Atty. Docket No. CH920030041US1

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	Address: New Orchard Road
Additional name(s) of conveying	Armonk, NY 10504
party(ies) attached? Yes X No	Additional name(s) & addresses
	attached? Yes X No
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X Assignment	11/119276
Execution Date(s): <u>April 28, 2005, April 28, 2005</u>	Execution Date(s): <u>April 28, 2005, April 28, 2005</u>
5. Name and address of party to	6. Total number of applications
whom correspondence concerning	involved: 1
document should be mailed:	
Name: Robert M. Trepp	7. Total fee (37 CFR 3.41): \$40.00
Address: IBM Corporation	, , , , , , , , , , , , , , , , , , , ,
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> PATENT REEL: 016370 FRAME: 0356

(JOINT FORM)

(IBM Docket) CH920030041US1

Assignment

Whereas, we

INVENTOR AND CITY

(1) Carlo G. Caprini

CH-8153 Ruemlang

of Chaemlettenweg 8

Switzerland

INVENTOR

AND CITY

(2) Reto Gruenenfelder

CH-8305 Dietlikon

of Dorfstrasse 27

Switzerland

have invented certain improvements in

TITLE

ADAPTIVE ESTIMATION OF GAIN AND REVENUE

and executed, respectively, a United States patent application therefor on

DATES THAT

INVENTORS

4/28

2005, and (2)

4/28

SIGNED THE DECLARATION

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said applications to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

CITY AND DATE

(1) at Zurich

on April 25th 2005,

SIGNATURE

(Carlo G. FIRST NAME

MIDDLE INITIAL

Caprini) INVENTOR LAST NAME

CITY AND DATE

on April 28

MIDDLE INITIAL

1

PATENT REEL: 016370 FRAME: 0357**