OMB No. 06451-0027 (exp. 6/30/2005)	United States Patent and Trademark Offic DRM COVER SHEET
	S ONLY
	te record the attached documents or the new address(es) below.
<ol> <li>Name of conveying party(ies)/Execution Date(s):</li> </ol>	2. Name and address of receiving party(ies):
Nam T. Chao Dennis Hubbard Christopher Rybicki	Name: DePuy Spine, Inc.
Ronald Sacher	Street Address:
James Donahue Simon Siu	325 Paramount Drive
	City: Raynham
Execution Date(s) May 2, 2005, May 11, 2005, May 12, 2005, May 12, 2005	State: MA
May 12, 2005, May 13, 2005	Country: USA Zip: 02767
Additional name(s) of conveying party(ies) attached?	Additional name(s) & address(es) attached? Yes No
3. Nature of conveyance:	
Assignment       Merger         Security Agreement       Change of Name         Government Interest Assignment         Executive Order 9424, Confirmatory License         Other	
4. Application or patent number(s): .	This document is being filed together with a new application
A. Patent Application No.(s) 11/073,352	B. Patent No.(s)
Additional numbers atta	ched? Yes No
5. Name and address of party to whom corrospondence concerning document should be mailed:	<ol> <li>Total number of applications &amp; patents involved:</li> <li>1</li> </ol>
Philip S. Johnson, Esq. Chief Patent Counsel Johnson & Johnson One Johnson & Johnson Plaza New Brunswick, NJ 08933-7003 Phone: (508) 880-8488 Fax: (508) 828-3789 Email Address: dlane6@dpyus.jnj.com	7. Total fee (37 CFR 1.21(h) & 3.41)
	8. Payment Information
	Deposit Account Number:
	10-0750/DEP5471USNP/DAL
9. Signature Dull Jame	6/105
Signature David A. Lane, Jr.	Date
	otal number of pages including cover sheet,

CH \$40.00 100750 11073352

Total number of pages including cover sheet,

attachments, and document: 15

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

# PATENT

REEL: 016295 FRAME: 0513

#### DOCKET NO. DEP5471USNP Joint Inventors

## ASSIGNMENT

Serial No. 11/073,352 Filed March 4, 2005

WHEREAS, Nam T. Chao, 45 Perry Lane, Marlborough, MA, Dennis Hubbard, 94 Deershom Road, Lancaster, MA, Christopher Rybicki, 100 Morgan Street, Apt. #411A, Stamford, CT, Ronald L. Sacher, 39 Bancroft Street, Needham, MA, James Donahue, 50 Elain Avenue, East Falmouth, MA 02536 and Simon Siu, 39 West Elm Avenue, Quincy, MA, (hereinafter called "Assignors"), have made certain new and useful inventions or discoveries relating to

#### Instruments and Methods for Manipulating A Vertebra

for which they have on this day executed an application for Letters Patent of the United States; and

WHEREAS, DePuy Spine, Inc., a corporation of the State of Ohio, (hereinafter called "Assignce"), is desirous of acquiring Assignors' entire right, title, and interest therein:

NOW, THEREFORE, BE IT KNOWN that for and in consideration of the sum of One Dollar and other valuable considerations to them moving, the receipt of which is hereby acknowledged, Assignors have sold, assigned, and transferred, and do hereby sell, assign and transfer unto said Assignee their entire right, title and interest in and to all said inventions and discoveries disclosed in said application whose identification above by serial number and filing date, when available is hereby authorized, and in and to said application, all substitutions, divisions, and continuations thereof, and in and to all Letters Patent, United States and foreign, that may be granted for said inventions and discoveries, and in and to all extensions, renewals, and reissues thereof, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignors if this Assignment and sale had not been made;

And Assignors hereby authorize and request the Commissioner of Patents of the United States to issue said Letters Patent in accordance with this Assignment;

And for the consideration aforesaid, Assignors covenant and agree with said Assignee that he has a full and unencumbered title to the inventions and discoveries above described and hereby assigned, which title they warrant unto said Assignee, its successors and assigns;

And for the consideration aforesaid, Assignors further covenant and agree that they will, whenever requested, but without cost to them promptly communicate to said Assignee or its representatives any facts known to them relating to said inventions and discoveries, testify in any interference or legal proceedings involving said inventions and discoveries, and execute any additional papers that may be necessary to enable said Assignee or its representatives, successors, nominees, or assigns to secure full and complete protection for the said inventions

and discoveries or that may be necessary to vest in said Assignee the complete title to the said inventions and discoveries and patents hereby conveyed and to enable it to record said title.

