

RECORDATION FORM COVER SHEET

08-09-2005

Our Ref.: 2818-65

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Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450



102976103

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies): Piero MELLONI Alberto CERRI Marco SANTAGOSTINO</p> <p>Additional name/s of conveying party/ies attached? <input type="checkbox"/></p> <p>3. Nature of conveyance: <input type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Assignment <input type="checkbox"/> Change of Name <input checked="" type="checkbox"/> Other Correction of Assignment Recorded on November 6, 2001 at Reel/Frame 012315/0929</p> <p>Execution Date: 8 October 2001</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: SIGMA-TAU INDUSTRIE FARMACEUTICHE RIUNITE S.p.A.</p> <p>Internal Address:</p> <p>Street Address: 47, Viale Shakespeare</p> <p>City: Rome State/Country: Italy Zip: I-00144</p> <p>Additional name/s & address/es attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application is: _____

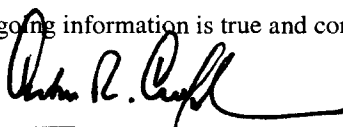
<p>A. Patent Application No(s). (1) (2) (3)</p>	<p>B. Patent No(s). (1) 6,677,476 B1 (2) (3)</p>
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Additional numbers attached ☐ Yes ☒ No

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: Arthur R. Crawford</p> <p>Internal Address:</p> <p>Street Address: Nixon & Vanderhye P.C. 901 North Glebe Road 11th Floor</p> <p>City: Arlington State: VA Zip: 22203</p>	<p>6. Total number of applications & patents involved: 1</p> <p>7. Total fee (37 CFR 3.41) (8021) \$ 40.00 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account #14-1140</p> <p>8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our Account No. 14-1140.</p>
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9. Statements and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

<p>Arthur R. Crawford Name of Person Signing Reg. No. 25,327</p>	<p> Signature</p>	<p>July 27, 2005 Date</p>
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Total number of pages including original cover sheet, attachments, and document: [4]

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PATENT
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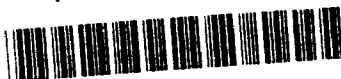
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JC10 Rec'd PCT/PTO 06 NOV 2001
09/959717

U.S. Department of Commerce
Patent and Trademark Office



11-27-2001



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Our Ref.: 2818-65

Commissioner of Patents and Trademarks
Box Assignment, Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Piero MELLONI
Alberto CERRI

Marco SANTAGOSTINO

Additional name/s of conveying party/ies attached? ☐

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Assignment ☐ Change of Name
☐ Other

Execution Date: 8 October 2001

2. Name and address of receiving party(ies):

Name: SIGMA-TAU INDUSTRIE FARMACEUTICHE
RIUNITE S.p.A.

Internal Address:

Street Address: 47, Viale Shakespeare

City: Rome

State/Country: Italy

Zip: I-00144

Additional name/s & address/es attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

8 October 2001

A. Patent Application No(s).

(1) Unknown

(2)

(3)

B. Patent No(s).

(1)

(2)

(3)

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Arthur R. Crawford

Internal Address:

Street Address: Nixon & Vanderhye P.C.

1100 North Glebe Road

8th Floor

City: Arlington State: VA Zip: 22201

6. Total number of applications & patents involved: 1

7. Total fee (37 CFR 3.41)

\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account #14-1140

8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our Account No. 14-1140.

11/07/2001 INJURY 00000037 0935717

05 FC:501

40.00 DP

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9. Statements and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Arthur R. Crawford

Name of Person Signing

Reg. No. 25,327

Signature

November 6, 2001

Date

Total number of pages including original cover sheet, attachments, and document:

[2]

ASSIGNMENT OF U.S. PATENT RIGHTS

(Inventors) MELLONI Piero – Via Centurelli, 1 – 20 091 Bresso (MI) - ITALY
CERRI Alberto – Via Monte San Michele, 7 – 20 060 Gessate (MI) - ITALY
SANTAGOSTINO Marco – 20 013 Magenta (MI) - ITALY.

In consideration of the sum of one dollar (\$1.00) and other good and valuable considerations paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to
 (Assignee) SIGMA-TAU INDUSTRIE FARMACEUTICHE RIUNITE S.p.A.
 (Address) 47, Viale Shakespeare – I-00144 Rome - ITALY

(hereinafter designated as the Assignee) the undersigned's entire right, title and interest for the United States, its territories, dependencies and possessions in the invention, and all applications for patent and any Letters Patent which may be granted therefore, known as
 (Title) "Process for preparing R-(-)-carnitine from S-(-)-chlorosuccinic acid or from a derivative thereof"

for which the undersigned has (have) executed on even date herewith an application for patent in the United States of America or, if not on even date, then has executed on _____ or has already filed U.S. application Serial No. _____, on _____.

The undersigned acknowledges an obligation of assignment of this invention to said assignee at the time the invention was made.

The undersigned agree(s) to execute all papers and documents necessary in connection with the application or any interference which may be declared and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient and further to perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from said application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the firm of NIXON & VANDERHYE P.C. the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned signature(s).

Date	<u>08.10.2001</u>	Signature of inventor	<u>Piero Melloni</u>
Date	<u>08.10.2001</u>	Signature of inventor	<u>Alberto Cerri</u>
Date	<u>08.10.2001</u>	Signature of inventor	<u>Marco Santagostino</u>
Date	_____	Signature of inventor	_____
Date	_____	Signature of inventor	_____

Witnessed by: _____ Date _____

Witnessed by: _____ Date _____