

32975

Docket No.: 15436.465.1

FORM PTO-1595 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2002)
P08A/REV03

04-11-2005

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To the Director of the United States Pa.

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a attached original documents or copy thereof.

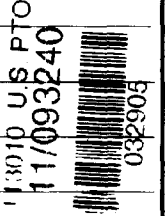
1. Name of conveying party(ies):

James K. Guenter

2. Name and address of receiving party(ies):

Name: **Finisar Corporation**

Address: **1308 Moffett Park Drive**



Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

City: **Sunnyvale** State/Prov.: **California**

Country: **U.S.A.** ZIP: **94089-1133**

Execution Date: **March 28, 2005**

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: **March 29, 2005**

Patent Application No.

Filing date

B. Patent No.(s)

04/01/2005 DTESSEM1 00000055 11093240

04 FC:8021

(40.00 DP)

Additional numbers

☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Eric L. Maschoff**

Registration No. **36,596**

Address: **Customer No. 022913**

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

23-3178

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

J. LaVar Oldham

Name of Person Signing

Signature

March **29**, 2005

Date

3

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PATENT
REEL: 016433 FRAME: 0150

WHEN RECORDED RETURN TO:

PATENT APPLICATION
Docket No: 15436.465.1Workman Nydegger
1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111

Form P4

A S S I G N M E N T

We the under signed, hereinafter collectively referred to as "Assignor", are the inventors of an invention entitled **VERTICAL CAVITY SURFACE EMITTING LASER OPTIMIZED FOR THERMAL SENSITIVITY**. Preferred embodiments of said invention are disclosed in a United States patent application which:

☒ [X] is executed concurrently herewith for filing in the United States Patent and Trademark Office.

☐ [] was previously filed in the United States Patent and Trademark Office on _____ Application Serial No. _____.

☐ [] is a United States National Application of PCT International Application No. _____, filed _____.

The Assignee, Finisar Corporation, a corporation of the State of Delaware, having a principal place of business at 1308 Moffett Park Drive, Sunnyvale, California 94089-1133, desires to secure the entire right, title and interest in said invention and the patent application filed thereon.

In consideration of One Dollar (\$1.00) and other good and valuable consideration paid to Assignor by the Assignee, the receipt and sufficiency of which is hereby acknowledge, ASSIGNOR HEREBY ASSIGNS TO THE ASSIGNEE:

The entire right, title and interest in said invention and in the above-identified United States patent application and in all divisions, continuations and continuations-in-part of said application, or reissues or extensions of Letters Patent or Patents granted thereon, and in all corresponding applications filed in countries foreign to the United States, and in all patents issuing thereon in the United States and foreign countries.

The right to file foreign patent applications on said invention in its own name, wherever such right may be legally exercised, including the right to claim the benefits of the International Convention for such applications.

Assignor hereby authorizes and requests the United States Commissioner of Patents and Trademarks, and such Patent Office officials in foreign countries as are duly authorized by their patent laws to issue patents, to issue any and all patents on said invention to the Assignee as the owner of the entire interest, for the sole use and behoof of the said Assignee, its successors, assigns and legal representatives.

Assignor hereby agrees, without further consideration and without expense to Assignor, to sign all lawful papers and to perform all other lawful acts which the Assignee may request of Assignor to make this Assignment fully effective, including, by way of example but not of limitation, the following:

Prompt execution of all original, divisional, substitute, reissue, and other United States and foreign patent applications on said invention, and all lawful documents requested by the Assignee to further the prosecution of any of such patent applications.

Cooperation to the best of our ability in the execution of all lawful documents, the production of evidence, nullification, reissue, extension, or infringement proceedings involving said invention.

This assignment and agreement shall be binding upon Assignor's heirs and legal representatives.

Full name of sole or first inventor

James

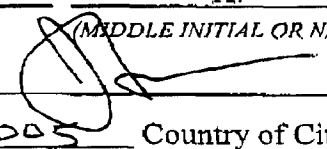
K.

Guenther

(GIVEN NAME)

(MIDDLE INITIAL OR NAME)

FAMILY (OR LAST NAME)

Inventor's signature 

Date MARCH 28, 2005 Country of Citizenship United States

Address 214 Coral Ridge Drive, Garland, TX 75044

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