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Form PTO-1595

U.S. Department of Commerce

Docket No. HSJ9-2004-0343US1



I

To the Hon. Commissioner of Patent

102971409

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ronald Dunham, Wolfgang Goubau, Bhargav Patel, Marvin Wong and John Jaekoyun Yang

Additional name of conveying party attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Hitachi Global Storage Technologies  
Netherlands B.V.

3. Nature of conveyance:

☒ AssignmentStreet Address: Locatellikade 1, Parnassustoren 1076  
AZ Amsterdam, The NetherlandsExecution Dates: Mar. 2, 2005, Mar. 1, 2005, Feb. 28, 2005,  
Feb. 28, 2005 and Feb. 28, 2005, respectivelyAdditional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers:

If this document is being filed together with a new application, the execution date of the application is: Mar. 2, 2005, Mar. 1, 2005,  
Feb. 28, 2005, Feb. 28, 2005 and Feb. 28, 2005, respectively

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address party to whom correspondence concerning  
document should be mailed:Name: Larry B. Guernsey  
Intellectual Property Law OfficesStreet Address: 1901 S. Bascom Ave., Suite 660  
City: Campbell State: California ZIP: 950086. Total number of applications and  
patents involved: 17. Total fee (37 CFR 3.41) \$40.00☒ Enclosed☒ Any discrepancy or overpayment is authorized to be  
charged to deposit account

8. Deposit Account number: 08-3240

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the  
original document.*

Larry B. Guernsey

Name of Person Signing

Signature

March 18, 2005

Date

Total number of pages including cover sheet, attachments, and document: 3

03/22/2005 HTECKLU1 00000057 11083808

04 FC:0021

(40.00 DP)

PATENT  
REEL: 016405 FRAME: 0417

**ASSIGNMENT**

Whereas, I, Ronald Dunham of San Jose, County of Santa Clara, Wolfgang Goubau of Santa Cruz, County of Santa Cruz, Bhargav Patel of Newark, County of Alameda, Marvin Wong of Danville, County of Contra Costa, and John Jaekoyun Yang of Newark, County of Alameda, and State of California have invented certain improvements in **LAPPING OF GOLD PADS IN A LIQUID MEDIUM FOR WORK HARDENING THE SURFACE OF THE PADS** and executed, respectively, a United States patent application therefor on 3/2/2005, 2005 \_\_\_\_\_, 2005, 2/28, 2005, 2/28, 2005 and 2/28, 2005

Whereas, HITACHI GLOBAL STORAGE TECHNOLOGIES NETHERLANDS B.V., having a place of business at Locatellikade 1, Parnassustoren 1076, AZ Amsterdam, The Netherlands, (hereinafter called HITACHI), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to HITACHI, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to HITACHI, its successors and assigns; and I hereby agree that HITACHI may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by HITACHI.

Signed

At San Jose  
on 3/2, 2005

  
RONALD DUNHAM

At Santa Cruz  
on \_\_\_\_\_, 2005

\_\_\_\_\_  
WOLFGANG GOUBAU

At San Jose  
on 2/28, 2005

  
BHARGAV PATEL

At San Jose  
on 2/28, 2005

  
MARVIN WONG

At San Jose  
on 2/28, 2005

  
JOHN JAEKOYUN YANG

**ASSIGNMENT**

Whereas, I, Ronald Dunham of San Jose, County of Santa Clara, Wolfgang Goubau of Santa Cruz, County of Santa Cruz, Bhargav Patel of Newark, County of Alameda, Marvin Wong of Danville, County of Contra Costa, and John Jaekoyun Yang of Newark, County of Alameda, and State of California have invented certain improvements in **LAPPING OF GOLD PADS IN A LIQUID MEDIUM FOR WORK HARDENING THE SURFACE OF THE PADS** and executed, respectively, a United States patent application therefor on \_\_\_\_\_, 2005 \_\_\_\_\_, 2005, \_\_\_\_\_, 2005, \_\_\_\_\_, 2005 and \_\_\_\_\_, 2005

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Signed

At San Jose  
on \_\_\_\_\_, 2005

\_\_\_\_\_  
RONALD DUNHAM

At Santa Cruz  
on March 1, 2005

Wolfgang Goubau  
\_\_\_\_\_  
WOLFGANG GOUBAU

At San Jose  
on \_\_\_\_\_, 2005

\_\_\_\_\_  
BHARGAV PATEL

At San Jose  
on \_\_\_\_\_, 2005

\_\_\_\_\_  
MARVIN WONG

At San Jose  
on \_\_\_\_\_, 2005

\_\_\_\_\_  
JOHN JAEKOYUN YANG