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02-22-2005

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

EET



To the Director of the U.S. Patent

102946373

and documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Mark M. Rasenick (10/01/2001), Robert J. Donati
(10/01/2001), and Sadamu Toki (10/30/2001)

Execution Date(s): in parentheses after inventor name

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

THE BOARD OF TRUSTEES OF THE UNIVERSITY OF
ILLINOIS, a body corporate and politic of the
state of Illinois

Name:

Internal Address:

Street Address:

352 Administration Building
506 South Wright Street

City: Urbana

State: Illinois

Country: United States of America Zip: 61801

Additional name(s) & address(es) attached: ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

This application

☒ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Nabeela R. McMillian
MARSHALL, GERSTEIN & BORUN LLP

Internal Address: Atty. Dkt.: 27611/35996B

Street Address: 233 S. Wacker Drive, Suite 6300
Sears Tower

City: Chicago

State: IL Zip: 60606-6357

Phone Number: (312) 474-6300

Fax Number: (312) 474-0448

Email Address:

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

- a. Credit Card Last 4 Numbers _____
Expiration Date _____
b. Deposit Account Number _____
Authorized User Name _____

9. Signature:

Signature

February 8, 2005

Date

Nabeela R. McMillian - 43,363

Name of Person Signing

Total number of pages including cover
sheet, attachments, and documents:

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 456 044 025
US, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: February 8, 2005

Signature: (Richard Zimmermann)

02/14/2005 FFANAI2 0000077 11053516

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40.00 DP

PATENT
REEL: 016270 FRAME: 0097

ASSIGNMENT

Serial No: 09/918,230
Filed: July 30, 2001
Title: MARKER FOR ANTIDEPRESSANT THERAPY AND METHODS RELATED THERETO

For the sum of Ten Dollars (\$10.00), and other good and valuable consideration, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assigns to The Board of Trustees of the University of Illinois, an Illinois corporation, 352 Administration Building, 506 South Wright Street, Urbana, Illinois 61801, (hereinafter "Assignee"), its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, executed by the undersigned on October 1, 2001, and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension thereof.

The undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorizes and requests the attorneys of record in said application to insert in this assignment the execution date and/or filing date and serial number of said application when officially known.

The undersigned warrants himself to be the owner of the interest herein assigned and to have the right to make this assignment and further warrants that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

For said consideration the undersigned hereby agrees, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation, continuation-in-part and substitute applications for said invention or improvements, and any necessary oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns, may deem necessary or expedient, and for said consideration the undersigned further agrees upon the request of said assignee, its successors or assigns, in the event of any application or Letters Patent assigned herein becoming involved in Interference, to cooperate to the best of the ability of the undersigned with said assignee, its successors or assigns, in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, the undersigned hereby agreeing to perform, upon request, any and all affirmative acts to obtain said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in said assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by said assignee, its successors and assigns, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made.

WITNESS my hand this 15th day of October, 2001.

State of Illinois

County of Cook

} ss


Mark M. Rasenick

On this 15th day of October, 2001, before me, a Notary Public in and for the County and State aforesaid, appeared Mark M. Rasenick, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the same day and year last above given.

My Commission Expires: 4-23-2003


Notary Public



ASSIGNMENT

Serial No: 09/918,230
Filed: July 30, 2001
Title: MARKER FOR ANTIDEPRESSANT THERAPY AND METHODS RELATED THERETO

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WITNESS my hand this 1st day of October, 2001.

State of Illinois

County of Cook

} ss

Robert J. Donati
Robert J. Donati

On this 1st day of October, 2001, before me, a Notary Public in and for the County and State aforesaid, appeared Robert J. Donati, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the same day and year last above given.

My Commission Expires: 4-23-2003

Maria Hayes
Notary Public



ASSIGNMENT

Serial No: 09/918,230
Filed: July 30, 2001
Title: MARKER FOR ANTIDEPRESSANT THERAPY AND METHODS RELATED THERETO

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The undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

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MARSHALL, C. STEIN & BORUN, 6300 Sears Tower, 233 South Wacker Drive, Chicago, Illinois 60606-6

WITNESS my hand this 30th day of October, 2001.

Sadamu Toki
Sadamu Toki

1.

Witness Signature

Witness Name

HIROKI OZAWA

2.

Witness Signature

Witness Name

Toshikazu Saito