

RECORDATION

04-28-2005



102990434

Docket No.: LUX-P039 **GNMENT**



Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

05 FC:8021

Please record the attached original documents or copy thereof.

40.00 OP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

		1. Name of conveying party:		Execution Date:		
	Inventor (s):	Peterson, Mark Harrison, Mark		2/25/05		
			2/25/05			
	Serial No:	Not Yet Assigned	Group Art Unit:	(Not yet assigned)		
	Filed:	<u>4/18/2005</u>	Examiner:	(Not yet assigned)		
	2. Name and	Address of				
	Receiving party: Luxtera, Inc					
	*.	1819 Aston Avenu	ue, Ste 102			
		Carlsbad, CA 920				
	3. Nature of C	Conveyance: Assignment				
	4. Patent App	lication(s) / Patent Number(s	s): (not yet assigned)			
	New Patent Application Entitled:					
	"PLC fo	or Connecting Optica	al Fibers to Optic	al or Optoelectronic Devices"		
	5. Name and address of party to whom correspondences concerning document should be mailed:					
	Name:	DENNIS S. FERNANDEZ	Z, ESQ.			
	Customer No		,			
	Address:	FERNANDEZ & ASSOC	IATES, LLP			
	PATENT ATTORNEYS PO BOX D					
		PHONE: (650) 325-4999 FA				
		EMAIL: iploft@iploft.com	(333)			
	6. Total Number of applications and patents involved: ONE					
	7. Fee Calculation					
		Assignment Recordation Fee \$40.00				
	Total Fees (37 CFR 3.41): \$40.00 <u>X</u> Enclosed					
	Authorized to be charged to Deposit Account					
	8. Deposit Account Number:					
	In case Applicant inadvertently miscalculated any required fee, the Commissioner is hereby authorized to charge					
	the necessar	ry additional amount associa	ted with this communic	ation or credit any overpayment to Deposit		
	Account No: <u>500482</u> . A <u>duplicate copy</u> of this authorization is enclosed.					
	9. Statement and signature:					
	To the best	To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a				
	true appy of	the original document.				
	(1)					
	_\\\\	4		Date: 4/18/05		
		. FERNANDEZ, ESQ				
A4 (A4 (# -	Reg. No. 34					
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PATENT

REEL: 016493 FRAME: 0039

Docket No.: LUX-P039

ASSIGNMENT

Whereas, We, MARK PETERSON, AND MARK HARRISON, having agreed to assign the below identified invention to LUXTERA, INC ("LUXTERA"), a Delaware corporation having its principal place of business at 1819 Aston Avenue, Carlsbad, CA 92008, Suite 102 for good and valuable consideration, receipt of which is hereby acknowledged, I do hereby sell, assign, and transfer unto LUXTERA, its successors and assigns, the entire right, title, and interest, including the right of priority in, to and under an application for Letters Patents of the United States entitled:

PLC FOR CONNECTING OPTICAL FIBERS TO OPTICAL OR OPTOELECTRONIC DEVICES

X filed herewith and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor; or
Serial No.:, filed on and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor;
And for the above consideration, we agree promptly upon request of LUXTERA, its successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional, or reissue, or other papers which may be necessary or desirable fully to secure to LUXTERA, its successors and assigns, the inventions described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication or reexamination of said Letters Patent.
Inventor's Signature:
Inventor's Signature: Date: 2/25/05 MARK HARRISON

RECORDED: 04/18/2005

PATENT REEL: 016493 FRAME: 0040