



RE

03-08-2005



102954655

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

[FAMILY NAME (ALL CAPS), Given Name]

TSAI, Li-Duan

DU, Yi-Chang

Additional name(s) of conveying party(ies) attached?

☐ YES ☒ NO

2. Name and address of receiving party(ies)

Name: **INDUSTRIAL TECHNOLOGY
RESEARCH INSTITUTE/MATERIAL
RESEARCH LABORATORIES**

Internal Address:

Street Address: **Bldg. 77, 195-5 Chung Hsing Rd.
Section 4, Chutung**

City: **Hsinchu** State: ZIP:

Country: **Taiwan, Republic of China**

Postal Code: **31015**

Additional name(s) & address(es) attached? ☐ YES ☒ NO

3. Nature of conveyance:

☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

☒ Other: **Correction to Assignment filed
1/29/04; and 8/16/04, with correct signature page
attached.**

Execution Date: **July 1, 2004**

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

10/765,941

B. Patent No.(s).

Additional numbers attached? ☐ YES ☒ NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **BIRCH, STEWART, KOLASCH & BIRCH, LLP**

Street Address: **P.O. BOX 747**

City: **FALLS CHURCH** State: **VA** ZIP: **22040-0747**

Country: **USA**

6. Total No. of applications/patents involved: **One (1)**

7. Total fee (37 C.F.R. § 3.41): **\$40.00**

☒ Enclosed

☒ Authorized to be charged to deposit account,
if no fee attached.

8. Deposit account number: **02-2448**

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joe McKinney Muncy, #32,334

Name of Person Signing/Reg. No.

Signature

March 3, 2005

Date

Total number of pages including cover sheet, attachments, and document: **Three (3)**

KM/clb
(Rev. 06/23/2004)

**PATENT
REEL: 016327 FRAME: 0888**



08-19-2004

COPY

Atty. Docket No.: 3313-1100P

Page 1 of 1



102817750

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

8-16-04

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): (FAMILY NAME (ALL CAPS), Given Name) TSAI, Li-Duan DU, Yi-Chang , , , Additional name(s) of conveying party(ies) attached? ☐ YES ☒ NO		2. Name and address of receiving party(ies) Name: INDUSTRIAL TECHNOLOGY RESEARCH INSTITUTE/MATERIAL RESEARCH LABORATORIES Internal Address: Street Address: Bldg. 77, 195-5 Chung Hsing Rd. Section 4, Chutung City: Hsinchu State: ZIP: Country: Taiwan, Republic of China Postal Code: 31015 Additional name(s) & address(es) attached? ☐ YES ☒ NO	
3. Nature of conveyance: ☐ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☒ Other: Correction to Assignment filed 1/29/04, with correct signature page attached. Execution Date: July 1, 2004			
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No(s). 10/765,941 B. Patent No.(s). Additional numbers attached? ☐ YES ☒ NO			
5. Name and address of party to whom correspondence concerning document should be mailed: Name: BIRCH, STEWART, KOLASCH & BIRCH, LLP Street Address: P.O. BOX 747 City: FALLS CHURCH State: VA ZIP: 22040-0747 Country: USA		6. Total No. of applications/patents involved: One (1) 7. Total fee (37 C.F.R. § 3.41): \$40.00 ☒ Enclosed ☒ Authorized to be charged to deposit account, if no fee attached. 8. Deposit account number: 02-2448 (Attach duplicate copy of this page if paying by deposit account)	

DO NOT USE THIS SPACE**9. Statement and signature.***To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Joe McKinney Muncy, #32,334
Name of Person Signing/Reg. No.
SignatureAugust 16, 2004
DateTotal number of pages including cover sheet, attachments, and document: **Three (3)**

08/18/2004 GTW11 00000074 10765941

01 FC:0021

40.00 CP

KM/clb
(Rev. 06/23/2004)

COPY

Atty. Docket No.: 3313-1100P

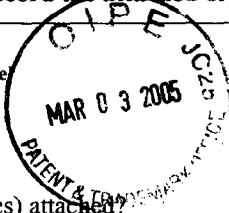
Page 1 of 1

REC-102661102

ET

To the Director of the U.S. Patent and Trademark Office:
Please record the attached original documents or copy thereof.

