

Form PTO-1595 (Rev. 03/05)  
OMB No. 0651-0027 (exp. 6/30/2005)

40300-10457  
U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

ROBERT LE BRAS-BROWN  
ALEX E. GNANN  
STUART LESLIE  
TAEK KIM

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**2. Name and address of receiving party(ies)**

Name: PEPSICO, INC.

Internal Address: \_\_\_\_\_

Street Address: 700 ANDERSON HILL ROAD

City: PURCHASE

State: NEW YORK

Country: USA Zip: 10577

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 3/15/05; 3/16/05; 5/03/05; 5/03/05

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

29/226,416 FILED MARCH 29, 2005

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: JAMES D. RYNDAK

Internal Address: \_\_\_\_\_

RYNDAK & SURI

Street Address: 30 NORTH LA SALLE STREET

City: CHICAGO

State: ILLINOIS Zip: 60602

Phone Number: 312-214-7770

Fax Number: 312-214-7715

Email Address: ryndak@ryndaksuri.com

**6. Total number of applications and patents involved: \_\_\_\_\_****7. Total fee (37 CFR 1.21(h) & 3.41) \$ \_\_\_\_\_**

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 50-0503

Authorized User Name \_\_\_\_\_

**9. Signature:**

Signature

June 30, 2005

Date

JAMES D. RYNDAK  
Name of Person Signing

Total number of pages including cover  
sheet, attachments, and documents:

13

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 500503 29226416

700192075

PATENT  
REEL: 016461 FRAME: 0835

ASSIGNMENT

Docket No. 40300-10457

Serial No. 29/226,416  
Filed: 03/29/2005

In consideration of One Dollar and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned inventor, or each inventor if more than one, hereby assigns to PEPSICO, INC., a North Carolina corporation, having a principal place of business at 700 Anderson Hill Road, Purchase, NY 10577, its successors and assigns (hereinafter called "said assignee"), the entire right, title and interest in the invention or improvements (hereinafter called "said invention") of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

BOTTLE

executed by the undersigned on the \_\_\_\_ day of \_\_\_\_\_, 2005, and in said application and any and all other applications for United States Letters Patent, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States which may be obtained on any of said applications, and in any reissue or extension thereof.

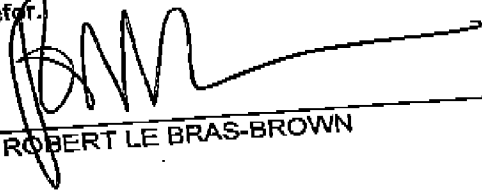
The undersigned hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to said assignee.

The undersigned hereby authorizes and requests the attorneys of record in said application to insert in this assignment the date and serial number of said application.

For said consideration, the undersigned hereby agrees, upon the request and at the expense of said assignee, to execute any divisional, continuation or substitute application for said invention or improvements, and any oath, declaration or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and, in the event of any application or Letters Patent assigned herein becoming involved in Interference, to cooperate to the best of the ability of the undersigned in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof. The undersigned agrees to perform, upon request, any affirmative acts to obtain said Letters Patent of the United States and vest in said assignee all rights therein, whereby said Letters Patent will be held and enjoyed by said assignee, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

And for said consideration, the undersigned hereby assigns to said assignee the entire right, title and interest in said invention or improvements for all foreign countries, including all priority rights under the International Convention, and agrees to execute, at the request of said assignee, all documents in connection with any application for foreign letters patent therefor.

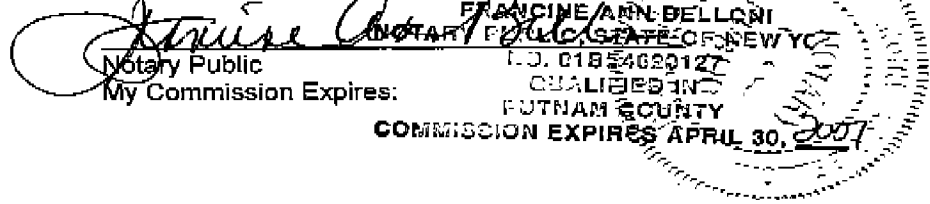
3/15/05  
Date

X   
\_\_\_\_\_  
ROBERT LE BRAS-BROWN

STATE OF NEW YORK )  
COUNTY OF Putnam ) ss:

Before me, a Notary Public in and for the County and State aforesaid, appeared ROBERT LE BRAS-BROWN to me personally known to be the signer, or signers, of the foregoing instrument, and acknowledged

execution of said instrument as a free and voluntary act for the uses and purposes therein expressed.



