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田 第214105	102937			
To the Director of the U.S. Patent and Trademark	UZ907	attached documents	or the new address	es) below:
. Name of conveying party(ies)/Execution Date(s): 2.	Name and Addre	ess of receiving pa	rty(ies)
Samuel J. Danishefsky; Alexey Rivkin; Ting-Chao Gabarda; Huajin Dong; Kaida Wu; Malcolm A.S. Dorn; and Fumihiko Yoshimura	Moore; David	ne: Sloan-Ketterin	g Institute for Car	cer Research
Execution Date(s): January 20, 2005; January 26, 20 January 21, 2005; January 25, 20 January 28, 2005; January 25, 20 January 25, 2005; January 25, 20 January 22, 2005; respectively	05; 005; 005; Stre 05; and	rnal Address: et Address: 1275 Yo /: New York	ork Avenue	
Additional name(s) of conveying party(ies) attached?	UYes 🗵 No			
3. Nature of conveyance:	Stat	e: New York		
 ☑ Assignment □ Merger □ Sečurity Agreement □ Change of N 		intry: U.S.A.	Z	ip: 1 0021
Government Interest Assignment	Add	litional name(s) & ac	ldress(es) attached?	🗆 Yes 🗵 No
□ Executive Order 9424, Confirmatory License		. /		
□ Other				
Application or patent number(s):	This docum	nent is being filed tog	gether with a new a	oplication.
A. Patent Application No.(s)	В.	Patent No.(s)		
10/921,109				
5. Name and address to whom correspondence	Iditional numbers attached?	Total number of a	pplications and pa	tents
concerning document should be mailed:		involved:	1	
Name: C. Hunter Baker, M.D., Ph.D.	7.	Total fee (37 CFR	1.21(h) & 3.41)	\$ 40.00
Internal Address: Choate, Hall & Stewart Patent Department		□ Authorized to be	charged by credit	card
Street Address: Exchange Place		□ Authorized to be		
53 State Street		Enclosed		
City: Boston		□ None required (g	government interest	not affecting title)
State: MA ZIP: 02109		Dourmont Informer		
Phone Number: 617-248-5215	8.	Payment Informatic a. Credit Card	Last 4 Numbers	
Fax Number: 617-248-4000			Expiration Date	
		b. Deposit account	number:	
Email Address: <u>cbaker@choate.com</u>				
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2005 DBYRNE 00000003 10921109		Authorized User	Name February 2, Date	<u> </u>

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Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

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<u>ASSIGNMENT</u>

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, each of the undersigned Samuel J. Danishefsky, of Englewood, NJ; Alexey Rivkin, of Hoboken, NJ; Ting-Chao Chou, of Paramus, NJ; Ana E. Gabarda, of Boston, MA; Huajin Dong, of New York, NY; Kaida Wu, of New York, NY; Malcolm A.S. Moore, of New York, NY; and David Dorn, of New York, NY hereby

Sell, assign and transfer to SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH, having a place of business at 1275 York Avenue, New York, New York 10021, its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE, his/her entire right, title and interest for the United States and all foreign countries; in and to any and all inventions which are disclosed in the application for United States Letters Patent entitled "SYNTHESIS OF EPOTHILONES, INTERMEDIATES THERETO, ANALOGUES AND USES THEREOF" filed August 18, 2004, and given serial number 10/921,109, in and to said application and all divisional, continuing, substitute, renewal, reissue reexamination or other application for Letters Patent which has been or shall be filed in the United States or any foreign country on any of said inventions, and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agrees that said ASSIGNEE may apply for and receive Letters Patent for said inventions in its own name and when requested, without charge to but at the expense of said ASSIGNEE, agrees to carry out in good faith the intent and purpose of this Assignment by executing all divisional, continuing, substitute, renewal, reissue, reexamination and all other patent applications on any and all said inventions; by executing all rightful declarations, oaths, assignments and other papers; by communicating to said ASSIGNEE all facts known to him/her relating to said inventions and the history thereof, and generally by doing everything possible which said ASSIGNEE shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said ASSIGNEE. Each of the undersigned also hereby appoints ASSIGNEE as its common agent for purposes of prosecuting international patent applications and any material patent applications for which such common agency is recognized.

Hereby requests the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE.

Covenants with said ASSIGNEE that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by him/her, and that full right to convey the same as herein expressed is possessed by him/her.

