

02-17-2005



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I.S. Department of Commerce latent and Trademark Office httorney Docket No. 08350.3284 Attorney Customer Number: 22.852

	Attorney Customer Number: 22,852
To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.	Mail Stop Assignment Recordation Services
Name of conveying party(ies): Sang-Ha LEIGH Hyung K. YOON	Name and address of receiving party(ies): Name: Caterpillar Inc.
Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No	Internal Address:
3. Nature of conveyance:	Street Address: 100 N.E. Adams Street
	City: Peoria
Security Change of Name Agreement	State: IL Zip Code: 61629-6490
☑ Other: Corrective	Additional name(s) & Address(es) attached?
Execution Date: June 9, 2004 June 3, 2004	☐ Yes
 Application number(s) or patent number(s): If this document is be the application: 	eing filed together with a new application, the execution date of
A. Patent Application Number(s):	B. Patent Number(s):
10/869,064	
Additional numbers attached?	l ☐ Yes
Name and address of party to whom correspondence concerning document should be mailed:	Total number of applications and registrations involved: 1
Name:	7. Total fee (37 CFR 3.41): \$40
	Enclosed (Please charge deficiency to deposit account)
	Authorized to be charged to deposit account
Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.	
Street Address: 1300 I Street, N.W.	
City: Washington, D.C.	
State: Zip: 20005-3315	8. Deposit Account No.: 06-0916
9. Statement and signature.	
To the best of my knowledge and belief, the foregoing information is true a document. 5/2005 ECOOPER 00000215 10869064	and correct and any attached copy is a true copy of the original
C: 802). 40.00 OP	2/11/05
Darren M. Jiron, Reg. No. 45,777 Signa	
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FORM PTO-1595 06-82-2004 06/17/04	FEB 1 1 2005 Patent and Trademark Office Attorney Docket No. 08350.3284		
To the Director of the U.S. Patent an Please record the attached original occurred 102773932	Attorney Customer Number: 22,852 Mail Stop Assignment Recordation Services 2. Name and address of receiving party(ies):		
Sang-Ha LEIGH	Name and address of receiving party(ies):		
Hyung K. YOON	Name: Caterpillar Inc.		
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Liver Address Finnessay University CARRETT	Authorized to be charged to deposit account		
Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P. Street Address: 1300 Street, N.W.			
	•		
City: Washington, D.C. State: Zip: 20005-3315	8. Deposit Account No.: 06-0916		
<u>'</u>	o. Deposit Account No.: <u>00-0316</u>		
Statement and signature. To the best of my knowledge and belief, the foregoing information is true a document.	and correct and any attached copy is a true copy of the original		
Darren M. Jiron Reg. No. 45,777	June 17, 2004		
Signa	nture Date		
Total number of pages including cover sheet, attachments and documents: 5			
21/2004 ZJUHAR1 00000020 10869064			

PATENT

REEL: 016255 FRAME: 0294

ASSIGNMENT

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

COMPOSITE POWDER AND GALL-RESISTANT COATING

for which I/We executed an application for United States Letters Patent concurrently herewith or filed an application for United States Letters Patent on ___, 2004 (Serial No. ___); and

WHEREAS, Caterpillar Inc., a corporation of Delaware whose post office address is 100 N.E. Adams Street Peoria, IL 61629-6490 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, provisional application Serial No. ___, filed ___ (if any), and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I/We have hereunto set our hands.

FULL NAME OF SOLE OR FIRST ASSIGNOR Sang-Ha LEIGH	ASSIGNORYS GIGNATURE	DATE 6/9/2004
ADDRESS 13702 N. Dover Lane, Chillicothe, Illinois 61523		CITIZENSHIP Republic of Korea
		-

Listing of Inventors Continued on Page 2 hereof ■Yes □ No

Page 1 of 2

1. WITTESS NAME S. RAUBE ADDRESS 1194 RENTSLU PRIVE EAST PEORIA, 16 61	WITNESS SIGNATURE SCAL	DATE /9/08	
1194 RENTSLU PRIVE		CITIZENSHIP	
EAST PEORIA, 16 61	(()(
2. WITNESS NAME JEFFREY J. GUTZWILLER	WITNESS SIGNATURE	DATE 6/9/04	
2. WITNESS NAME JEFFREY J. GUTZWILLER ADDRESS 241/ S. CAMERON LANE		CITIZENSHIP (A. S. A.	
PEORIA, IL 6/607			
FULL NAME OF SECOND ASSIGNOR, IF ANY Hyung K. YOON	ASSIGNOR'S SIGNATURE	DATE	
ADDRESS 5519 N. Deerfield Court, Peoria Illinois 61615		CITIZENSHIP USA	
1. WITNESS NAME	WITNESS SIGNATURE	DATE	
ADDRESS		CITIZENSHIP	
2. WITNESS NAME	WITNESS SIGNATURE	DATE	
ADDRESS		CITIZENSHIP	

ASSIGNMENT

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

COMPOSITE POWDER AND GALL-RESISTANT COATING

for which I/We executed an application for United States Letters Patent concurrently herewith or filed an application for United States Letters Patent on __, 2004 (Serial No. __); and

WHEREAS, Caterpillar Inc., a corporation of Delaware whose post office address is 100 N.E. Adams Street Peoria, IL 61629-6490 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, provisional application Serial No. ___, filed ___ (if any), and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I/We have hereunto set our hands.

FULL NAME OF SOLE OR FIRST ASSIGNOR Sang-Ha LEIGH	ASSIGNOR'S SIGNATURE	DATE
ADDRESS 13702 N. Dover Lane, Chillicothe, Illinois 61523		CITIZENSHIP USA

Listing of Inventors Continued on Page 2 hereof ■Yes □ No

Page 1 of 2

1. WITNESS NAME	WITNESS SIGNATURE	DATE
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2. WITNESS NAME	WITNESS SIGNATURE	DATE
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FULL NAME OF SECOND ASSIGNOR, IF ANY Hyung K. YOON	ASSIGNORS SIGNATURE	DATE 6/3/2004
ADDRESS 5519 N. Deerfield Court, Peoria Illinois 61615		CITIZENSHIP USA
1. WITNESS NAME LARRY E SEITZMAN	WITNESS SIGNATURE	DATE 6/3/2004
1. WITNESS NAME LARRY E SEITZMAN ADDRESS 5512 N SHERWOOD, PEDRIA ILL	11NOIS 61414	CITIZENSHIP US 4
2. WITNESS NAME JULIA L BJERKE	WITNESS SIGNATURE Quila & Braice	DATE 6/3/2004
ADDRESS 10808 IN PIAULI RD		CITIZENSHIP C) S A

Page 2 of 2

RECORDED: 02/11/2005