X

\_\_\_\_\_  
Date

\_\_\_\_\_  
ALEX E. GNANN

STATE OF NEW YORK )

) ss:

COUNTY OF \_\_\_\_\_ )

Before me, a Notary Public in and for the County and State aforesaid, appeared ALEX E. GNANN to me personally known to be the signer, or signers, of the foregoing instrument, and acknowledged execution of said instrument as a free and voluntary act for the uses and purposes therein expressed.

\_\_\_\_\_  
Notary Public  
My Commission Expires:

X

\_\_\_\_\_  
Date

\_\_\_\_\_  
STUART LESLIE

STATE OF NEW YORK )

) ss

COUNTY OF \_\_\_\_\_ )

Before me, a Notary Public in and for the County and State aforesaid, appeared STUART LESLIE to me personally known to be the signer, or signers, of the foregoing instrument, and acknowledged execution of said instrument as a free and voluntary act for the uses and purposes therein expressed.

\_\_\_\_\_  
Notary Public  
My Commission Expires:



ASSIGNMENT

Docket No. 40300-10457

Serial No. 29/226,416  
Filed: 03/29/2005

In consideration of One Dollar and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned inventor, or each inventor if more than one, hereby assigns to PEPSICO, INC., a North Carolina corporation, having a principal place of business at 700 Anderson Hill Road, Purchase, NY 10577, its successors and assigns (hereinafter called "said assignee"), the entire right, title and interest in the invention or improvements (hereinafter called "said invention") of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

BOTTLE

executed by the undersigned on the \_\_\_\_ day of \_\_\_\_\_, 2005, and in said application and any and all other applications for United States Letters Patent, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States which may be obtained on any of said applications, and in any reissue or extension thereof.

The undersigned hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to said assignee.

The undersigned hereby authorizes and requests the attorneys of record in said application to insert in this assignment the date and serial number of said application.

For said consideration, the undersigned hereby agrees, upon the request and at the expense of said assignee, to execute any divisional, continuation or substitute application for said invention or improvements, and any oath, declaration or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and, in the event of any application or Letters Patent assigned herein becoming involved in Interference, to cooperate to the best of the ability of the undersigned in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof. The undersigned agrees to perform, upon request, any affirmative acts to obtain said Letters Patent of the United States and vest in said assignee all rights therein, whereby said Letters Patent will be held and enjoyed by said assignee, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

And for said consideration, the undersigned hereby assigns to said assignee the entire right, title and interest in said invention or improvements for all foreign countries, including all priority rights under the International Convention, and agrees to execute, at the request of said assignee, all documents in connection with any application for foreign letters patent therefor.

Date

X  
\_\_\_\_\_  
ROBERT LE BRAS-BROWN

STATE OF NEW YORK)

COUNTY OF \_\_\_\_\_ )

) ss:

Before me, a Notary Public in and for the County and State aforesaid, appeared ROBERT LE BRAS-BROWN to me personally known to be the signer, or signers, of the foregoing instrument, and acknowledged

execution of said instrument as a free and voluntary act for the uses and purposes therein expressed.


\_\_\_\_\_  
Notary Public  
My Commission Expires:

10 MAR, '05  
Date

X   
ALEX E. GNANN

STATE OF NEW YORK)  
COUNTY OF Westchester ) ss:

Before me, a Notary Public in and for the County and State aforesaid, appeared ALEX E. GNANN to me personally known to be the signer, or signers, of the foregoing instrument, and acknowledged execution of said instrument as a free and voluntary act for the uses and purposes therein expressed.

  
Notary Public  
My Commission Expires: 1-20-2006

DIANE E. LUONGO  
Notary Public, State of New York  
No. 01LU5055662  
Qualified in Westchester County  
Commission Expires February 20, 2006

\_\_\_\_\_  
Date

X   
STUART LESLIE

STATE OF NEW YORK )  
COUNTY OF \_\_\_\_\_ ) ss:

Before me, a Notary Public in and for the County and State aforesaid, appeared STUART LESLIE to me personally known to be the signer, or signers, of the foregoing instrument, and acknowledged execution of said instrument as a free and voluntary act for the uses and purposes therein expressed.