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Page 1 of 5

Atty. Docket No. 2003080-0165 Client Reference No.: SK-1048-B-US

						YORK AUE,
NEW YO	RK, N	1 10021 th	is 20th	day of JA	WLARY	, 2005.

amuel J. Danishefsky

STATE OF NEW YORK SS.

Before me this 20 th day of TANUARY, 2005, personally appeared Samuel J. Danishefsky known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he/she executed the same as his/her free act and deed for the purposes therein contained.

GAIL M FLATON/ NOTARY PUBLIC, Sizie of New York No. 03-4907327 Qualified in Bronx County Commission Expires Sept. 28, 2 005 [Notary's Seal Here]

Daif M. Platoni

NOTARY PUBLIC

My Commission Expires:

IN WITNESS WHEREOF, I hereto set my hand and seal at _____, 2005.

Alexey Rivkin

STATE OF ______ SS.

COUNTY OF _____

Before me this ______ day of ______, 2005, personally appeared Alexey Rivkin known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he/she executed the same as his/her free act and deed for the purposes therein contained.

NOTARY PUBLIC

[Notary's Seal Here]

My Commission Expires:

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Page 2 of 5

Atty. Docket No. 2003080-0165 Client Reference No.: SK-1048-B-US

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			Samuel J. D:	anishefsky
STATE OF				
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Samuel J. Danishefsky know foregoing Assignment and a leed for the purposes therei	acknowledged that			
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Notary's Seal Here]		My Commissio	- ME	
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	this $\lambda(\rho)$	day of d	anuary	, 2005.
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AINDRAJ. CLANCY RY PUBLIC OF NEW JERSEY Amission Expires Feb. 18, 2009 STATE OF <u>Man mouth</u> COUNTY OF <u>Man mouth</u> Before me this <u>2</u> Alexey Rivkin known to m Assignment and acknowled	$\int day of \int day of day$	ss. - Muary_, 2 whose name is	Alexey Rivk	Ily appeared o the foregoing
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	ay of January, 2005	
<b>Fing-Chao Chou</b> known to me to Assignment and acknowledged that		
urposes therein contained.		
WILLIAM BRAND	N 11/.	$\langle \rangle$
Notary Public, State of New York No. 01BR5075322	(MIL) -	[X - X
Qualified in New York County Commission Expires April 7, 2007		
Notary's Seal Here]	My Commission E	xpires: 04-07-07
IN WITNESS WHEREOF, I here	eto set my hand and seal at	, ,
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TATE OF COUNTY OF data Before me this data Ana E. Gabarda known to me to b Assignment and acknowledged that burposes therein contained.	SS. ay of, 2005 be the person whose name is s t he/she executed the same as NOTARY PUBLIC My Commission Ex	E. Gabarda , personally appeared subscribed to the foregoing his/her free act and deed for the kpires:

	F, I hereto set my hand a this d	lay of	, 2005.
		Ting-Chao (	Chou
STATE OF			
COUNTY OF			
Before me this	day of	, 2005, persona	lly appeared
<b>Ting-Chao Chou</b> known to Assignment and acknowledg purposes therein contained.			
	NOTAR	RY PUBLIC	
[Notary's Seal Here]	МуСс	ommission Expires:	
	2	Ana E. Gaba	Maosaehunel
STATE OF Massachus	utto		
	SS.		
COUNTY OF Suffalle	•		
		iose name is subscribe	d to the foregoing
Before me this <u>25</u> Ana E. Gabarda known to a Assignment and acknowledg	me to be the person wh	iose name is subscribe	to the foregoing ree act and deed for the
Before me this <u>25</u> Ana E. Gabarda known to a Assignment and acknowledg	me to be the person wh ged that he/she executed Notar	iose name is subscribe	d to the foregoing

PATENT REEL: 016232 FRAME: 0290 **_____** 

# 授权书

专利享有人包括 Samuel J. Danishefsky, Alexey Rivkin, Ting-Chao Chou, Ana E.Gabarda, Huajin Dong, k Kaida Wu, Malcolm A. S. Moore, David Dor 同意将专利转让给 SLOAN-KETTERING INSTITUTE CANCER CENTER 进行抗肿瘤研究, 该所位于纽约 1275 York Avenue, New York, New York 10021。该公司及后续公司, 法律代表统称为被授人。享有人将《SYNTHESIS OF EPOTHILONESK INTERMEDIATES THERETO, ANALOGUES AND USES THEREOF》专利(归档日期 2004 年 8 月 18 日,序号 10/921, 109)规定的权利,专利和发明权转让给该公司,包括涉及上述发明的部门内的重审,持续审查,替代审查,更新审查,重复审查,还包括美国境内外的该专利的申请。

