

Docket No: SMDI-5774B

Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Brian Connell  
Rafael A. Castellanos  
Bill Griswold  
Daniel Marcquenski  
Scott Ruddell  
Timothy SeeseAdditional name(s) of conveying party(ies) attached? Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: Baxter International Inc.Street Address: One Baxter ParkwayCity: Deerfield State: IL Zip: 60015Name: Baxter Healthcare S.A.City: Zurich State:  Zip: Country: SwitzerlandAdditional name(s) & address(es) attached? Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other Execution Date(s): 5/10/2005; 5/12/2005

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 

## A. Patent Application No.(s)

11/046,306

## B. Patent No.(s)

Additional numbers attached? Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Joseph P. Reagen, Esq.  
Corporate Counsel, Renal Division  
Baxter Healthcare Corporation  
One Baxter Parkway, DF3-3E  
Deerfield, Illinois 60015-46336. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

02-1818

(Attach duplicate copy of this page if paying by deposit account)

**DO NOT USE THIS SPACE**

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Robert W. Connors (46,639)

Name of Person Signing



Signature

May 19, 2005

Date

Total number of pages including cover sheet, attachments, and documents: 6Mail documents to be recorded with required cover sheet information to:  
Commissioner for Patents, Mail Stop Assignments, P.O. Box 1450, Alexandria, VA 22313-1450

CH \$40.00 021818 11046306

700181004

**PATENT**  
**REEL: 016259 FRAME: 0552**

**Baxter****ASSIGNMENT  
(Joint Inventors)**

Serial No. 11/046,306

Filed January 28, 2005

In consideration of good and valuable considerations, the receipt and sufficiency whereof are hereby acknowledged, we hereby assign to **BAXTER INTERNATIONAL INC.**, a corporation of Delaware, having a principal place of business at Deerfield, Illinois, its successors, legal representatives and assigns, and **BAXTER HEALTHCARE S.A.**, a corporation of Switzerland, having a principal place of business at Zurich, Switzerland, its successors, legal representatives and assigns (hereinafter jointly referred to as "ASSIGNEE") the entire right, title and interest throughout the world in our invention or improvements in

**DIALYSIS CONNECTOR WITH RETENTION AND FEEDBACK FEATURES**

and in the application for Letters Patent of the United States therefor, executed by each of us individually on or before the date(s) indicated below and any and all other United States applications and applications in any and all countries which we may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States or of any other country which may be obtained on any of the said applications, and in any reissue or extension thereof.

We hereby authorize and request the Commissioner of patents to issue said Letters Patent to said ASSIGNEE.

We hereby authorize and request the attorneys of record in said application to insert in this assignment the date and serial number of said application when officially known.

We warrant ourselves to be the owners of the interest herein assigned and to have the right to make this assignment; and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

For said considerations we hereby agree, upon the request and at the expense of said assignee, its successors, legal representatives and assigns, to execute any and all divisional, continuation, and renewal applications for said invention or improvements, and any necessary oath or supplemental oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application that said assignee, its successors, legal representatives and assigns may deem necessary or expedient, and for the said considerations we further agree, upon the request of said assignee, its successors, legal representatives and assigns, in the event of said application or any division thereof, or Letters Patent issued thereon, or any reissue or application for the reissue thereof becoming involved in interference, to cooperate to the best of our ability with said assignee, its successors, legal representatives and assigns in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof. We further agree to perform, upon such request, any and all affirmative acts to obtain Letters Patent, and vest all rights therein hereby conveyed in the said assignee, its successors, legal representatives and assigns whereby said Letters Patent will be held and enjoyed by the said assignee, its successors, legal representatives and assigns to the end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made, and for the said considerations we hereby also assign to said assignee, its successors, legal representatives and assigns the entire right, title and interest in said invention or improvements for any and all foreign countries and the right of priority for patent and utility model applications in all countries arising under any applicable International convention for the protection of industrial property and/or any internal priority legislation of such countries, and we further agree upon the request of said assignee, its successors, legal representatives and assigns to execute any and all documents that shall be required to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said assignee.

WITNESS out hand and seal WITNESS: *Brian Connell*

WITNESS: *Brian Connell*

Date 05/10/05 Signature *Brian Connell*

Date 5-10-05 Signature *Rafael A. Castellanos*

Typed Name: Brian Connell

Typed Name: Rafael A. Castellanos

State of IL, County of Lake

State of IL, County of COOK

Signed before me this 10th date of May, 2005

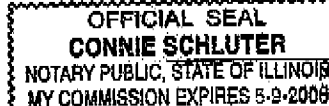
Signed before me this 10th date of May, 2005

By *Brian Connell*

By *Rafael A. Castellanos*

Inventor

Inventor



*Connie Schluter*



*Connie Schluter*

Date 5-10-05 Signature *Bill Griswold* WITNESS: *Brian Connell*

Date 5-10-05 Signature *Daniel Marcquenski* WITNESS: *Brian Connell*

Typed Name: Bill Griswold

Typed Name: Daniel Marcquenski

State of IL, County of Lake

State of IL, County of Lake

Signed before me this 10th date of May, 2005

Signed before me this 10th date of May, 2005

By *Bill Griswold*

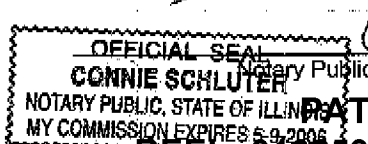
By *Daniel Marcquenski*

Inventor

Inventor



*Connie Schluter*  
Notary Public



*Connie Schluter*  
Notary Public

**PATENT**  
REEL: 046269 FRAME: 0553

WITNESS: Brian CootsDate 05/10/05 Signature Scott RuddellTyped Name: Scott RuddellState of IL County of LakeSigned before me this 10th date of May, 2005By Scott Ruddell

Inventor

Connie Schluter

Notary Public

Date \_\_\_\_\_ Signature \_\_\_\_\_

Typed Name: Timothy Seese

State of \_\_\_\_\_ County of \_\_\_\_\_

Signed before me this \_\_\_\_\_ date of \_\_\_\_\_, 20\_\_\_\_

By \_\_\_\_\_

Inventor

\_\_\_\_\_  
Notary Public

WITNESS: Brian Cook

Date 05/10/05 Signature Scott Ruddell  
Typed Name: Scott Ruddell  
State of IL County of Lake  
Signed before me this 10th date of May, 2005  
By Scott Ruddell  
Inventor

Connie Schluter  
Notary Public

WITNESS: Kellie Johnson

Date 05-12-05 Signature Timothy Reese  
Typed Name: Timothy Reese  
State of IL County of Cook  
Signed before me this 12th date of May, 2005  
By Timothy Reese  
Inventor

Karen J. Thomas  
Notary Public