\_\_\_\_\_  
Notary Public  
My Commission Expires:

X

Date

CARLOS RICARDO

STATE OF NEW YORK)

) ss:

COUNTY OF \_\_\_\_\_ )

Before me, a Notary Public in and for the County and State aforesaid, appeared CARLOS RICARDO to me personally known to be the signer, or signers, of the foregoing instrument, and acknowledged execution of said instrument as a free and voluntary act for the uses and purposes therein expressed.

Notary Public  
My Commission Expires:

X

Date

TAEK KIM

STATE OF NEW YORK)

) ss:

COUNTY OF \_\_\_\_\_ )

Before me, a Notary Public in and for the County and State aforesaid, appeared TAEK KIM to me personally known to be the signer, or signers, of the foregoing instrument, and acknowledged execution of said instrument as a free and voluntary act for the uses and purposes therein expressed.

Notary Public  
My Commission Expires:

**ASSIGNMENT**

Docket No. 40300-10457

Serial No. 29/226,416Filed: 03/29/2005

In consideration of One Dollar and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned inventor, or each inventor if more than one, hereby assigns to PEPSICO, INC., a North Carolina corporation, having a principal place of business at 700 Anderson Hill Road, Purchase, NY 10577, its successors and assigns (hereinafter called "said assignee"), the entire right, title and interest in the invention or improvements (hereinafter called "said invention") of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

**BOTTLE**

executed by the undersigned on the \_\_\_\_ day of \_\_\_\_\_, 2005, and in said application and any and all other applications for United States Letters Patent, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States which may be obtained on any of said applications, and in any reissue or extension thereof.


The undersigned hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to said assignee.

The undersigned hereby authorizes and requests the attorneys of record in said application to insert in this assignment the date and serial number of said application.

For said consideration, the undersigned hereby agrees, upon the request and at the expense of said assignee, to execute any divisional, continuation or substitute application for said invention or improvements, and any oath, declaration or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and, in the event of any application or Letters Patent assigned herein becoming involved in Interference, to cooperate to the best of the ability of the undersigned in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof. The undersigned agrees to perform, upon request, any affirmative acts to obtain said Letters Patent of the United States and vest in said assignee all rights therein, whereby said Letters Patent will be held and enjoyed by said assignee, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

And for said consideration, the undersigned hereby assigns to said assignee the entire right, title and interest in said invention or improvements for all foreign countries, including all priority rights under the International Convention, and agrees to execute, at the request of said assignee, all documents in connection with any application for foreign letters patent therefor.

Date \_\_\_\_\_

  
\_\_\_\_\_  
ROBERT LE BRAS-BROWN

STATE OF NEW YORK)

) ss:

COUNTY OF \_\_\_\_\_)

Before me, a Notary Public in and for the County and State aforesaid, appeared ROBERT LE BRAS-BROWN to me personally known to be the signer, or signers, of the foregoing instrument, and acknowledged



execution of said instrument as a free and voluntary act for the uses and purposes therein expressed.

\_\_\_\_\_  
Notary Public  
My Commission Expires:

Date \_\_\_\_\_ X \_\_\_\_\_  
ALEX E. GNANN

STATE OF NEW YORK )  
COUNTY OF \_\_\_\_\_ ) ss:

Before me, a Notary Public in and for the County and State aforesaid, appeared ALEX E. GNANN to me personally known to be the signer, or signers, of the foregoing instrument, and acknowledged execution of said instrument as a free and voluntary act for the uses and purposes therein expressed.

\_\_\_\_\_  
Notary Public  
My Commission Expires:

Date \_\_\_\_\_ X \_\_\_\_\_  
STUART LESLIE

STATE OF NEW YORK )  
COUNTY OF \_\_\_\_\_ ) ss:

Before me, a Notary Public in and for the County and State aforesaid, appeared STUART LESLIE to me personally known to be the signer, or signers, of the foregoing instrument, and acknowledged execution of said instrument as a free and voluntary act for the uses and purposes therein expressed.

\_\_\_\_\_  
Notary Public  
My Commission Expires:

Date

CARLOS RICARDO

STATE OF NEW YORK)

) ss:

COUNTY OF \_\_\_\_\_ )

Before me, a Notary Public in and for the County and State aforesaid, appeared CARLOS RICARDO to me personally known to be the signer, or signers, of the foregoing instrument, and acknowledged execution of said instrument as a free and voluntary act for the uses and purposes therein expressed.