享有人同意被授人自我申请上述发明专利证书,并在接到请求后,免费或由 被授人实施的专利重审,发明声明,做出承诺或签署其他文件,向被授人提供与 该发明有关的事实和历史记录,并尽力接受被授人意图协助该发明的专利保护。 每个享有人指令被授人为共同的代理人从事国际专利申请。

因此请求专利商标局局长向上述被授人颁发专利证书。

并向被授人承诺从未向任何人因此专利权转让,授予,抵押,发执照和签署 任何协议,并且每位享有人都口径一致。





以此为证, 我在此按下手印或印章

2005年 01月 28日。

uapm d 签名 Huajin Dong

在 2005 。/月 23 日 前, 我亲自给 Huajin Dong (董华进)看了有关授权 书的内容,他的名字在 Assignment(授权书)的前面被提到和认可。

公证员签名

盖公证章处

公证有效期





# 公证书

(2005) 京石证字第 139 号

兹证明董华进(男,一九六二年四月七日出生,现住北京 市海淀区)于二00五年一月二十八日来到我处,在我的面前, 在前面的《授权书》上签名。

中华人民共和国北京市石景山区公证处



X 🛛 12732237

#### ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, each of the undersigned Samuel J. Danishefsky, of Englewood, NJ; Alexey Rivkin, of Hoboken, NJ; Ting-Chao Chou, of Paramus, NJ; Ana E. Gabarda, of Boston, MA; Huajin Dong, of New York, NY; Kaida Wu, of New York, NY; Malcolm A.S. Moore, of New York, NY; and David Dorn, of New York, NY hereby

Sell, assign and transfer to SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH, having a place of business at 1275 York Avenue, New York, New York 10021, its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE, his/her entire right, title and interest for the United States and all foreign countries; in and to any and all inventions which are disclosed in the application for United States Letters Patent entitled "SYNTHESIS OF EPOTHILONES, INTERMEDIATES THERETO, ANALOGUES AND USES THEREOF" filed August 18, 2004, and given serial number 10/921,109, in and to said application and all divisional, continuing, substitute, renewal, reissue reexamination or other application for Letters Patent which has been or shall be filed in the United States or any foreign country on any of said inventions, and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agrees that said ASSIGNEE may apply for and receive Letters Patent for said inventions in its own name and when requested, without charge to but at the expense of said ASSIGNEE, agrees to carry out in good faith the intent and purpose of this Assignment by executing all divisional, continuing, substitute, renewal, reissue, reexamination and all other patent applications on any and all said inventions; by executing all rightful declarations, oaths, assignments and other papers; by communicating to said ASSIGNEE all facts known to him/her relating to said inventions and the history thereof, and generally by doing everything possible which said ASSIGNEE shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said ASSIGNEE. Each of the undersigned also hereby appoints ASSIGNEE as its common agent for purposes of prosecuting international patent applications and any material patent applications for which such common agency is recognized.

Hereby requests the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE.

Covenants with said ASSIGNEE that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by him/her, and that full right to convey the same as herein expressed is possessed by him/her.

