2/7/05

O2

Additional name(s) of conveying party(ies) attached? Yes No 🖂

02-09-2005

Case Name: SP04-136

PAT

To the Honorable Commissioner o,

102936827

documents or copy thereof.

(1) Conveying Party(ies): Xin Chen
Ming-Jun Li
Nicol A. Heron
Jeanne L. Swecker
Naiyue Zhou

Title: METHOD OF IMPARTING TWIST TO OPTICAL FIBER

(2) Receiving Party: Corning Incorporated
Patent Dept.; SP-TI-03-1
Corning, NY 14831

(3) Nature of conveyance: Assignment executed: January 21, 2005, February 1, 2005, December 14, 2004, December 14, 2004, and December 14, 2004

(4) Application number(s) and/or patent number(s) against which this assignment is to be recorded:

A. Patent Application No.(s): 11/015509 Filing date: 12/16/2004 B. Patent No.(s): Filing date:

If this document is being filed together with a new application, this assignment is also to be recorded against said application, executed on _____.

(5) Name and address of party to whom correspondence concerning document should be mailed:

Name:

Robert L. Carlson Reg. No. 35,473 Corning Incorporated Patent Department SP-TI-03-1

Corning, NY 14831 Phone No.: 607-974-3502

(6) Total Number of applications and/or patents involved: one

PR/FINANCE

(7) Total Fee = \$40.00

Enclosed (Check No.)

Included with filing fee. Check No.

Authorization given by Corning Incorporated to charge deposit account number 03-3325 (attached is a triplicate copy of this page)

To the best of my knowledge and belief, the foregoing information is true and correct and any

attached copy is a true copy of the original document.

February 2, 2005

Total number of pages comprising cover sheet: one

02/09/2005 ECOOPER 00000018 033325 11015509

01 FC:8021 40.00 DA

ASSIGNMENT

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a METHOD OF IMPARTING TWIST TO OPTICAL FIBER, such inventions being generally described in an application for Letters Patent of the United States executed on

DATE	January 21, 2005
DATE	February 1, 2005
DATE	December 14, 2004
DATE	December 14, 2004
DATE	December 14, 2004
DATE	

and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore.

I request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

I agree that, when requested, I will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

I authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

Signed at Corning, NY, this		
$\frac{01/21/2005}{\text{Date}}$	Xin Chen Ming-Jun Li	h.
Signed at Wilmington, NC, this 12 14 04 Date 12 14 04 Date 12 14 04 Date 12 14 04 Date	Nicol A. Heron Jeanne J. Jeanne L. Swecke Naiyue Zhou	Sweden-
State of New York) : ss. County of Steuben)		
On the 21 ²⁷ day of January, 2005, be executed the foregoing instrument, and	efore me personally c acknowledged that th	Notary Public DIANA L. SILVERNAIL
State of New York) : ss. County of Steuben)		Notary Public, State of New York Steuben County No. 01SI4798033 Commission Expires June 30, 2007
On the day of December, 2004, who executed the foregoing instrument	, before me personally	came Ming-Jun Li known to be the person described in and nat they executed the same. Notary Public DIANA L. SILVERNAIL
State of North Carolina) North : ss. County of New Hanover)	Carolina	Notary Public, State of New York Steuben County No. 01SI4798033 Commission Expires June 30, 2001
On the day of December, 2004, before me personally came Nicol A. Heron known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.		
	ે વૃ	Notary Public Commission Expires 7/13/08
State of North Carolina) : ss. County of New Hanover)		
On the 14th day of December, 2004, and who executed the foregoing instrur	, before me personally ment, and acknowledge	came Jeanne L. Swecker known to be the person described in ed that they executed the same. Notary Public.

PATENT REEL: 016229 FRAME: 0021

My Commission Expires 7/13/08

State of North Carolina) County of New Hanover)

On the Aday of December, 2004, before me personally came Naiyue Zhou known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Notary Public My Commission Expires 7/13/0 8

ASSIGNMENT

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a METHOD OF IMPARTING TWIST TO OPTICAL FIBER, such inventions being generally described in an application for Letters Patent of the United States executed on

DATE	January 21, 2005
DATE	February 1, 2005
DATE	December 14, 2004
DATE	December 14, 2004
DATE	December 14, 2004
DATE	

and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore.

