

Form PTO-1595 (Rev. 09/04)
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RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Philippe M. Vereecken (12/09/2004),
Veeraraghavan S. Basker (12/09/2004), Cyril
Cabral, Jr. (01/27/2005),

Execution Date(s): in parentheses after inventor name

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

2. Name and address of receiving party(ies)

Name: International Business Machines Corporation

Internal Address: _____

Street Address: _____

New Orchard Road

City: Armonk

State: New York

Country: United States of America Zip: 10504

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other _____

4. Application or patent number(s):

A. Patent Application No.(s)

11/050,790

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Burton A. Amernick
CONNOLLY BOVE LODGE & HUTZ LLP

Internal Address: Atty. Dkt.: 20140-00325-US1

Street Address: 1990 M Street, N.W., Suite 800

City: Washington

State: DC Zip: 20036-3425

Phone Number: (202) 331-7111

Fax Number: (202) 293-6229

Email Address: BAmernick@cblh.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

☐ Authorized to be charged by credit card

☒ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____

Expiration Date _____

b. Deposit Account Number 50-0510

Authorized User Name Burton A. Amernick

9. Signature:



Signature

June 22, 2005

Date

Matthew J. Mason - 44,904

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 5

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. (703) 306-5996, on the date shown below.

Dated: 6-22-05

Signature: 

(Downetta Teagle)

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RECORDATION FORM COVER SHEET

(continued)

Additional Conveying Party(ies)/Execution Date(s) (1. Continued):

Emanuel I. Cooper (01/27/2005), Hariklia Deligianni (01/27/2005), Martin M. Frank (01/27/2005), Rajarao Jammy (01/27/2005), Vamsi K. Paruchuri (01/27/2005), Katherine L. Saenger (01/27/2005), and Xiaoyan Shao (01/27/2005)

Additional Assignees (2. Continued):

Assignee Name: _____
Internal Address: _____
Street Address: _____

City: _____ State: _____ Country: _____ Zip: _____

Assignee Name: _____
Internal Address: _____
Street Address: _____

City: _____ State: _____ Country: _____ Zip: _____

Assignee Name: _____
Internal Address: _____
Street Address: _____

City: _____ State: _____ Country: _____ Zip: _____

Additional Applications and/or Patents (4. Continued):

Additional Patent Application Numbers
4A. Continued:

Additional Patent Numbers
4B. Continued:

Additional numbers attached?

☐

Yes

☐

No

ASSIGNMENT

YOR920040434US1
(20140/00325)
Page 1 of 3

Whereas, We,

Philippe M. Vereecken
County of Westchester

City of Sleepy Hollow
and State of New York

Veeraraghavan S. Basker
County of Westchester

City of Yorktown Heights
and State of New York

Cyril Cabral Jr
County of Butnam

City of Mahopac
and State of New York

Emanuel I. Cooper
County of Westchester

City of Scarsdale
and State of New York

Hariklia Deligianni
County of Bergen

City of Tenafly
and State of New Jersey

Martin M. Frank
County of New York

City of New York
and State of New York

Rajarao Jammy
County of Dutchess

City of Hopewell Junction
and State of New York

Vamsi Krishna Paruchuri
County of New York

City of New York
and State of New York

Katherine L. Saenger
County of Weschester

City of Ossining
and State of New York

Xiaoyan Shao
County of Westchester

City of Yorktown Heights
and State of New York

have invented certain improvements in Gate Stack Engineering By Electrochemical Processing Utilizing Through-Gate-Dielectric Current Flow

and have executed, respectively, a United States patent application therefor on

(1) December 9th 2004

(2) December 9th, 2004

- (3) January 27, 2005
- (4) January 27, 2005
- (5) January 27, 2005
- (6) January 27, 2005
- (7) January 27, 2005
- (8) 1/27/05
- (9) 1/27/05
- (10) 01-27-2005

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504 (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, We the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns, and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

SIGNED AND SEALED

- (1) signed at Yocktown Heights, NY
on December 9th 2004
- (2) signed at YORKTOWN HEIGHTS, NY
on December 9th, 2004
- (3) signed at Yocktown Heights, NY
on January 27, 2005
- (4) signed at Yocktown Heights, NY
on January 27, 2005

Philippe Vereecken

Katherine L. Saenger

VEERARACHIVAN S. BASKER

Cyril Cabral Jr.

Emanuel I. Cooper

PATENT

REEL: 016392 FRAME: 0239

(5) signed at Yorktown Heights, NY
on 01/27/05

Hariklia Deligianni
Hariklia Deligianni

(6) signed at Yorktown Heights, NY
on 01/27/05

Martin M. Frank
Martin M. Frank

(7) signed at Yorktown Heights, NY
on 01/27/05

Rajaram Jammy
Rajaram Jammy

(8) signed at Yorktown Heights, NY
on 1/27/05

P. Vamsi Krishna
Vamsi Krishna Paruchuri

(9) signed at Yorktown Heights, NY
on 1/27/05

Katherine L. Saenger
Katherine L. Saenger

(10) signed at Yorktown Heights, NY
on 01/27/05

Xiaoyan Shao
Xiaoyan Shao