE** does not warrant the validity of any patent rights conferred and makes no representations whatsoever with regard to the scope of the patent rights, or that the patent rights may be exploited without infringing other patents or other intellectual property rights of third parties.

## 10. NOBLE MAKES NO WARRANTIES, EXPRESS OR IMPLIED OF MERCHANTABILITY OF FITNESS FOR A PARTICULAR PURPOSE OF ANY TECHNOLOGY INCLUDING SUBJECT MATTER DEFINED BY THE CLAIMS OF THE PATENTS OR PATENT APPLICATIONS INCLUDED IN THE TECHNOLOGY.

11. In the event that any provision of this Agreement is held unenforceable or in conflict with the law of any jurisdiction, it is the intention of the parties that the validity of the remaining provisions hereof shall not be affected by such holding.

12. This Agreement is entered into under, and shall be construed in accordance with the laws of the State of Ohio.

Assignment of Rights and Royalty Agreement - Page 3 of 4

13. In the event of a dispute between the parties concerning this Agreement, it is agreed that the aggrieved party will notify the other by certified letter sent by the United States Postal Service to the address provided, or by overnight letter sent by Federal Express overnight delivery service, to the attention of the person signing below on behalf of the respective parties, or to any other person designated in writing at a later date using similar notification means. After notification by the aggrieved party, the notified party shall have twenty (20) business days to rectify the problem to the satisfaction of the other.

14. This document represents the entire Agreement between NOBLE and MIAMI as it relates to the Technology transferred by NOBLE to MIAMI hereunder.

# THE SAMUEL ROBERTS NOBLE FOUNDATION, INC.

By:	
Printed Name: RICHARD A. DIFON	
Title: DIRECTOR, PLANT GOLDEY	

Date: \_\_\_\_1/25/98

Address: 2510 Sam Noble Parkway Ardmore, OK 73401

ACCEPTED and agreed to this 25<sup>H4</sup> day of wove mathematication, 1998.

MIAMI UNIVERSITY
By Spend Deudle
Printed Name: Edward J. Demske
Title: Snr VP for Finance & Univ Services
Date: 11/20/98
Address: 500 E. High St.
Oxford, On 45056

ACCEPTED and agreed to this 13 day of November , 1998.

Assignment of Rights and Royalty Agreement - Page 4 of 4 RECORDED: 05/09/2005