WITNESS my hand and seal this <u>12</u> day of <u>MA</u> \_, 2005. By: \_\_\_\_\_\_ Nam T. Chao

#### NOTARIAL SEAL

State/Commonwealth of: <u>Massachusetts</u>

County of: Bristol

On this 12 day of 1000, 2005 before me, the undersigned notary public, personally appeared 1000, 100

(official signature and seal of notary) AMY SCHICK Notary Public Commonwealth of Massachusetts My Commission Expires January 21, 201

WITNESS my hand and seal this 1 day of MAY\_\_\_\_, 2005. By: Junes R. Donatur

NOTARIAL SEAL

State/Commonwealth of: <u>Massachusetts</u>

County of: Bristol

On this <u>//</u> day of <u>Mary</u>, <u>2005</u> before me, the undersigned notary public, personally appeared James Donabule (name of document signer), proved to me through satisfactory evidence of identification, which were to be the person whose name is signed on the preceding or attached document, and Acknowledged to me that (he)(she) signed it voluntarily for its stated purpose.

hick \_ (official signature and seal of notary) Commonwealth of Massachusetts My Commission Expires January 21, 2011

PATENT REEL: 016295 FRAME: 0516

AMY SCHICK **Notary Public** 

WITNESS my hand and seal this _	<u>  </u> <sup>#</sup> day of	MAY	, 2005.	
	By:	Zan 1		
	Denn	is Hubbard		

State/Commonwealth of: Massachusetts

County of: <u>Bristol</u>

On this 11th day of <u>May</u>, <u>2005</u> before me, the undersigned notary public, personally appeared <u>Dephis Hubbard</u> (name of document signer), proved to me through satisfactory evidence of identification, which were personally Known tome to be the person whose name is signed on the preceding or attached document, and Acknowledged to me that (he)(she) signed it voluntarily for its stated purpose.

\_ (official signature and seal of notary) Commonwealth of Massachusetts My Commission Expires January 21, 2011

PATENT REEL: 016295 FRAME: 0517

AMY SCHICK **Notary Public** 

By: \_\_\_\_\_\_ Christopher Rybicki

## NOTARIAL SEAL

State/Commonwealth of: Massachusetts

County of: Bristol

 

 day of appeared
 , \_\_\_\_\_\_\_
 before me, the undersigned notary public, (name of document signer), proved to

 On this personally appeared me through satisfactory evidence of identification, which were to be the person whose name is signed on the preceding or attached document, and Acknowledged to me that (he)(she) signed it voluntarily for its stated purpose.

(official signature and seal of notary)

WITNESS my hand and seal this _	2	_ day of _	-M	ay	,2005.
			Q	1 t	D
		Ву:	<u>12 a 1</u>	$\mathbb{A}$	$\mu$
		Ré	onald L Sa	ácher 💙	r

State/Commonwealth of: <u>Massachusetts</u>

County of: Bristol

On this 2 day of May, 205 before me, the undersigned notary public, personally appeared Ronald Sacher (name of document signer), proved to me through satisfactory evidence of identification, which were to be the person whose name is signed on the preceding or attached document, and Acknowledged to me that (he)(she) signed it voluntarily for its stated purpose.

<u>khtek</u> (official signature and seal of notary)

AMY SCHICK Notary Public Commonwealth of Massachusetts My Commission Expires January 21, 2011

WITNESS my hand and seal this	12- day of MA	, 2005.
	By: Simon Siu	ontin

State/Commonwealth of: Massachusetts

County of: Bristol

On this 12 day of May, 2005 before me, the undersigned notary public, personally appeared  $3imon S_1 u$  (name of document signer), proved to me through satisfactory evidence of identification, which were to be the person whose name is signed on the preceding or attached document, and Acknowledged to me that (he)(she) signed it voluntarily for its stated purpose.

hick (official signature and seal of notary) Commonwealth of Massachusetts My Commission Expires January 21, 2011

PATENT REEL: 016295 FRAME: 0520

AMY SCHICK Notary Public

## DOCKET NO. DEP5471USNP Joint Inventors

#### ASSIGNMENT

Serial No. 11/073,352 Filed March 4, 2005

WHEREAS, Nam T. Chao, 45 Perry Lanc, Marlborough, MA, Dennis Hubbard, 94 Deershom Road, Lancaster, MA, Christopher Rybicki, 100 Morgan Street, Apt. #411A, Stamford, CT, Ronald L. Sacher, 39 Bancroft Street, Needham, MA, James Donahue, 50 Elain Avenue, East Falmouth, MA 02536 and Simon Siu, 39 West Elm Avenue, Quincy, MA, (hereinafter called "Assignors"), have made certain new and useful inventions or discoveries relating to

Instruments and Methods for Manipulating A Vertebra

for which they have on this day executed an application for Letters Patent of the United States; and

