

04-20-2005

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office



ET

4/18/05

102985084

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Randolfo Fernandez 3/28/2005
Rudy C. Matousek-SEVR 3/22/2005
David Hill 3/22/2005
James Moore 3/22/2005

Execution Date(s) _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Seyern Trent De Nora, a Texas Corporation

Internal Address: _____

Street Address: 1110 Industrial Blvd.

City: Sugarland

State: Texas

Country: _____ Zip: 77478

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s) 11/037,642

☐ This document is being filed together with a new application.

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Jo Katherine D'Ambrosio

D'Ambrosio & Associates, P.L.L.C.

Internal Address: _____

Street Address: 10260 Westheimer Road, Suite 465

City: Houston

State: Texas Zip: 77042

Phone Number: 713-975-0800

Fax Number: 713-975-0995

Email Address: jokat@patents-trademark.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number _____

Authorized User Name _____

9. Signature:

Jo Katherine D'Ambrosio

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents:

9

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:

Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

04/19/2005 EC00PER 00000132 11037642

01 FC:8021

(40.00 DP)

PATENT
REEL: 016462 FRAME: 0277

ASSIGNMENT

FOR the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged,

ASSIGNOR: Randolfo Fernandez

do hereby SELL, ASSIGN AND TRANSFER to

ASSIGNEE Severn Trent De Nora
 1110 Industrial Blvd.
 Sugarland, Texas 77478
 USA

and the successors and legal representatives of the ASSIGNEE, an undivided One Hundred per cent (100%) right, title and interest for the United States, its territorial possessions and for any and all foreign countries, including all rights to claim priority and to any and all improvements which are disclosed in the invention and application for a patent, which is executed by the ASSIGNOR herewith and is entitled **SYSTEM AND METHOD FOR TREATMENT OF BALLAST WATER**, Serial No. 11/037,642 and to all Letters Patent to be obtained for said invention by the above application and all divisional, continuing, substitute, renewal, reissue and other applications for patent which have been or shall be filed in the United States or any foreign country on any of such improvements and all original and reissued patents which have been or shall be issued in the United States or any foreign country on such improvements for the full term(s) for which the same may be granted;

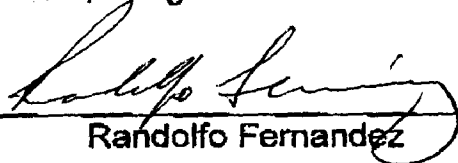
AUTHORIZE AND REQUEST the Patent and Trademark Office to issue any and all such patents granted on such improvements to the ASSIGNEE;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the ASSIGNOR, and that the full right to convey the same as herein expressed is possessed by the ASSIGNOR;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or her legal representative any and all papers, documents instruments of affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent, and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

ASSIGNOR further covenants that, when requested and at the expense of the ASSIGNEE, to carry out in good faith the intent and purpose of this assignment, the ASSIGNOR will execute and deliver to the ASSIGNEE all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements, execute and deliver to the ASSIGNEE all rightful oaths, declarations, assignments, powers of attorney and other papers, communicate to the ASSIGNEE all facts known to the ASSIGNOR relating to such improvements and the history thereof, and generally do everything possible which the ASSIGNEE shall consider desirable for vesting title to such improvements in the ASSIGNEE, and for securing, maintaining and enforcing proper patent protection for such improvements;

SAID ASSIGNMENT TO BE BINDING on the heirs, assigns, representatives and successors of the ASSIGNOR and extend to the successors, assigns and nominees of the ASSIGNEE.


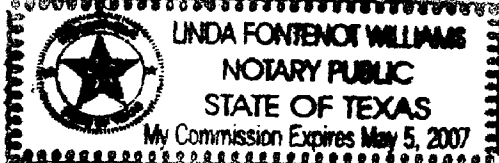

Randolfo Fernandez

28 Apr 05
Date

STATE OF Texas §
COUNTY OF Harris §

BEFORE ME, the undersigned authority, on this day personally appeared, the above named individual, to me known to be the person who is described in and who executed the foregoing assignment instrument and acknowledged to me that he executed the same of his own free will for the purpose therein expressed.

