

02-10-2005



102937864

To the Commissioner of Patents and Trademarks:

1. Name of conveying Party(ies):

Jude L. Sasing  
Edwin C. Madera  
Boy Midas H. Firme  
Agustin G. Morales  
Jose Martin S. Paiso  
Adrian Catbagan  
Roman B. Gustilo, M.D.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Orthopaedic Innovations, Inc.  
914 South Eighth Street, Suite 860C  
Minneapolis, Minnesota 55145

Additional name(s) and address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: December 10, 2004

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_, 2005

A. Patent Application No.(s): 10/904,866

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Nancy J. Parsons  
Address:

Crompton, Seager & Tufte  
1221 Nicollet Avenue, Suite 800  
Minneapolis, Minnesota 55403

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our Deposit account number: 50-0413

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Nancy J. Parsons  
Name of Person Signing

Nancy J. Parsons  
Signature

2/1/05  
Date

Mail documents to be recorded with required cover sheet information to:

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1 FC:8021

40.00 OP

Mail Stop: Assignment Recordation Services  
Director--US Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

**ASSIGNMENT**

WHEREAS, *Jude L. Sasing*, residing at *25-B Malinis Street, U.P. Village, Quezon City, Philippines*, *Edwin C. Madera* residing at *B7 L3 Lucky Homes Subdivision, Deparo, Caloocan City, Philippines*, *Boy Midas H. Firme* residing at *PIA B17 L3A Pacita Complex 1, San Pedro, Laguna, Philippines*, *Agustin G. Morales*, residing at *4-A Ma. Christina Street, Capitol Site, Cebu City, Philippines*, *Jose Martin S. Paiso*, residing at *27 Jhocson Street, BF Executive Village, Las Pinas City, Philippines*, *Adrian Catbagan* residing at *12 Aguilar Street, San Francisco Del Monte, Quezon City, Philippines* and *Ramon B. Gustilo* residing at *2004B Ritz Tower, Ayala Ave., Makati City, Philippines*, have made certain new and useful inventions and improvements for which they have executed an application for Letters Patent of the United States, which is entitled *TRANSVERSE CONNECTOR FOR ROD-BASED SPINAL IMPLANTS*, executed on even date herewith;

AND WHEREAS, *ORTHOPEADIC INNOVATIONS, INC.*, a corporation organized and existing under and by virtue of the laws of Minnesota, and having a business address of *914 South Eighth Street, 860C, Minneapolis, Minnesota 55145* is desirous of acquiring the entire right, title and interest in and to said inventions, improvements, application, and Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said *ORTHOPEADIC INNOVATIONS, INC.*, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which shall be filed in any foreign countries for Letters Patent on the said inventions and improvements,

including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents to issue any and all United States Letters Patent for the aforesaid inventions and improvements to said *ORTHOPAEDIC INNOVATIONS, INC.*, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any other documents, and will communicate to said *ORTHOPAEDIC INNOVATIONS, INC.*, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable to more effectually secure to and vest in *ORTHOPEADIC INNOVATIONS, INC.*, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore, we, *Jude L. Sasing, , Edwin C. Madera, Boy Midas H. Firme, Agustin G. Morales, Jose Martin S. Paiso and Adrian Catbagan* covenant and agree with said *ORTHOPAEDIC INNOVATIONS, INC.*, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

The parties hereto acknowledge that they have specifically requested the present agreement to be drawn up in the English language.

In Witness Whereof, we have hereunto set our hands and affixed our seals as follows:

  
Jude L. Sasing

\_\_\_\_\_  
Date

On this 10<sup>th</sup> day of Dec., 2004, before me personally appeared *Jude L. Sasing*, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed.

**REYNALDO D. GATMAITAN**

Commission No. M-94

Notary Public for Makati City

Until December 31, 2004

6th Floor, Citibank Center

8741 Paseo de Roxas, Makati City

Roll No. 26315 / 3-30-76

PTR No. 7059029 1/20/04 Makati City

IBP No. 601808 12/29/03 Kalookan Chapter

  
Edwin C. Madera

\_\_\_\_\_  
Date

On this 10<sup>th</sup> day of Dec., 2004, before me personally appeared *Edwin C. Madera*, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed.

**REYNALDO D. GATMAITAN**

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IBP No. 601808 12/29/03 Kalookan Chapter

  
Boy Midas H. Firme

\_\_\_\_\_  
Date

On this 10<sup>th</sup> day of Dec., 2004, before me personally appeared *Boy Midas H. Firme*, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed.

**REYNALDO D. GATMAITAN**

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Agustin G. Morales

Date

On this 10<sup>th</sup> day of Dec., 2004, before me personally appeared Agustin G. Morales, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed.

**REYNALDO D. GATMAITAN**

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Jose Martin S. Paiso

Date

On this \_\_\_\_ day of \_\_\_\_\_, 2004, before me personally appeared Jose Martin S. Paiso, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed.

**REYNALDO D. GATMAITAN**

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Adrian Catbagan

Date

On this 10<sup>th</sup> day of Dec., 2004, before me personally appeared Adrian Catbagan, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed.

**REYNALDO D. GATMAITAN**

Commission No. M-94

Notary Public for Makati City

Until December 31, 2004

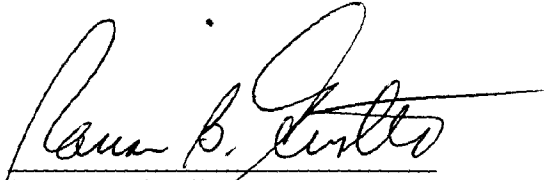
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IBP No. 601808 12/29/03 Kalookan Chapter



Ramon B. Gustilo, M.D.

Date \_\_\_\_\_

On this 10th day of Dec., 2004, before me personally appeared Ramon B. Gustilo, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed.

Notary Public  
(Seal) **REYNALDO D. GATMAITAN**  
Commission No. M-94  
Notary Public for Makati City  
Until December 31, 2004  
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