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Page 1 of 5

Atty. Docket No. 2003080-0165 Client Reference No.: SK-1048-B-US ļ

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STATE OF       State of         STATE OF       State of         COUNTY OF       Beiore me this       28         Before me this       28       day of         Assignment and acknowledged that he/she executed the same as his/her free act and deed for the purposes therein contained.       NOTARY PUBLIC         (Notary's Seal Here]       My Commission Expires:         IN WITNESS WHEREOF, I hereto set my hand and seal at	28	this day of, 2005.	
STATE OF       Before me this       28       day of       2005, personally appeared         Huajin Dong known to me to be the person whose name is subscribed to the foregoing       Assignment and acknowledged that he/she executed the same as his/her free act and deed for t         NOTARY PUBLIC       NOTARY PUBLIC         [Notary's Seal Here]       My Commission Expires:         IN WITNESS WHEREOF, I hereto set my hand and seal at			$\sim 0$
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Before me this day of, 2005, personally appeared         Huajin Dong known to me to be the person whose name is subscribed to the foregoing         Assignment and acknowledged that he/she executed the same as his/her free act and deed for t         purposes therein contained.         NOTARY PUBLIC         [Notary's Seal Here]       My Commission Expires:         IN WITNESS WHEREOF, I hereto set my hand and seal at, day of, 2005.         Kaida Wu         STATE OF	$\bigcirc$	Huajju Dong	
Before me this day of, 2005, personally appeared         Huajin Dong known to me to be the person whose name is subscribed to the foregoing         Assignment and acknowledged that he/she executed the same as his/her free act and deed for t         purposes therein contained.         NOTARY PUBLIC         [Notary's Seal Here]       My Commission Expires:         IN WITNESS WHEREOF, I hereto set my hand and seal at, day of, 2005.         Kaida Wu         STATE OF	STATE OF Beijng		
Before me this _2       day of		SS.	
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NOTARY PUBLIC         [Notary's Seal Here]       My Commission Expires:			<i>ioi</i> 101 1110
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IN WITNESS WHEREOF, I hereto set my hand and seal at, this day of, 2005.  Kaida Wu STATE OF		NOTARY PUBLIC	
IN WITNESS WHEREOF, I hereto set my hand and seal at, this day of, 2005.  Kaida Wu STATE OF	[Notary's Seal Here]	My Commission Expires:	
this day of, 2005.          Kaida Wu         STATE OF			
this day of, 2005.          Kaida Wu         STATE OF			
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STATE OFSS. COUNTY OFSS. Before me thisday of, 2005, personally appeared Kaida Wu known to me to be the person whose name is subscribed to the foregoing Assignme and acknowledged that he/she executed the same as his/her free act and deed for the purposes therein contained. NOTARY PUBLIC [Notary's Seal Here] My Commission Expires: 3736346_1.DOC Page 4 of 5 Atty. Docket No. 2003080-016		, 2005.	
STATE OFSS. COUNTY OFSS. Before me thisday of, 2005, personally appeared Kaida Wu known to me to be the person whose name is subscribed to the foregoing Assignme and acknowledged that he/she executed the same as his/her free act and deed for the purposes therein contained. NOTARY PUBLIC Notary's Seal Here] My Commission Expires: 1736346_1.DOC Page 4 of 5 Atty. Docket No. 2003080-016			
STATE OFSS. COUNTY OFSS. Before me thisday of, 2005, personally appeared Kaida Wu known to me to be the person whose name is subscribed to the foregoing Assignme and acknowledged that he/she executed the same as his/her free act and deed for the purposes therein contained. NOTARY PUBLIC Notary's Seal Here] My Commission Expires: 736346_1.DOC Page 4 of 5 Atty. Docket No. 2003080-016			
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COUNTY OF       Before me this day of, 2005, personally appeared         Kaida Wu known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he/she executed the same as his/her free act and deed for the purposes therein contained.         NOTARY PUBLIC         Notary's Seal Here]       My Commission Expires:         Page 4 of 5       Atty. Docket No. 2003080-016	STATE OF	Kaida Wu	
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	Before me this Before me this Kaida Wu known to me to be th and acknowledged that he/she en therein contained.	SS. day of, 2005, personally appeared he person whose name is subscribed to the foregoing As xecuted the same as his/her free act and deed for the pur NOTARY PUBLIC My Commission Expires: Page 4 of 5 Atty. Docket No. 200	poses
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	Before me this Before me this Kaida Wu known to me to be the and acknowledged that he/she en therein contained.	SS. day of, 2005, personally appeared he person whose name is subscribed to the foregoing As xecuted the same as his/her free act and deed for the pur NOTARY PUBLIC My Commission Expires: Page 4 of 5 Atty. Docket No. 200	poses

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# NOTARIAL CERTIFICATE

## (TRANSLATION)

(2005) J.S. Z. Zi, No.139

This is to certify that Dong Huajin (male, born on April 7, 1962, now residing in Haidian District, Beijing) came to my office on January 28, 2005 and affixed his signature before me on the Letter of Authority attached hereto.

