

02-28-2005

2 SHEET

10/519204

U.S. DEPARTMENT OF COMMERCE

(Rev. 6-93)

OMB No. 0651-0011(exp. 4/94)



10/519204

Patent and Trademark Office

27 JAN 2005

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To the Honorable Commissioner of Patents and Trademarks

with the attached original documents or copy thereof.

1. Name of conveying party(ies):

William S.C. Chang (Jan. 7, 2005)
Paul K.L. Yu (Jan. 5, 2005)

Additional name(s) of conveying party(ies) attached? () Yes (X) No

3. Nature of conveyance:

- (X) Assignment () Merger
 () Security Agreement () Change of Name
 () Other _____

Execution Date: **January 7 and 5, 2005**

2. Name and address of receiving party(ies):

Name: **The Regents of the University of California**

Internal Address:

Street Address: **1111 Franklin Street, 5th Floor**City: **Oakland** State: **CA** ZIP: **94607-5200**

Additional name(s) & address(es) attached: () Yes (X) No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/519,204

B. Patent No.(s)

Additional numbers attached? () Yes (X) No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Greer, Burns & Crain, Ltd.**

Internal Address:

Street Address: **300 South Wacker Drive, Suite 2500**City: **Chicago** State: **IL** ZIP: **60606**

Additional name(s) & address(es) attached: () Yes () No

6. Total number of applications and patents involved: (1)

7. Total fee (37 CFR 3.41) \$ 40.00

(X) Enclosed

() Authorized to be charged to deposit account only in the event proper payment is not enclosed.

8. Deposit account number:

07-2069

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steven P. Fallon

Name of Person Signing

Signature

January 27, 2005

Date

Total number of pages including cover sheet, attachments, and document: (3)

0321.67638

Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments
 Washington, D.C. 20231

PATENT
REEL: 016321 FRAME: 0270

ASSIGNMENT

WHEREAS, WILLIAM S.C. CHANG, a citizen of the United States, residing at 12676 Caminito Radiante, San Diego, CA 92130, and PAUL K.L. YU, a citizen of the United States, residing at 4455 Rosecliff Place, San Diego, CA 92130, referred to as ASSIGNORS, have invented and own a certain invention entitled:

**PERIPHERAL COUPLED TRAVELING
WAVE ELECTRO-ABSORPTION MODULATOR**

for which application for Letters Patent of the United States has been filed on December 17, 2004, and accorded Serial No. 10/519,204;

WHEREAS, THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, a non-profit organization of the State of California having a place of business at 1111 Franklin Street, 5th Floor, Oakland, California 94607-5200, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire interest in, to and under said invention and any United States Letters Patent to be obtained therefor:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that for good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted.

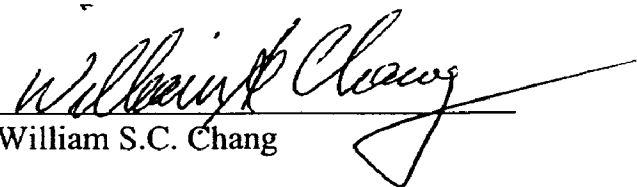
ASSIGNOR also assigns all of its right, title and interest in and to said invention in all foreign countries, and all applications for Letters Patent which may evolve therefrom, including the right to claim International Convention priority.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.


ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNOR and ASSIGNOR will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to

ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

Date 1/7/2005


William S.C. Chang

Date 1/5/2005


Paul K.L. Yu