

04-27-2005

DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

RECORDATION

102989431

PATENTS ONLY

Docket No.: AP35988 072419.0121

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

LYNDA S. OSTEDGAARD, MICHAEL J. WELSH and
MARK F. STINSKI

Execution Date(s) 2/8/05, 2/14/05 and 2/22/05 respectively

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: UNIVERSITY OF IOWA RESEARCH FOUNDATION

Internal Address: _____

Iowa City, Iowa 52242

Street Address: 214 Technology Innovation Center

City: Iowa City

State: Iowa

Country: U.S.A. Zip: 52242

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

11/005,410, filed December 6, 2004

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Arent Fox PLLC

Internal Address: _____

Street Address: 1675 Broadway

25th Floor

City: New York

State: New York Zip: 10019-5820

Phone Number: 212.484.3945

Fax Number: 212.484.3990

Email Address: _____

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 02-4377

Authorized User Name Baker Botts L.L.P.

9. Signature: _____

Signature

04/19/2005

Date

04/26/2005 6TOM11

00000116 024377

11005410

Lisa B. Kole

01 RC:8021

(40.00 DA)

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 1

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

PATENT
REEL: 016488 FRAME: 0570

RECORDATION FORM COVER SHEET
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

10. Additional Names of assigning party(ies):

10a. Additional Names of receiving party(ies):

11. Application number(s) or registration number(s):

A. Patent Application No.(s)

B. Patent Registration No.(s)

Page ____ of ____

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Alexandria, VA 22313-1450

A S S I G N M E N T

WHEREAS, WE, LYNDA S. OSTEDGAARD, MICHAEL J. WELSH and MARK F. STINSKI, citizens of United States of America, whose post office addresses are 1701 Ridge Road, Iowa City, Iowa 52245-1631; 3460 560th Street SW, Riverside, Iowa 52327-9763; and 3590 Point Road NE, North Liberty, Iowa 52317-9361; respectively, have made an invention entitled "TRUNCATED CMV PROMOTERS AND VECTORS CONTAINING SAME" as set forth and described in application for Letters Patent of the United States Serial No. 11/005,410, filed December 6, 2004; and

WHEREAS, The University of Iowa Research Foundation, a corporation organized and existing under the laws of the State of Iowa, and having an office for the transaction of business at 214 Technology Innovation Center, Iowa City, Iowa 52242, is desirous of acquiring the entire right, title and interest in and to said invention and any improvements thereon, and in and to the said application for Letters Patent therefor, and any Letters Patent which may be obtained therefor;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that WE, the said LYNDA S. OSTEDGAARD, MICHAEL J. WELSH, and MARK F. STINSKI, for and in consideration of the sum of One Dollar (\$1.00), lawful money of the United States, to me in hand paid by said The University of Iowa Research Foundation, and other valuable considerations unto us moving from said The University of Iowa Research Foundation, at or before the ensealing and delivery of these presents, the receipt of which is hereby acknowledged, have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said The University of Iowa Research Foundation, its successors and assigns, the entire right, title and interest in and to the said invention entitled "TRUNCATED CMV PROMOTERS AND VECTORS CONTAINING SAME" as set forth and described in application for Letters Patent of the United States Serial No. 11/005,410, filed December 6, 2004, and any and all improvements thereon, and in and to said application and any division, continuation or continuation-in-part thereof, and in and to any Letters Patent of the United States which may be issued on any of said applications, and any reissues thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements, including all priority rights under the Paris Convention, and any and all Letters Patent which may be granted in foreign countries therefor, TO HAVE AND TO HOLD THE SAME to the full end of the term or terms for which any and all said Letters Patent may be granted;

AND WE, the said LYNDA S. OSTEDGAARD, MICHAEL J. WELSH, and MARK F. STINSKI, do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States to said The University of

NY02:510688.1

Iowa Research Foundation, as the assignee of the entire right, title and interest in and to the same, for the sole use and benefit of said The University of Iowa Research Foundation, its successors and assigns;

AND WE, the said LYNDA S. OSTEDGAARD, MICHAEL J. WELSH, and MARK F. STINSKI,, for the considerations aforesaid, do hereby covenant and agree to and with said The University of Iowa Research Foundation, its successors and assigns, that we have the full power to make this assignment, and that the rights assigned are not encumbered by any grant, license or right heretofore given, and that we, our executors or administrators, shall and will do all lawful acts and things and make, execute and deliver without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments, and other documents which, in the opinion of counsel for said The University of Iowa Research Foundation, its successors and assigns, may be required or necessary to more effectively secure to and vest in said The University of Iowa Research Foundation, its successors and assigns, the entire right, title and interest in and to said invention and improvements, applications, Letters Patent, rights, benefits, privileges and advantages hereby sold, assigned, transferred and conveyed, and that we will sign any applications for reissue which may be desired by the owner of the patent or patents which may be issued for the said invention or improvements.

IN WITNESS WHEREOF, WE, the said LYNDA S. OSTEDGAARD, MICHAEL J. WELSH, and MARK F. STINSKI, have hereunto set our hand and seal on the date below written.

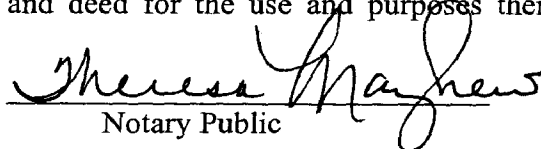

Lynda S. Ostedgaard

STATE OF Iowa)

: ss.:

COUNTY OF Johnson

BE IT KNOWN, that on this 8th day of February, 2005, before me personally came Lynda S. Ostedgaard, to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.


Notary Public

10/4/05

NY02:510688.1

-2-



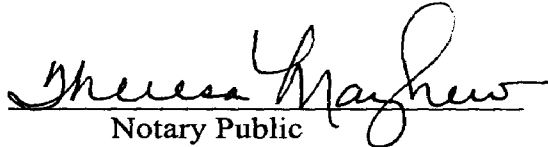
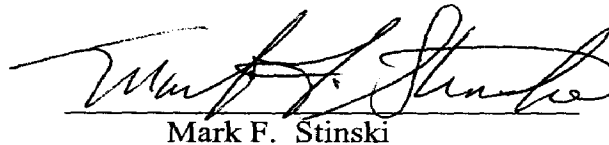
Michael J. Welsh

STATE OF *Iowa*)

: ss.:

COUNTY OF *Johnson*)

10-4-05
BE IT KNOWN, that on this 14th day of February, 2005, before me personally came Michael J. Welsh, to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and she acknowledged to me that she executed the same as her free act and deed for the use and purposes therein mentioned.

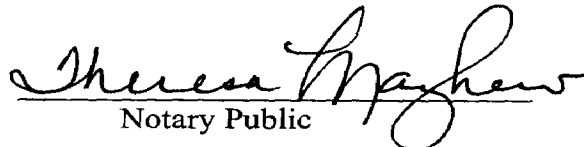

Notary Public
Mark F. Stinski

STATE OF *Iowa*)

: ss.:

COUNTY OF *Johnson*)

BE IT KNOWN, that on this 22nd day of February, 2005, before me personally came Mark F. Stinski, to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.


Notary Public

10-4-05

NY02:510688.1

-3-