> Notary: Zhang Hui Beijing Shijingshan District Notary Public Office The People's Republic of China January 28, 2005

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IN WITNESS WHEREOF, I hereto set r	day of	, 2005.
	Huaj	in Dong
STATE OF	SS.	
COUNTY OF		
Before me this day of Huajin Dong known to me to be the perso Assignment and acknowledged that he/she purposes therein contained.	n whose name is subsc	ribed to the foregoing
	NOTARY PUBLIC	
[Notary's Seal Here]	My Commission Exp	pires:
IN WITNESS WHEREOF, I hereto set n NEW YORK, NY this 2.	ny hand and seal at 57h day of7A  Kaida	Card hy
STATE OF <u>New</u> Yock COUNTY OF <u>New</u> Yock Before me this <u>25th</u> day of <u>S</u> Kaida Wu known to me to be the person w and acknowledged that he/she executed the therein contained.	hose name is subscrib	ed to the foregoing Assignme
	M:09	n. Platoni

NOTARY PUBLIC

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GAIL M. PLATONI NOTARY PUBLIC, State of New York S: No. 03-4907327 My Commission Expires: S: Qualified in Bronx County Commission Expires Sept. 28, 2 09 5

[Notary's Seal Here]

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Page 4 of 5

Atty. Docket No. 2003080-0165 Client Reference No.: SK-1048-B-US

IN WITNESS WHEREOF, I hereto set my hand and seal at 1275 YORK NEW YORK, NY this 25th day of JAMUARY

STATE OF	NEW	YORK	
			SS.
COUNTY OF	NEU	) YORK	

Before me this 2SF4 day of JANUARY, 2005, personally appeared Malcolm A.S. Moore known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he/she executed the same as his/her free act and deed for the purposes therein contained.

GAIL M. PLATONI **NOTARY PUBLIC, State of New York** No. 03-4907327

Qualified in Bronx County Commission Expires Sept. 28, 2 00 5

[Notary's Seal Here]

My Commission Expires:

NOTARY PUBLIC

IN WITNESS WHEREOF, I hereto set my hand and seal at 1275 York Av New York, NY this 25th day of 2005.

STATE OF NEW YORK SS. COUNTY OF NEW YOKK

Before me this 25th day of TANKARY, 2005, personally appeared David Dorn known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he/she executed the same as his/her free act and deed for the purposes therein contained.

NOTARY PUBLIC

GAIL M. PLATONI NOTARY PUBLIC, State of New York My Commission Expires: No. 03-4907327 Qualified in Bronx County Commission Expires Sept. 28, 200 5

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[Notary's Seal Here]

Page 5 of 5

Atty. Docket No. 2003080-0165 Client Reference No.: SK-1048-B-US

#### <u>ASSIGNMENT</u>

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned **Fumihiko Yoshimura**, of Sapporo, Japan hereby

Sells, assigns and transfers to SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH, having a place of business at 1275 York Avenue, New York, New York 10021, its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE, his/her entire right, title and interest for the United States and all foreign countries; in and to any and all inventions which are disclosed in the application for United States Letters Patent entitled "SYNTHESIS OF EPOTHILONES, INTERMEDIATES THERETO, ANALOGUES AND USES THEREOF" filed August 18, 2004, and given serial number 10/921,109, in and to said application and all divisional, continuing, substitute, renewal, reissue reexamination or other application for Letters Patent which has been or shall be filed in the United States or any foreign country on any of said inventions, and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agrees that said ASSIGNEE may apply for and receive Letters Patent for said inventions in its own name and when requested, without charge to but at the expense of said ASSIGNEE, agrees to carry out in good faith the intent and purpose of this Assignment by executing all divisional, continuing, substitute, renewal, reissue, reexamination and all other patent applications on any and all said inventions; by executing all rightful declarations, oaths, assignments and other papers; by communicating to said ASSIGNEE all facts known to him/her relating to said inventions and the history thereof, and generally by doing everything possible which said ASSIGNEE shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions, in said ASSIGNEE. Each of the undersigned also hereby appoints ASSIGNEE as its common agent for purposes of prosecuting international patent applications and any material patent applications for which such common agency is recognized.

Hereby requests the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE.

Covenants with said ASSIGNEE that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by him/her, and that full right to convey the same as herein expressed is possessed by him/her.

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Page 1 of 2

Atty. Docket No. 2003080-0165 Client Reference No.: SK-1048-B-US

IN WITNESS WHEREOF, I hereto set my hand and seal at	
Japan this 22 nd day of Ja	

J. yoshin

REEL: 016232 FRAME: 0300

Fumihiko Yoshimura

Witnessed by:	
高橋 政樹	1/22/05
Signature	Date
Name: Masaki Takahashi	
Address: N/17 w5, Sapporo 001-0019,	
JAPAN	
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	-
Witnessed by:	
一个面 饭 一	1/22/05
Signature	Date
Name: Shun-ichi Fukuda	
Address: NII W3, Sapporo	
001-0011, JAPAN	
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		PATENT

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## RECORDED: 02/04/2005