

### RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patents and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)/Execution Date(s):**

William V. Williams

Execution Date(s) 08/11/1995

Additional name of conveying party(ies) attached?  Yes  No

**2. Name and address of receiving party(ies)**

Name: The Trustees of the University of Pennsylvania

Internal Address: \_\_\_\_\_

Street Address: 34<sup>th</sup> & Walnut Streets

City: Philadelphia

State: PA

Country: US

Zip: 19104

Additional Name(s) & address(es) attached?  Yes  No

**3. Nature of conveyance:**

- Assignment  Merger
- Security Agreement  Change of Name
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other \_\_\_\_\_

**4. Application number(s) or patent number(s):**

A. Patent Application No.(s)  
09/359,975

This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached?  Yes  No

**5. Name and address of party to whom correspondence concerning this document should be mailed:**

Name: Daniel M. Scolnick, Ph.D.

Internal Address: Cozen O'Connor

Street Address: 1900 Market Street

City: Philadelphia

State: PA

Zip: 19103

Phone Number: 215.665.6928

Fax Number: 215.665.2013

Email Address: DSCOLNICK@COZEN.COM

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 50-1275  
Authorized User Name Cozen O'Connor

**9. Signature :**



May 27, 2005

Signature

Date

Daniel M. Scolnick, Ph.D.

Total number of pages including cover sheet, attachments, and documents 4

Name of Person Signing

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 601276 09369976

## PATENT

DOCKET NO.: UPAP-0005

(Pending Application;  
Serial No. 08/125,012)A S S I G N M E N T

WHEREAS, I, William V. Williams, herein after referred to as the assignor, residing at 25 Sycamore Road, Havertown, Pennsylvania 19083, am a co-inventor of certain inventions or improvements together with David B. Weiner, Bin Wang and Leslie Coney for which we have made application for Letters Patent of the United States, identified as Serial No. 08/125,012, filed September 21, 1993, entitled GENETIC IMMUNIZATION; and

WHEREAS, The Trustees of the University of Pennsylvania, hereinafter referred to as the assignee, of Philadelphia, Pennsylvania, a non-profit corporation of Pennsylvania is desirous of acquiring my entire right, title and interest in and to the said inventions or improvements and in and to the said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries:

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) to me in hand paid by said assignee, and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the said assignor, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, to said assignee, my entire right, title and interest in and to said inventions or improvements and said application and any and all continuations, divisions and renewals of and substitutes for said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in the United States and any and all other countries, and any re-issue or re-issues or extension or extensions of said Letters Patent, and assign to and authorize said assignee to file in my name applications for Letters Patent in all countries, the same to be held and enjoyed by said assignee, its successors, assigns, nominees or legal representatives, to the full end of the term or terms for which said Letters Patent respectively may be granted, reissued or extended, as fully and entirely as the same would have been held and enjoyed by me had this assignment, sale and transfer not been made.

AND I hereby covenant that I have full right to convey my entire interest herein assigned, and that I have not executed and will not execute any agreement in conflict herewith, and I further covenant and agree that I will each time request is made and without undue delay, execute and deliver all such papers as may be necessary or desirable to perfect the title to said inventions or improvements, said application and said Letters

PATENT

Patent to said assignee, its successors, assigns, nominees or legal representatives, and I agree to communicate to said assignee, or to its nominee all known facts respecting said inventions or improvements, said application and said Letters Patent, to testify in any legal proceedings, to sign all lawful papers, to execute all disclaimers and divisional, continuing, reissue and foreign applications, to make all rightful oaths, and generally to do everything possible to aid said assignee, its successors, assigns, nominees and legal representatives to obtain and enforce for its or their own benefit proper patent protection for said inventions or improvements in any and all countries.

AND I hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents of applications as aforesaid, to issue to said assignee, as assignee of my entire right, title and interest, any and all Letters Patent for said inventions or improvements, including any and all Letters Patent of the United States which may be issued and granted on or as a result of the application aforesaid, in accordance with the terms of this assignment.

IN WITNESS WHEREOF, I have hereunto set my hand and seal.

*William V. Williams*  
\_\_\_\_\_ (L.S.)

Pennsylvania  
COUNTY OF Philadelphia SS

On this 11<sup>th</sup> day of August 1995, before me personally came the above named William V. Williams, to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.

*Telesia J. Williams*  
Notary Public

