

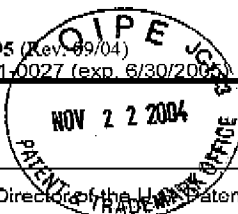
Form PTO-1595 (Rev. 09/04)
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET	
PATENTS ONLY	
Atty. Docket No.: 60 CIP III (1087-43 CIP III)	
To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.	
1. Name of conveying party(ies)/Execution Date(s): Katherine S. Bowdish John McWhirter Execution Date(s) <u>11/9/04 and 11/9/04</u> Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies) Name: <u>Alexion Pharmaceuticals, Inc.</u> Internal Address: _____ Street Address: <u>352 Knotter Drive</u> City: <u>Cheshire</u> State: <u>Connecticut</u> Country: <u>U.S.</u> Zip: <u>06410</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance: <input type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Government Interest Assignment <input type="checkbox"/> Executive Order 9424, Confirmatory License <input checked="" type="checkbox"/> Other <u>Correct the Assignee on Reel/Frame 016008/0831</u>	4. Application or patent number(s): <input type="checkbox"/> This document is being filed together with a new application. A. Patent Application No.(s) <u>10/894,672</u> B. Patent No.(s) Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
5. Name and address to whom correspondence concerning document should be mailed: Name: <u>Peter DeLuca</u> Internal Address: _____ <u>Carter, DeLuca, Farrell & Schmidt, LLP</u> Street Address: <u>445 Broad Hollow Road, Suite 225</u> City: <u>Melville</u> State: <u>New York</u> Zip: <u>11747</u> Phone Number: <u>(631) 501-5700</u> Fax Number: <u>(631) 501-3526</u> Email Address: _____	6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00 <input type="checkbox"/> Authorized to be charged by credit card <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Enclosed <input type="checkbox"/> None required (government interest not affecting title) 8. Payment Information a. Credit Card Last 4 Numbers _____ Expiration Date _____ b. Deposit Account Number <u>50-2140</u> Authorized User Name _____
9. Signature: _____ Signature _____ Date <u>May 26, 2005</u> Peter DeLuca, Reg. No. 32,978 Name of Person Signing Total number of pages including cover sheet, attachments, and documents: <u>7</u>	

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
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RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE

11-26-2004



To the Director of the Patent and Trademark Office: Please record the attached document.

1. Name of conveying party(ies)/Execution Date(s):

- 1) Katherine S. Bowdish
2) John McWhirter

Execution Date(s) (1) 11/09/04 (2) 11/09/04

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party:

Name: Mark Farber

Internal Address: Alexion Pharmaceuticals, Inc.

Street Address: 352 Knotter Drive

City: Cheshire

State: CT

Country: USA Zip: 06410

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application or patent number(s):

A. Patent Application No.(s)

10/894,672

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Mark Farber

Internal Address: Alexion Pharmaceuticals, Inc.

Street Address: 352 Knotter Drive

City: Cheshire

State: CT Zip: 06410

Phone Number: 203-271-8319

Fax Number: 203-271-8195

Email Address: farberm@alxn.com

6. Total number of applications and patents involved:

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☒ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers 7973
Expiration Date 05-06

b. Deposit Account Number

Authorized User Name

9. Signature: Mark Farber

Signature

Nov. 18, 2004

Date

Mark Farber, Reg. No.: 34,159

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (703) 305-5995, or mailed to:
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11/23/2004 MBERHE 00000085 10894672

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PATENT
REEL: 016278 FRAME: 0626

Docket No. 60 CIP III US

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: Katherine S. Bowdish and John McWhirter

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on
Reel
Frame

hereby sell, assign and transfer to

ASSIGNEE: Alexion Pharmaceuticals, Inc.
352 Knotter Drive
Cheshire, Connecticut 06410

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest, including the right to sue for present, past and future infringement, in the United States, its territorial possessions, and in all foreign countries, including all treaty and convention rights in and to the invention and any and all improvements entitled:

**Polypeptides and Antibodies Derived From Chronic
Lymphocytic Leukemia Cells and Uses Thereof**

which is described in

(a) ☐ U.S. patent application Serial No. _____ executed on even date herewith.

[X] U.S. Application No. 10/894,672, filed July 20, 2004, which is a continuation in part of U.S. Application Serial no.: 10/736,188 filed December 15, 2003 which is a continuation in part of U.S. Application Serial No.: 10/379,151 filed March 4, 2003 which is a continuation in part of PCT/US01/47931 filed on December 10, 2001 which is an international application that claims priority to U.S. Provisional Application No.: 60/254,113 filed December 8, 2000.

(c) ☐ U.S. patent no. _____ issued
(also check (e) if foreign application(s) is also being assigned)

(d) ☒ and any legal equivalent thereof in a foreign country, and, in and to, all United States and foreign Letters Patent, for the full term thereof, to be obtained for said invention by the above application or any continuation, division, renewal, extension or substitute thereof, and as to letters patent any re-issue or re-examination thereof;

ASSIGNORS hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS hereby authorizes and requests the Commissioner of Patents and Trademarks to issue all such Letters Patent to ASSIGNEE.

ASSIGNORS further covenants to promptly provide all pertinent facts and documents known and accessible to ASSIGNOR relating to said invention and said Letters Patent and legal equivalents; to testify as to the same in any interference, litigation or proceeding related thereto; to execute and deliver any and all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patents which may be granted therefor in said ASSIGNEE, its successors, assigns or other legal representatives; to execute any additional or divisional applications for patents for said invention, or any part or parts thereof, and for the reissue of any Letters Patents to be granted therefor; and to make all rightful oaths and do all lawful acts requisite for procuring the same or for aiding therein, all without further compensation, but at the sole expense of ASSIGNEE, its successors, assigns, or other legal representatives.

ASSIGNORS hereby grants ASSIGNEE and Assignee's attorneys the power to insert the Serial No. and/or filing date of the above-described application(s) after such information becomes known to them.

IN WITNESS WHEREOF, I have hereunto set hand and seal.

INVENTORS:

Kath Smaisor
Katherine S. Bowdish

Dated: NOV. 9, 2004

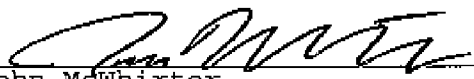
State of California)
County of San Diego) ss

Before me this 9th day of November 2004,
personally appeared Katherine S. Bowdish to me personally known to be the
person described in and who executed the above instrument, and acknowledged to
me that ~~he~~/she executed the same of ~~his~~ own free will for the purposes therein
set forth. her

Kimberly E. Polany
Notary Public

AFFIX SEAL





John McWhirter

Dated: NOV. 9, 2004

State of California)
County of San Diego) ss

Before me this 9th day of November 2004,
personally appeared John McWhirter to me personally known to be the person
described in and who executed the above instrument, and acknowledged to me
that he/~~she~~ executed the same of his own free will for the purposes therein
set forth.


Notary Public

AFFIX SEAL

