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PATENTS



102999678

To the Director of the U.S. Patent and Trademark Office: Please record the attached document(s) below.

1. Name of conveying party(ies)/Execution Date(s):

Naoya WATANABE and
Osamu TAKAOKA

Execution Date(s) APRIL 22, 2005

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: SII NanoTechnology Inc.

Internal Address: _____

Street Address: 8, Nakase 1-chome, Mihama-ku

Chiba-shi

City: Chiba

State: _____

Country: JAPAN

Zip: 261-8507

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

11/096,875

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Bruce L. Adams

Internal Address: _____

Street Address: Adams & Wilks

50 Broadway - 31st Floor

City: New York

State: New York Zip: 10004

Phone Number: (212) 809-3700

Fax Number: (212) 809-3704

Email Address: _____

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☒ ANY DEFICIENCY IN ENCLOSED FEE
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____

Expiration Date _____

b. Deposit Account Number 01-0268

Authorized User Name Bruce L. Adams

9. Signature:

Bruce L. Adams
Signature

MAY 5, 2005

Date

05/10/2005 DDYRNE 00000134 11096875

01 FC:0021

Bruce L. Adams

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 2

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

MAILING CERTIFICATE ON
REVERSE SIDE OF PAGE

PATENT

REEL: 016534 FRAME: 0711

ASSIGNMENT

WHEREAS, we, Naoya WATANABE and Osamu TAKAOKA residing at c/o SII NanoTechnology Inc., 8, Nakase 1-chome, Mihama-ku, Chiba-shi, Chiba, Japan have invented new and useful improvements in

SCANNING PROBE DEVICE AND PROCESSING METHOD BY SCANNING PROBE

for which we have prepared an application for Letters Patent of the United States of America;

AND WHEREAS, SII NanoTechnology Inc., located and doing business at 8, Nakase 1-chome, Mihama-ku, Chiba-shi, Chiba, Japan, is desirous of acquiring an interest therein and in the Letters Patent to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern, that for and in consideration of Ten Dollars (\$10.00) and other good and valuable consideration to us in hand paid, the receipt of which is hereby acknowledged, we have assigned, sold and transferred and by these presents do hereby assign, sell and transfer unto the said SII NanoTechnology Inc. for the territory of the United States of America, our entire right, title and interest in and to the said invention, and in and to the said application, prepared and executed by us on April 22, 2005, filed April 1, 2005, Serial No. 11/096,875, preparatory to obtaining Letters Patent thereon and in and to any and all divisions, reissues and continuations thereof, and in and to any Letters Patent that may be granted thereon; said invention, application and Letters Patent to be held and enjoyed by the said SII NanoTechnology Inc., its legal representatives and assigns, as fully and entirely as the same would have been held by us had this assignment, sale and transfer not been made.

AND, we hereby covenant and agree that we will at any time upon the request and at the expense of said assignee execute and deliver any and all papers and do all lawful acts that may be necessary or desirable to perfect the title to said invention and to obtain Letters Patent therefor, and we hereby authorize and request the Honorable Commissioner of Patents and Trademarks to issue the said Letters Patent to the said SII NanoTechnology Inc. in accordance with this assignment.

Executed this 22nd day of April, 2005 at _____.

Witness:

Masaki HORI
Masaki HORI

Naoya Watanabe (L.S.)
Naoya WATANABE

Osamu Takaoka (L.S.)
Osamu TAKAOKA