04-18-2005



U.S. DEPARTMENT OF COMMERCE

| 102983059 _ | | ratent and Trademark Office | | |
|---|---------------------------|--|---------------------------------------|--|
| To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. | | | | |
| Name of conveying party(ies): | 2 | . Name and address of rec | eiving party(ies): | |
| Peter B. Atanackovic | | Name: Translucent P | hotonics, Inc. | |
| Additional name(s) of conveying party(ies) attached? | | Street Address: 952 Commercial Street | | |
| ☐ Yes 🛛 No | | | | |
| 3. Nature of conveyance: | | C'1 | W. CA 04202 | |
| | | City/State/Zip: Palo Alto, CA 94303 | | |
| Security Agreement (| Change of Name | Additional name(s) & address(es) attached? | | |
| Face 14 - 1 Date 102/20/2005 | | | Yes 🛭 No | |
| Execution Date: 02/20/2005 4. Application number(s) or patent num | nber(s): 11/025,692 | | | |
| If this document is being filed together with a new application, the execution date of the application is: | | | | |
| A. Patent Application No (s): 11/025,692 | | B. Patent No.(s): | | |
| | Additional numbers a | ttached? Yes No | | |
| 5. Name and address of party to whom correspondence concerning document should be mailed: | | Total number of applications and patents involved: [1] | | |
| Name: Paul Davis | - | | | |
| Internal Address: Heller Ehrman White & McAuliffe LLP 275 Middlefield Road Menlo Park, CA 94025-3506 | | 7. Total fee (37 CFR 3.41) <u>\$40</u> Enclosed | | |
| | | Authorized to be char | ged to deposit account | |
| | | Deposit account number: (Attorney Docket No.: 392 | | |
| DO NOT USE THIS SPACE | | | | |
| 9. Statement and signature. To the best of my knowledge and bel the original document. | ief, the foregoing inform | ation is true and correct an | d any attached copy is a true copy of | |
| Paul Davis Name of Person Signing | t | l(Q) Signature | - 4/11/05 Date | |
| rame or reison signing | Total numbe | Ü | sheet, attachments, and document: [2] | |
| L | | | | |

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PATENT

REEL: 016457 FRAME: 0018

PTO/SB/15 (8-96)

Approved for use through 9/30/98. OMB 0651-0027

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| ASSIGNMENT OF APPLICATION | Docket Number 39230-0711 | | | |
|---|--|--|--|--|
| Whereas, the undersigned: | | | | |
| Petar Atanackovic Palo Alto, CA | | | | |
| hereinafter termed "Inventors", have invented certain new and useful improvements in | | | | |
| Rare earth-oxides, rare earth-nitrides, rare earth-phosphides and ternary alloys with silicon | | | | |
| for which an application for United States Patent was filed on 12/28/2004, Application No. 11/025,692 for which an application for a United States Patent was executed on, and | | | | |
| WHEREAS, Translucent Photonics, Inc., a corporation having a place of business at 952 Commercial Street, Palo Alto, CA 94303, (hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries. | | | | |
| NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee: | | | | |
| 1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents. | | | | |
| 2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee. | | | | |
| 3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns. | | | | |
| 4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith. | | | | |
| IN WITNESS WHEREOF, said Inventors have executed and delivered this instrument of said | Assignee as of the date written below: | | | |
| Date: 20th tels 2005 Petar B. ATANACKOVIC | anochon C | | | |
| | | | | |

PTO/SB/15 (8-96)

Approved for use through 9/30/98. OMB 0651-0027 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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ASSIGNMENT OF APPLICATION Docket Number 39230-0711 Whereas, the undersigned: Petar Atanackovic Palo Alto, CA hereinafter termed "Inventors", have invented certain new and useful improvements in Rare earth-oxides, rare earth-nitrides, rare earth-phosphides and ternary alloys with silicon for which an application for United States Patent was filed on 12/28/2004, Application No. 11/025,692 for which an application for a United States Patent was executed on _____, and WHEREAS, Translucent Photonics, Inc., a corporation having a place of business at 952 Commercial Street, Palo Alto, CA 94303, (hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries. NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee: Said Inventors do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any

IN WITNESS WHEREOF, said Inventors have executed and delivered this instrument of said Assignee as of the date written below:

PATENT REEL: 016457 FRAME: 0020

RECORDED: 04/11/2005

assignment, contract, or understanding in conflict herewith.