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NOW, THEREFORE, BE IT KNOWN that for and in consideration of the sum of One Dollar and other valuable considerations to them moving, the receipt of which is hereby acknowledged, Assignors have sold, assigned, and transferred, and do hereby sell, assign and transfer unto said Assignee their entire right, title and interest in and to all said inventions and discoveries disclosed in said application whose identification above by serial number and filing date, when available is hereby authorized, and in and to said application, all substitutions, divisions, and continuations thereof, and in and to all Letters Patent, United States and foreign, that may be granted for said inventions and discoveries, and in and to all extensions, renewals, and reissues thereof, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignors if this Assignment and sale had not been made;

And Assignors hereby authorize and request the Commissioner of Patents of the United States to issue said Letters Patent in accordance with this Assignment;

And for the consideration aforesaid, Assignors covenant and agree with said Assignee that he has a full and unencumbered title to the inventions and discoveries above described and hereby assigned, which title they warrant unto said Assignee, its successors and assigns;

And for the consideration aforesaid, Assignors further covenant and agree that they will, whenever requested, but without cost to them promptly communicate to said Assignce or its representatives any facts known to them relating to said inventions and discoveries, testify in any interference or legal proceedings involving said inventions and discoveries, and execute any additional papers that may be necessary to enable said Assignee or its representatives, successors, nominees, or assigns to secure full and complete protection for the said inventions

By: <u>Min Tulis</u> Christopher Rybicki

State/Commonwealth of Massachusetts Connec BC OF

County of: Bristol Fairfield

On this <u>134</u> day of <u>Macy</u>, <u>2005</u> before me, the undersigned notary public, personally appeared <u>Choic Rybick</u>; (name of document signer), proved to me through satisfactory evidence of identification, which were <u>personally</u> <u>know</u>, to be the person whose name is signed on the preceding or attached document, and Acknowledged to me that (he)(she) signed it voluntarily for its stated purpose.

Margarot Acano (official signature and seal of notary) Commission expires 5-0 9-31-05

and discoveries or that may be necessary to vest in said Assignee the complete title to the said inventions and discoveries and patents hereby conveyed and to enable it to record said title.

WITNESS my hand and seal this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

By: \_\_\_\_\_\_ Nam T. Chao

## NOTARIAL SEAL

State/Commonwealth of: Massachusetts

County of: <u>Bristol</u>

On this \_\_\_\_\_ day of \_\_\_\_\_\_, \_\_\_\_ before me, the undersigned notary public, personally appeared \_\_\_\_\_\_, \_\_\_\_ before me, the undersigned notary public, (name of document signer), proved to me through satisfactory evidence of identification, which were to be the person whose name is signed on the preceding or attached document, and Acknowledged to me that (he)(she) signed it voluntarily for its stated purpose.

\_\_\_\_\_ (official signature and seal of notary)

By:

\_\_\_\_ **Dennis** Hubbard

# NOTARIAL SEAL

State/Commonwealth of: Massachusetts

County of: Bristol

On this \_\_\_\_\_ day of \_\_\_\_\_\_, \_\_\_\_ before me, the undersigned notary public, personally appeared \_\_\_\_\_\_ (name of document signer), proved to me through satisfactory evidence of identification, which were to be the person whose name is signed on the preceding or attached document, and Acknowledged to me that (he)(she) signed it voluntarily for its stated purpose.

(official signature and seal of notary)

By: \_\_\_\_\_\_ Ronald L Sacher

# NOTARIAL SEAL

State/Commonwealth of: Massachusetts

County of: Bristol

On this \_\_\_\_\_ day of \_\_\_\_\_\_, \_\_\_\_ before me, the undersigned notary public, personally appeared \_\_\_\_\_\_, \_\_\_\_ before me, the undersigned notary public, (name of document signer), proved to me through satisfactory evidence of identification, which were to be the person whose name is signed on the preceding or attached document, and Acknowledged to me that (he)(she) signed it voluntarily for its stated purpose.

(official signature and seal of notary)

By: \_\_\_\_\_ James Donabue

# NOTARIAL SEAL

State/Commonwealth of: Massachusetts

County of: Bristol

On this \_\_\_\_\_ day of \_\_\_\_\_\_, \_\_\_\_ before me, the undersigned notary public, (name of document signer), proved to personally appeared me through satisfactory evidence of identification, which were to be the person whose name is signed on the preceding or attached document, and Acknowledged to me that (he)(she) signed it voluntarily for its stated purpose.

\_\_\_\_\_ (official signature and seal of notary)

12 - MILINI II.

WITNESS my hand and seal this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

Ву: \_\_\_\_\_

Simon Siu

## NOTARIAL SEAL

State/Commonwealth of: Massachusetts

County of: Bristol

On this \_\_\_\_\_ day of \_\_\_\_\_\_, \_\_\_\_ before me, the undersigned notary public, (name of document signer), proved to personally appeared me through satisfactory evidence of identification, which were to be the person whose name is signed on the preceding or attached document, and Acknowledged to me that (he)(she) signed it voluntarily for its stated purpose.

(official signature and seal of notary)