

Form PTO-1595 (Rev. 03/05)  
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Howard E. Katz  
Masato Ofuji

**2. Name and address of receiving party(ies)**

Name: Lucent Technologies Inc.

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Street Address: 600 Mountain Avenue

City: Murray Hill

State: NJ

Country: USA Zip: 07974-0636

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) June 4, 2005 & June 12, 2005

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.  
 A. Patent Application No.(s)  
11/095,014  
 B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Jay M. Brown

Internal Address: \_\_\_\_\_

Street Address: 10453 Raintree Lane

City: Northridge

State: CA Zip: 91326

Phone Number: 919-313-6160

Fax Number: 919-313-6170

Email Address: lmb@eclipsegrp.com

**6. Total number of applications and patents involved:** One**7. Total fee (37 CFR 1.21(h) & 3.41) \$** 40

- ☒ Authorized to be charged by credit card  
☐ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers 0440  
 Expiration Date 05/08

b. Deposit Account Number 50-2542

Authorized User Name The Eclipse Group

**9. Signature:**


Signature

7/18/05

Date

Jay M. Brown  
 Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

5

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:  
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

OP \$40.00 11095014

700195827

**PATENT**  
**REEL: 016542 FRAME: 0777**

Katz 56-1

**ASSIGNMENT AND AGREEMENT**

For value received, we, Howard E. Katz of Summit in the County of Union and the State of New Jersey, and Masato Ofuji, of Urban Mori 201, 578 Kizuki, Nakahara-ku, Kawasaki, Kanagawa 211-0025, JAPAN, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey, 07974-0636, U.S.A. and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to (1) **ACTIVE DEVICES AND METHODS FOR MAKING THE SAME** described in U.S. Patent Application Serial No. 60/665,245 filed on March 25, 2005; and (2) **ACTIVE ORGANIC SEMICONDUCTOR DEVICES AND METHODS FOR MAKING THE SAME** described in U.S. Patent Application Serial No. 11/095,014 filed on March 31, 2005, and all the rights and privileges in said applications and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the applications aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make applications for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

<sup>2</sup> Howard E. Katz Katz 56-1  
Howard E. Katz

Date: June 4, 2005

United States of America )

State of New Jersey ) ss.:

County of Union )

On this 4 day of June, 2005, before me personally came Howard E. Katz, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Klaudia Rychlicki  
Notary Public  
**KLAUDIA RYCHLICKI**  
**NOTARY PUBLIC OF NEW JERSEY**  
**My Commission Expires 12/02/2007**

\*\*\*\*\*

Masato Ofuji

Date: \_\_\_\_\_

Lucent Technologies Inc.  
Docket Administrator (Room 3J-219)  
Lucent Technologies Inc.  
101 Crawfords Corner Road  
Holmdel, NJ 07733-3030

Katz 56-1

**ASSIGNMENT AND AGREEMENT**

For value received, we, Howard E. Katz of Summit in the County of Union and the State of New Jersey, and Masato Ofuji, of Urban Mori 201, 578 Kizuki, Nakahara-ku, Kawasaki, Kanagawa 211-0025, JAPAN, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey, 07974-0636, U.S.A. and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to (1) **ACTIVE DEVICES AND METHODS FOR MAKING THE SAME** described in U.S. Patent Application Serial No. 60/665,245 filed on March 25, 2005; and (2) **ACTIVE ORGANIC SEMICONDUCTOR DEVICES AND METHODS FOR MAKING THE SAME** described in U.S. Patent Application Serial No. 11/095,014 filed on March 31, 2005, and all the rights and privileges in said applications and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the applications aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

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2

Katz 56-1

Howard E. Katz

Date: \_\_\_\_\_

United States of America )

State of \_\_\_\_\_ ) ss.:

County of \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 2005, before me personally came Howard E. Katz, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

\_\_\_\_\_  
Notary Public

\*\*\*\*\*

大藤 将人  
Masato Ofuji

Date: 6/12/05

Lucent Technologies Inc.  
Docket Administrator (Room 3J-219)  
Lucent Technologies Inc.  
101 Crawfords Corner Road  
Holmdel, NJ 07733-3030