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## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

Patrick HO  
Edward J. GAVIN

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) May 12, 2005 and May 12, 2005

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: CIPHERGEN BIOSYSTEMS, INC.

Internal Address: \_\_\_\_\_

Street Address: 6611 Dumbarton Circle

City: Fremont

State: California

Country: USA Zip: 94555

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

11/040,493

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: John Storella

Internal Address: Ciphergen Biosystems, Inc.

Street Address: 6611 Dumbarton Circle

City: Fremont

State: California Zip: 94555

Phone Number: 510-505-2100

Fax Number: 510-505-2101

Email Address: jstorella@ciphergen.com

### 6. Total number of applications and patents involved: One (1)

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 50-2594

Authorized User Name John Storella

### 9. Signature:



Signature

May 13, 2005  
Date

John Storella  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:  
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PATENT  
REEL: 016218 FRAME: 0014

Attorney Docket No.: 016866-012310 US

**ASSIGNMENT OF PATENT APPLICATION**

JOINT

WHEREAS, **PATRICK HO** of 3530 Gilman Common, Fremont, CA 94538; and **EDWARD J. GAVIN** of 1682 Naglee Avenue, San Jose, CA 95126; hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:           METHOD FOR CLUSTERING SIGNALS IN SPECTRA  
Filing Date:                 January 20, 2005  
Application No.:           11/040,493; and

WHEREAS, CIPHERGEN Biosystems, Inc., a corporation of United States, located at 6611 Dumbarton Circle, Fremont, CA, 94555, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignment for Ser. No. 11/040,493  
Attorney Docket No.: 016866-012310 US  
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IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 5/12/05

Patrick Ho  
PATRICK HO

WITNESSED BY:

Signature: Henry Chau

Name: HENRY CHAU

Address: 6611 Dumbarton Circle  
Fremont, CA 94555

Date: 5/12/2005

Signature: Sarah Lynn Torres

Name: Sarah Lynn Torres

Address: 6611 Dumbarton Circle  
Fremont, CA 94555

Date: May 12, 2005

Dated: MAY 12, 2005

Edward J. Gavin  
EDWARD J. GAVIN

WITNESSED BY:

Signature: Chen, Hun-Sun

Name: CHEN, HUN-SUN

Address: 6611 Dumbarton Circle  
Fremont, CA 94555

Date: 05/12/2005

Signature: Sarah Lynn Torres

Name: Sarah Lynn Torres

Address: 6611 Dumbarton Circle  
Fremont, CA 94555

Date: May 12, 2005