Notary Public

My Commission Expires:

Date

TAEK KIM

STATE OF NEW YORK)

) ss:

COUNTY OF NEW YORK )

Before me, a Notary Public in and for the County and State aforesaid, appeared TAEK KIM to me personally known to be the signer, or signers, of the foregoing instrument, and acknowledged execution of said instrument as a free and voluntary act for the uses and purposes therein expressed.

Notary Public

My Commission Expires:

**KENNETH A. EDELSTEIN**  
Notary Public, State of New York  
No. 01ED6041732  
Qualified in New York County  
Commission Expires May 15, 2006

**ASSIGNMENT**

Docket No. 40300-10457

Serial No. 29/226,416Filed: 03/29/2005

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**BOTTLE**

executed by the undersigned on the \_\_\_\_ day of \_\_\_\_\_, 2005, and in said application and any and all other applications for United States Letters Patent, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States which may be obtained on any of said applications, and in any reissue or extension thereof.

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Date

X  
\_\_\_\_\_  
ROBERT LE BRAS-BROWN

STATE OF NEW YORK)

) ss:

COUNTY OF \_\_\_\_\_)

Before me, a Notary Public in and for the County and State aforesaid, appeared ROBERT LE BRAS-BROWN to me personally known to be the signer, or signers, of the foregoing instrument, and acknowledged

execution of said instrument as a free and voluntary act for the uses and purposes therein expressed.

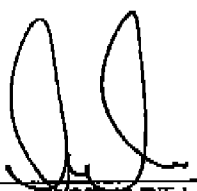
\_\_\_\_\_  
Notary Public  
My Commission Expires:

\_\_\_\_\_  
Date  
X  
\_\_\_\_\_  
ALEX E. GNANN

STATE OF NEW YORK )  
COUNTY OF \_\_\_\_\_ ) ss:

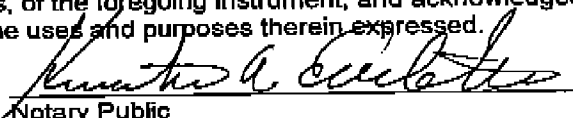
Before me, a Notary Public in and for the County and State aforesaid, appeared ALEX E. GNANN to me personally known to be the signer, or signers, of the foregoing instrument, and acknowledged execution of said instrument as a free and voluntary act for the uses and purposes therein expressed.

\_\_\_\_\_  
Notary Public  
My Commission Expires:

5/3/05  
Date  
X  
  
\_\_\_\_\_  
STUART LESLIE

STATE OF NEW YORK )  
COUNTY OF NEW YORK ) ss

Before me, a Notary Public in and for the County and State aforesaid, appeared STUART LESLIE to me personally known to be the signer, or signers, of the foregoing instrument, and acknowledged execution of said instrument as a free and voluntary act for the uses and purposes therein expressed.

  
\_\_\_\_\_  
Notary Public  
My Commission Expires:

**KENNETH A. EDELSTEIN**  
Notary Public, State of New York  
No. 01ED6041732  
Qualified in New York County  
Commission Expires May 15, 2006



X  
Date \_\_\_\_\_ CARLOS RICARDO

STATE OF NEW YORK)  
COUNTY OF \_\_\_\_\_ ) ss:

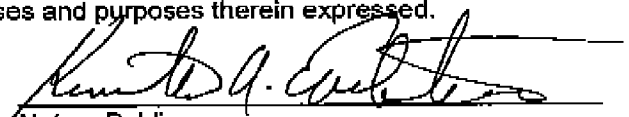
Before me, a Notary Public in and for the County and State aforesaid, appeared CARLOS RICARDO to me personally known to be the signer, or signers, of the foregoing instrument, and acknowledged execution of said instrument as a free and voluntary act for the uses and purposes therein expressed.

\_\_\_\_\_  
Notary Public  
My Commission Expires:

05-03-05  
Date \_\_\_\_\_ TAEK KIM

STATE OF NEW YORK)  
COUNTY OF NEW YORK ) ss:

Before me, a Notary Public in and for the County and State aforesaid, appeared TAEK KIM to me personally known to be the signer, or signers, of the foregoing instrument, and acknowledged execution of said instrument as a free and voluntary act for the uses and purposes therein expressed.

  
\_\_\_\_\_  
Notary Public  
My Commission Expires:

**KENNETH A. EDELSTEIN**  
Notary Public, State of New York  
No. 01ED6041732  
Qualified in New York County  
Commission Expires May 15, 2006

