

FORM PTO-1595  
1-31-92U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Docket No. ROC920050027US1RECORDATION FORM COVER SHEET  
PATENTS ONLY

To the Assistant Commissioner for Patents. Please record the attached original documents or copy thereof.

<b>1. Name of conveying party(ies):</b> WILLIAM P. HOVIS JACK A. MANDELMAN  <b>Additional names of conveying party(ies) attached?</b> <input type="checkbox"/> yes <input checked="" type="checkbox"/> no		<b>2. Name and address of receiving party(ies):</b>  Name: <u>International Business Machines Corporation</u>  Internal Address: _____ _____ _____  Street Address: <u>New Orchard Road</u> City <u>Armonk</u> State <u>New York</u> Zip <u>10504</u>  Additional name(s) & address(es) attached? <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	
<b>3. Nature of Conveyance</b>  <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____  Execution Date: May 06, 2005 May 10, 2005			
<b>4. Application number(s) or patent number(s):</b> <b>TITLE: FIN FIELD EFFECT TRANSISTORS (FINFETS) AND METHODS FOR MAKING THE SAME</b>  If this document is being filed together with a new application, the execution date of the application is _____.  A. Patent Application No(s).  Docket No. ROC920050027US1 Serial No. 11/132,652  B. Patent No(s).  Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>Name and address of party to whom correspondence concerning document should be mailed:</b>  Robert R. Williams IBM Corporation - Dept. 917 3605 Highway 52 North Rochester, MN 55901-7829		<b>6. Total number of applications and patents involved:</b> <u>1</u>  <b>7. Total fee (37 CFR 3.41):</b> <u>\$ 40.00</u>  <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input checked="" type="checkbox"/> Total fee due <input checked="" type="checkbox"/> Any deficiencies in the enclosed fees  <b>8. Deposit account number:</b> <u>09-0465</u>  (Attach duplicate copy of this page if paying by deposit account)	
<b>DO NOT USE THIS SPACE</b>			
<b>9. Statement and signature</b>  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  Robert R. Williams #48,395 Name of Person Signing  <u>Robert R. Williams</u> Signature  <u>6/1/2005</u> Date  Total number of pages comprising cover sheet: <u>2</u>			

CH \$40.00 090465 11132652

Docket No: ROC920050027US1

ASSIGNMENT

Whereas, we:

(1) William P. Hovis  
County of Olmsted

City of Rochester  
and State of MN

(2) Jack A. Mandelman  
County of Henderson

City of Flat Rock  
and State of NC

have invented certain improvements in

FIN FIELD EFFECT TRANSISTORS (FINFETS) AND METHODS FOR MAKING THE SAME

and have executed, respectively, a United States patent application therefor on

Dates Inventors Signed Declaration  
(1) MAY 06, 2005 (2) MAY 10, 2005

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

	<b>Signed</b>		
Inventor	at <u>Rochester, Minnesota</u>	<u>William P. Hovis</u>	
(1)	on <u>5/06/2005</u>	WILLIAM P. HOVIS	
Inventor	at <u>Flat Rock, North Carolina</u>	<u>Jack A. Mandelman</u>	
(2)	on <u>10 MAY 2005</u>	JACK A. MANDELMAN	