129-04

PTO
10/765941

012904

1. Name of conveying party(ies):

[FAMILY NAME (ALL CAPS), Given Name]

TSAL, Li-Duan

DU, Yi-Chang

Additional name(s) of conveying party(ies) attached?

☐ YES ☒ NO

2. Name and address of receiving party(ies)

Name: **INDUSTRIAL TECHNOLOGY RESEARCH
INSTITUTE/MATERIAL RESEARCH
LABORATORIES**

Internal Address:

Street Address: **Bldg. 77, 195-5 Chung Hsing Rd., Section
4, Chutung**City: **Hsinchu** State: ZIP:Country: **Taiwan, R.O.C.** Postal Code: **31015**Additional name(s) & address(es) attached? ☐ YES ☒ NO

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:Execution Date: **December 18, 2003**

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: **December 18, 2003**

A. Patent Application No(s).

New

B. Patent No.(s).

Additional numbers attached? ☐ YES ☒ NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **BIRCH, STEWART, KOLASCH & BIRCH, LLP**Street Address: **P.O. BOX 747**City: **FALLS CHURCH** State: **VA** ZIP: **22040-0747**Country: **USA**6. Total No. of applications/patents involved: **One (1)**7. Total fee (37 C.F.R. § 3.41): **\$40.00**☒ Enclosed☒ Authorized to be charged to deposit account,
if no fee attached.8. Deposit account number: **02-2448**(Attach triplicate copy of this page
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9. Statement and signature.

Joe McKinney Muncy, #32,334

Name of Person Signing/Reg. No.

Signature

January 29, 2004

Date

Total number of pages including cover sheet, attachments, and document: **Three (3)**

02/02/2004 MBELETE1 00000054 10765941

02 FC:8021

40.00 DP

KM/cqc

(Rev. 09/30/03)

**PATENT
REEL: 016327 FRAME: 0890**

BIRCH, STEWART, KOLASCH & BIRCH, LLP

UNITED STATES PATENT RIGHTS, OR
UNITED STATES PLUS ALL FOREIGN PATENT RIGHTS

ASSIGNMENT

Application No. 10/765,941

Filed 1/29/04

Insert Name(s)
of Inventor(s)

WHEREAS, Li-Duan TSAI

Yi-Chang DU

(hereinafter designated as the undersigned) has (have) invented certain new and useful improvements in

Insert Title
of Invention

SOLID ELECTROLYTIC CAPACITOR AND ITS PRODUCING METHOD

for which an application for Letters Patent of the United States of America has been executed by the undersigned (except in the case of a provisional application).

Insert Date
of Signing of
Application

on July 1, 2004; and

Insert Name
of Assignee

WHEREAS, Industrial Technology Research Institute / Material Research Laboratories

Insert Address
of Assignee

of Bldg.77,195-5 Chung Hsing Rd. Section 4,Chutung,Hsinchu,Taiwan 31015,R.O.C

its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America and

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IF APPROPRIATE

☐ in any foreign countries.

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has (have) sold, assigned and transferred, and by these presents does sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America, its territories, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America, its territories, dependencies and possessions, and if the box above is designated, in any and all foreign countries;

and to any and all divisions, reissues, continuations, conversions and extensions thereof for the full term or terms for which the same may be granted.

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional, conversion or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree (s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation, division, conversion or reissue thereof or Letter Patent(s) or reissue patent issued thereon and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States of America patent(s) or a grant of a valid United States of America and any foreign patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Patent and Trademark Office Officials in the United States of America and in any foreign countries to issue any and all Letters Patents resulting from said application or any continuing, divisional conversion or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the law firm of Birch, Stewart, Kolasch & Birch, LLP the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

The undersigned hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date 01.07.2004

Name of Inventor Li-Duan Tsai
(signature) **Li-Duan TSAI**

Date July 1, 2004

Name of Inventor Yi-Chang Du
(signature) **Yi-Chang DU**

Date _____

Name of Inventor _____
(signature)

Date _____

Name of Inventor _____
(signature)

Date _____

Name of Inventor _____
(signature)

Date _____

Name of Inventor _____
(signature)

October 2003