I request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

I agree that, when requested, I will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

I authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

Signed at Corning, NY, this	
01/21/2005 Date 2/1/05 Date	Xin Chen Ming-Jun Li
Signed at Wilmington, NC, this 12 14 04 Date 12 14 04 Date 12 14 04 Date Date	Nicol A. Heron Jeanne J. Swecker Naiyue Zhou
State of New York) : ss. County of Steuben)	
On the 21 And day of January, 2005, be executed the foregoing instrument, and	fore me personally came Xin Chen known to be the person described in and who acknowledged that they executed the same. Notary Public DIANA L. SILVERNAIL
State of New York) : ss.	Notary Public, State of New York Steuben County No. 01SI4798033
County of Steuben) On the day of December, 2004, who executed the foregoing instrument,	before me personally came Ming-Jun Li known to be the person described in and and acknowledged that they executed the same. Notary Public DIANA L. SILVERNAIL
State of North Carolina) North Carolina)	
County of New Hanover)	Commission Expires June 30, 2007
On the day of December, 2004, by who executed the foregoing instrument,	efore me personally came Nicol A. Heron known to be the person described in and and acknowledged that they executed the same.
	Notary Public My Commission Expires 7/13/08
State of North Carolina)	
: ss. County of New Hanover)	
	pefore me personally came Jeanne L. Swecker known to be the person described in ent, and acknowledged that they executed the same. Colored Colored

PATENT REEL: 016229 FRAME: 0024

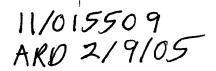
My Commission Expires 7/13/08

State of North Carolina) County of New Hanover)

On the day of December, 2004, before me personally came Naiyue Zhou known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Notary Public 1

My Commission Expires 7/13/0 8



ASSIGNMENT

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a METHOD OF IMPARTING TWIST TO OPTICAL FIBER, such inventions being generally described in an application for Letters Patent of the United States executed on

DATE	January 21, 2005
DATE	February 1, 2005
DATE	December 14, 2004
DATE	December 14, 2004
DATE	December 14, 2004
DATE	

and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore.

I request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

I agree that, when requested, I will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

I authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

01/21/2005	De	
Date	Xin Chen	
2/1/05	Tul	
2/// J	Ming-Jun Li	
Date	wing van Di	
Signed at Wilmington, NC, this	. 1/	
12 14 04	NAT	
Date	Nicol A. Heron	
12/14/04	Diama &	1 week
12/14/04 Date	Jeanne L. Swecker	
12/14/04	'h, ~	
Date	Naiyue Zhou	
Date	•	
State of New York)		
: ss. County of Steuben)		
On the 21 day of January, 2005, h	pefore me personally can	me Xin Chen known to be the person described in and who
executed the foregoing instrument, and	d acknowledged that they	y executed the same.
		Wiana Vailelinail
		Notary Public
		DIANA L. SILVERNAIL Notary Public, State of New York
State of New York)		Steuben County No. 01SI4798033
: ss. County of Steuben)		Commission Expires June 30, 2007
On the lat day of December 2004	S L before me personally	came Ming-Jun Li known to be the person described in and
who executed the foregoing instrumen	it, and acknowledged that	at they executed the same.
		Nina Thilusenail
		Notary Public
State of North Carolina) North	0	DIANA L. SILVERNAIL Notary Public, State of New York
: SS.	Catelona	Steuben County No. 01SI4798033
County of New Hanover)		Commission Expires June 30, 2007
On the day of December, 2004 who executed the foregoing instrumer	, before me personally ca	ame Nicol A. Heron known to be the person described in and at they executed the same.
		Notary Public
	My	Notary Public Commission Expires 7/13/08
State of North Carolina)	-	
: ss. County of New Hanover)		
	1.16	Tanna I Consider the same to be the same described to
On the 14th day of December, 2004, before me personally came Jeanne L. Swecker known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.		
•		Kay a. Lee Notary Publit
		Notary Public
	RA ₂ .	Commission Funites 7/13/08

Signed at Corning, NY, this

State of North Carolina)
: ss.
County of New Hanover)

RECORDED: 02/07/2005

On the 14th day of December, 2004, before me personally came Naiyue Zhou known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Notary Public

My Commission Expires 7/13/0 8