Signature of Notary Public

ASSIGNMENT

FOR the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged,

ASSIGNOR: David W. Hill

do hereby SELL, ASSIGN AND TRANSFER to

ASSIGNEE Severn Trent De Nora
 1110 Industrial Blvd.
 Sugarland, Texas 77478
 USA

and the successors and legal representatives of the ASSIGNEE, an undivided One Hundred per cent (100%) right, title and interest for the United States, its territorial possessions and for any and all foreign countries, including all rights to claim priority and to any and all improvements which are disclosed in the invention and application for a patent, which is executed by the ASSIGNOR herewith and is entitled **SYSTEM AND METHOD FOR TREATMENT OF BALLAST WATER**, Serial No. 11/037,642 and to all Letters Patent to be obtained for said invention by the above application and all divisional, continuing, substitute, renewal, reissue and other applications for patent which have been or shall be filed in the United States or any foreign country on any of such improvements and all original and reissued patents which have been or shall be issued in the United States or any foreign country on such improvements for the full term(s) for which the same may be granted;

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D. W. Hill
David W. Hill

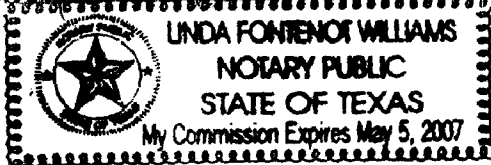
3/22/05
Date

STATE OF TEXAS

COUNTY OF Fort Bend

BEFORE ME, the undersigned authority, on this day personally appeared, the above named individual, to me known to be the person who is described in and who executed the foregoing assignment instrument and acknowledged to me that he executed the same of his own free will for the purpose therein expressed.

Signature of Notary Public

Linda Fontenot Williams

LINDA FONTENOT WILLIAMS
NOTARY PUBLIC
STATE OF TEXAS
My Commission Expires May 5, 2007

ASSIGNMENT

FOR the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged,

ASSIGNOR: Rudolf C. Matousek

do hereby SELL, ASSIGN AND TRANSFER to

ASSIGNEE Severn Trent De Nora
 1110 Industrial Blvd.
 Sugarland, Texas 77478
 USA

and the successors and legal representatives of the ASSIGNEE, an undivided One Hundred per cent (100%) right, title and interest for the United States, its territorial possessions and for any and all foreign countries, including all rights to claim priority and to any and all improvements which are disclosed in the invention and application for a patent, which is executed by the ASSIGNOR herewith and is entitled **SYSTEM AND METHOD FOR TREATMENT OF BALLAST WATER**, Serial No. 11/037,642 and to all Letters Patent to be obtained for said invention by the above application and all divisional, continuing, substitute, renewal, reissue and other applications for patent which have been or shall be filed in the United States or any foreign country on any of such improvements and all original and reissued patents which have been or shall be issued in the United States or any foreign country on such improvements for the full term(s) for which the same may be granted;

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Rudolf C. Matousek

Rudolf C. Matousek

March 22, 2005

Date

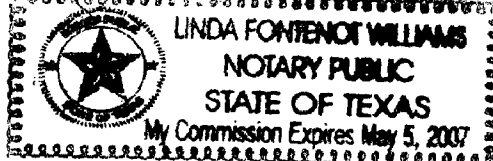
STATE OF Texas §

COUNTY OF Fort Bend §

BEFORE ME, the undersigned authority, on this day personally appeared, the above named individual, to me known to be the person who is described in and who executed the foregoing assignment instrument and acknowledged to me that he executed the same of his own free will for the purpose therein expressed.

Signature of Notary Public

Linda Fontenot Williams



ASSIGNMENT

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ASSIGNOR: James B. Moore

do hereby SELL, ASSIGN AND TRANSFER to

ASSIGNEE Severn Trent De Nora
1110 Industrial Blvd.
Sugarland, Texas 77478
USA

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James B. Moore

MAR 22, 2005
Date

STATE OF Texas §
COUNTY OF Fort Bend §

BEFORE ME, the undersigned authority, on this day personally appeared, the above named individual, to me known to be the person who is described in and who executed the foregoing assignment instrument and acknowledged to me that he executed the same of his own free will for the purpose therein expressed.

Signature of Notary Public



