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To the Honorable Commissioner of Patents

original documents or copy thereof.

1. Name of conveying party(ies):

Joel Heppell

2. Name and address of receiving party(ies)

Name: Ottawa Health Research Institute

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Street Address: 725 Parkdale Avenue

City: Ottawa State: Canada ZIP: K1Y 4E9

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Execution Date: September 24, 2004

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/049,086

10/734,782

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Novartis

Internal Address: Corporate Intellectual Property

Street Address: One Health Plaza, Building 104

City: East Hanover State: NJ ZIP: 07936-1080

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 80

☐ Enclosed

☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-0134 (in the name of Novartis)

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David L. Marks

Name of Person Signing
Reg. No. 37,881

David L. Marks
Signature

February 25, 2005

Date

☒ Certificate of Express Mail below

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:

Director of the US Patent and Trademark Office, PO Box 1450

FILING BY EXPRESS MAIL

UNDER 37 CFR 1.10

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Express Mail Label Number

February 25 2005

Date of Deposit

03/02/2005 NSETACHE 00000009 190134 10049086
01 FC:8021 80.00 DA

ASSIGNMENT

1,

Joel Heppell residing at **8 Chemin de la Source
Chelsea, Quebec J9B 2P2
Canada,**

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to Ottawa Health Research Institute, having a place of business at 725 Parkdale Avenue, Ottawa, ON, K1Y 4E9, Canada, its successors, assigns and legal representatives, all my right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to me by local laws or by treaty, including any international convention for the protection of industrial property, in and for the United States and its territories and possessions in and to the invention entitled:

Nucleic acid and amino acid sequences of infectious salmon anaemia virus and their uses as vaccines

invented by me and described in the application for United States Letters Patent

Application No. 10/049,086, filed May 30, 2002, and
Application No. 10/734,782, filed December 12, 2003

including (1) said applications for United States Letters Patent and all continuations and divisions thereof (including further continuations and divisions such as, but not limited to, continuations of continuations and divisions of continuations), (2) all United States Letters Patent which may be issued and/or granted on all such applications, (3) all applications for reissues and extensions of and reexamination certificates for all such United States Letters Patent and (4) all reissues and extensions and reexamination certificates issued for all such United States Letters Patent, the said interest being the entire ownership of said invention and all of said applications, United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates to be held and enjoyed by the said Ottawa Health Research Institute and its successors and assigns to the full end of the terms to which said United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by me if this sale, assignment and transfer had not been made;

And I hereby agree to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of said applications for United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates in said assignee.

Signed this 24 day of September, 2004 by Joel Heppell
Joel Heppell