

02-22-2005

NOTATION FORM COVER SHEET
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1. Name of conveying party(ies):

Hiroshi ARUGA; Shinichi TAKAGI; and Kiyohide SAKAI

2. Name and address of receiving party(ies):

Name: Mitsubishi Denki Kabushiki Kaisha
Internal Address:

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Street Address:

2-3, Marunouchi 2-chome, Chiyoda-ku, Tokyo 100-8310 Japan

Execution Date: May 11, 2004

City: State: Zip:

Additional name(s) & addresses attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: May 11, 2004

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Platon N. Mandros

Address:

Burns, Doane, Swecker & Mathis, L.L.P.
Customer Number 2 1 8 3 9
P.O. Box 1404
Alexandria, Virginia 22313-1404

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account
☒ Credit card. Form PTO-2038 is attached.

8. Deposit account number:

02-4800

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9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Platon N. Mandros, Reg. No. 22,124

Name of Person Signing

William Charles RW 30888

Signature

July 1, 2004

Date

Total number of pages including cover sheet, attachments, and documents:

3

Mail documents to be recorded with required cover sheet information to:
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02 FC:8021

40.00 OP

032404-080

Attorney's Docket No.

ASSIGNMENT (JOINT)

THIS ASSIGNMENT, by Hiroshi ARUGA, Shinichi TAKAGI and
Kiyohide SAKAI

residing at c/o Mitsubishi Denki Kabushiki
Kaisha, 2-3, Marunouchi 2-chome, Chiyoda-ku, TOKYO 100-8310 JAPAN
and (hereinafter referred to as "the Assignors"),
respectively, witnesseth:

WHEREAS, the Assignors have invented certain new and useful improvements in
Optical semiconductor package set forth in an
application for Letters Patent of the United States, [] which is a provisional application to be filed
herewith; [X] which is a non-provisional application having an oath or declaration executed on even
date herewith prior to filing of application; [] bearing Application No. _____
and filed on _____; and

WHEREAS, Mitsubishi Denki Kabushiki Kaisha
a corporation duly organized under and pursuant to the laws of Japan and having its
principal place of business at 2-3, Marunouchi 2-chome, Chiyoda-ku, TOKYO
100-8310 JAPAN (hereinafter referred to as "the Assignee"), is desirous of acquiring the
entire right, title, and interest in and to said inventions, the right to file applications on said
inventions and the entire right, title and interest in and to any applications, including provisional
applications for Letters Patent of the United States or other countries claiming priority to said
application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained
therefor and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and
sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold,
assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over,
unto the Assignee, its successors, legal representatives, and assigns the entire right, title, and
interest in and to the above-mentioned inventions, the right to file applications on said inventions
and the entire right, title and interest in and to any applications for Letters Patent of the United
States or other countries claiming priority to said applications, and any and all Letters Patent or
Patents of the United States of America and all foreign countries that may be granted therefor and
thereon, and in and to any and all applications claiming priority to said applications, divisions,
continuations, and continuations-in-part of said applications, and reissues and extensions of said
Letters Patent or Patents, and all rights under the International Convention for the Protection of
Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behalf
and the use and behalf of its successors, legal representatives, and assigns, to the full end of the
term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same
would have been held and enjoyed by the Assignors had this sale and assignment not been made;

AND for the same consideration, the Assignors hereby covenant and agree to and with
the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and
delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title,
and interest in and to the inventions set forth in said applications and said applications, including
provisional applications, above-mentioned, and that the same are unencumbered, and that the
Assignors have good and full right and lawful authority to sell and convey the same in the manner
herein set forth;

Application Serial No. _____
Attorney's Docket No. 032404-080

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns that the Assignors will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

AND the Assignors hereby authorize and request the attorneys of BURNS, DOANE, SWECKER & MATHIS, L.L.P. of Alexandria, Virginia, to insert in the spaces provided above the filing date, application number, and attorney docket number of said application when known.

AND the Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

| | |
|--------------------------|--|
| Date <u>May 11, 2004</u> | Name of Assignor <u>Hiroshi Aruga</u> Hiroshi ARUGA |
| Date <u>May 11, 2004</u> | Name of Assignor <u>Shinichi Takagi</u> Shinichi TAKAGI |
| Date <u>May 11, 2004</u> | Name of Assignor <u>Kiyohide Sakai</u> Kiyohide SAKAI |
| Date _____ | Name of Assignor _____ |
| Date _____ | Name of Assignor _____ |
| Date _____ | Name of Assignor _____ |
| Date _____ | Name of Assignor _____ |
| Date _____ | Name of Assignor _____ |