

03-23-2005



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☐

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Name (line 1)

Michels, H. Harvey

Execution Date  
Month Day Year

07092002

Name (line 2)

Second Party

Name (line 1)

Breault, Richard D.

Execution Date  
Month Day Year

07092002

Name (line 2)

Receiving Party

☐

Mark if additional names of receiving parties attached

Name (line 1)

UTC FUEL CELLS, LLC

Name (line 2)

Address (line 1)

195 Governors Highway

Address (line 2)

Address (line 3)

South Windsor

CT

06074

City

State/Country

Zip Code

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Chisholm, Malcolm J.

Address (line 1)

P.O. Box 278

Address (line 2)

220 Main Street

Address (line 3)

Lee, MA 01238

Address (line 4)

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PATENT  
REEL: 016375 FRAME: 0114

**Correspondent Name and Address**

Area Code and Telephone Number **413 243 0551**

Name **Chisholm, Malcolm J.**

Address (line 1) **P.O. Box 278**

Address (line 2) **220 Main Street**

Address (line 3) **Lee, MA 01238**

Address (line 4)

**Pages** Enter the total number of pages of the attached conveyance document including any attachments.

# **2**

**Application Number(s) or Patent Number(s)**

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**10/194,122**

**Patent Number(s)**

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT

PCT

**Number of Properties**

Enter the total number of properties involved.

# **1**

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ **40.00**

Method of Payment:

Enclosed ☐ Deposit Account ☒

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# **50-1307**

Authorization to charge additional fees:

Yes ☐ No ☒

**Statement and Signature**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

Malcolm J. Chisholm, Jr.

Name of Person Signing

*Malcolm J. Chisholm, Jr.*

Signature

03162005

Date

## ASSIGNMENT

Serial No. 10/194,122  
Filing Date 07/12/2002

WHEREAS, we, H. HARVEY MICHELS, a citizen of the United States of America, residing at 7 Tumblebrook Lane, West Hartford, CT 06117, and RICHARD D. BREault, a citizen of the United States of America, 153 Pleasant Street, North Kingstown, RI 02852, have invented certain new and useful improvements in a "**HIGH MOLECULAR WEIGHT DIRECT ANTIFREEZE COOLED FUEL CELL**" invention identified as Attorney Docket No. C-2666, and described in a patent application executed by the undersigned, being owner of all right, title, and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, UTC FUEL CELLS, LLC, of South Windsor, Connecticut, a limited liability company registered with the State of Delaware (assignee) desires to obtain the entire right, title and interest in, to and under said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned do hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application; and in any applications filed in any foreign country based thereon, including the right to file said foreign applications and to claim priority under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree, without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference

purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned have hereunto set their hands and seals on the date after their signatures.

(L.S.) H. Harvey Michels 7/9, 2002

(L.S.) Richard D. Breault 7/9, 2002

State of Connecticut

County of Hartford ss: South Windsor

On this 9th day of July, 2002, before me personally appeared H. HARVEY MICHELS, to me personally known, and to me known to be the person who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.

(Seal)

Darlene S. Sutton  
( Darlene S. Sutton )  
Notary Public, State of Connecticut  
MY COMMISSION EXPIRES: May 31, 2007

State of Connecticut

County of Hartford ss: South Windsor

On this 9th day of July, 2002, before me personally appeared RICHARD D. BREAULT, to me personally known, and to me known to be the person who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.

(Seal)

Darlene S. Sutton  
( Darlene S. Sutton )  
Notary Public, State of Connecticut  
MY COMMISSION EXPIRES: May 31, 2007