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PATENTS ONLY**

**U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office**

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies):</p> <p>(1) Stephan Eberhard EWEN (2) Volker Gerhard MARKL (3) Michael ORTEGA-BINDERBERGER</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No.</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: International Business Machines Corporation</p> <p>Street Address: New Orchard Road City: Armonk State: NY Zip: 10504</p> <p>Additional name(s) and address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No.</p>
<p>3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment Execution dates: (1) February 27, 2005 (2) February 25, 2005 (3) February 25, 2005</p>	
<p>4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is:</p> <p>A. Patent Application No.(s) B. Patent No.(s)</p> <p>11/068,253 filed on February 28, 2005</p> <p>Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	
<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: Sawyer Law Group LLP Address: P.O. Box 51418 Palo Alto, CA 94303</p>	<p>6. Total number of applications and patents involved: One</p> <p>7. Total fee (37 CFR 3.41)..... \$ 40.00 <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number: 09-0460 (IBM Corporation) (Attach duplicate copy of this page if paying by deposit account)</p>
<p>9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i></p> <p>Joseph A. Sawyer, Jr.  June 21, 2005</p> <p>_____ Name of Person Signing Signature Date</p>	

CH \$40.00 090460 11068263

Total number of pages including cover sheet, attachments, and documents: 4

Docket No: SVL920040111081/3439P

ASSIGNMENT

Whereas, I,

- (1) **Stephan Eberhard EWEN** 70197 Stuttgart
Germany
- (2) **Volker Gerhard MARKL** City of San Jose
State of CA
- (3) **Michael ORTEGA-BINDERBERGER** City of San Jose
State of CA

have invented certain improvements in

**TITLE METHOD AND SYSTEM FOR PROVIDING A LEARNING OPTIMIZER FOR
FEDERATED DATABASE SYSTEMS**


and executed a United States Patent Application therefor on the following date(s):

- (1) February 27, 2005
- (2) _____, 2005
- (3) _____, 2005

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed:

	<u>LOCATION</u>	<u>DATE</u>	<u>SIGNATURE</u>
(1)	<u>Stuttgart</u>	<u>02/27/05</u>	<u></u> Stephan Eberhard EWEN
(2)	_____	_____	_____ Volker Gerhard MARKL
(3)	_____	_____	_____ Michael ORTEGA-BINDERBERGER

Docket No: SVL920040111US1/3439P

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Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed:

	<u>LOCATION</u>	<u>DATE</u>	<u>SIGNATURE</u>
(1)	_____	_____	_____ Stephan Eberhard EWEN
(2)	<u>San Jose</u>	<u>2/25/05</u>	<u>Volker Markl</u> Volker Gerhard MARKL
(3)	_____	_____	_____ Michael ORTEGA-BINDERBERGER

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(1)	_____	_____	_____ Stephan Eberhard EWEN
(2)	_____	_____	_____ Volker Gerhard MARKL
(3)	<u>San Jose, CA</u>	<u>2/25/05</u>	<u>Michael Ortega</u> Michael ORTEGA-BINDERBERGER