

DOCKET: BEA920040018US1

RECORDATION FORM COVER SHEET  
PATENTS ONLY

US Department of Commerce  
Patent & Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):	*	2. Name and address of receiving party(ies):
Paul E. McKenny	*	Name: <b>International Business</b>
Dipankar Sarma	*	<b>Machines Corporation</b>
	*	Street Address: <b>New Orchard Road</b>
	*	City: <b>Armonk</b> State: <b>NY</b> ZIP: <b>10504</b>
	*	
Additional name(s) of conveying party(ies) attached <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	*	Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

3. Nature of conveyance: XX Assignment Execution Date: September 13, 2004 and September 27, 2004

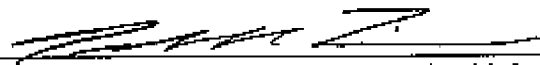
4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 10/953,239	*	B. Patent No(s)
	*	Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

5. Name and address of party to whom correspondence concerning document should be mailed:	*	6. Total Number of applications and patents involved: <b>One</b>
Name: <b>IBM Corporation</b>	*	
Internal	*	7. Total fee (37 CFR 3.41).....\$ <b>40.00</b>
Address: <b>Intellectual Property Law</b>	*	<u>XX</u> Enclosed (Credit Card Form)
Street	*	<input type="checkbox"/> Authorized to be charged to deposit account
Address: <b>5600 Cottle Road (L2PA/0142)</b>	*	
<b>San Jose, CA 95193</b>	*	8. Deposit Account Number: <b>501336</b>

9. Statement and signature.  
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

  
Rochelle Lieberman, Registration No. 39,276

5/23/2005  
Date

Total number of pages including cover sheet, attachments, and document: 3/

OP \$40.00 10953239

**ASSIGNMENT**

**Docket No. BEA9-2004-0018-US1**

Whereas, We

**INVENTOR  
AND CITY**

(1) Paul E. McKenney  
County of Washington,

City of Beaverton,  
and State of Oregon,

(2) Dipankar Sarma  
County of India,

City of Bangalore  
and State of Karnataka,

have invented certain improvements in

**TITLE Adapting RCU for Real-Time Operating System Usage**

**DATE THAT  
INVENTOR  
SIGNED THE**

and executed, respectively, a United States patent application therefor on

(1) \_\_\_\_\_, 2004

(2) 9/27, 2004

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor:

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, We, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and We request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and We hereby agree that IBM may apply for foreign Letters Patent on said invention and We will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

**CITY  
DATE**

(1) at \_\_\_\_\_  
on \_\_\_\_\_, 2004  
INVENTOR

\_\_\_\_\_  
SIGNATURE

**CITY  
DATE**

(2) at Bangalore  
on 9/29/2004, 2004  
INVENTOR

Dipankar Sarma  
\_\_\_\_\_  
SIGNATURE

ASSIGNMENT

Docket No. BEA9-2004-0018-US1

Whereas, We

INVENTOR  
AND CITY

(1) Paul E. McKenney  
County of Washington,

City of Beaverton,  
and State of Oregon,

(2) Dipankar Sarma  
County of India,

City of Bangalore  
and State of Karnataka,

have invented certain improvements in

TITLE **Adapting RCU for Real-Time Operating System Usage**

DATE THAT  
INVENTOR  
SIGNED THE

and executed, respectively, a United States patent application therefor on

(1) SEPTEMBER 13, 2004

(2) \_\_\_\_\_, 2004

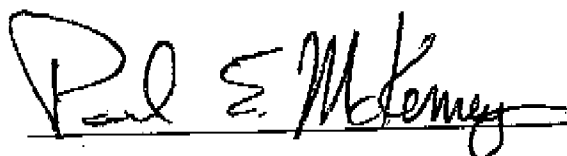
Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, We, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and We request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and We hereby agree that IBM may apply for foreign Letters Patent on said invention and We will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

CITY  
DATE

(1) at BEAVERTON, OR USA  
on SEPTEMBER 13, 2004  
INVENTOR

 SIGNATURE

CITY  
DATE

(2) at \_\_\_\_\_  
on \_\_\_\_\_, 2004  
INVENTOR

\_\_\_\_\_  
SIGNATURE