

10/4/04

A/D581-40

10/510063

ASSIGNMENT RECORDATION COVER SHEET

Patents Only

DT04 Rec'd PCT/PTO 04 OCT 2004

05-13-2005

To the Director of the Patent and Trademark Office
Please record the attached document.



Patent Dkt. No.: 048777/283016

1. Name of conveyin

103002510

Name and address of receiving party(ies):

1. James H. Johnson
2. Richard J. Pariza
3. Rex T. Gallagher

Natural Pharmaceuticals, Inc.
100 Cummings Center, Suite 414G
Beverly, MA 01915

Additional name(s) of conveying party(ies) attached? Yes ☐ No ☒

3. Nature of conveyance:

- ☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other _____

Execution Date: 1. 10-1-04, 2. 9-27-04, 3. 10-1-04

Additional name(s) & address(es) attached? Yes ☐ No ☒

4. Application No. _____

Patent No. _____

If this document is being filed together with a new application, the execution date of the application is:

Additional numbers attached? Yes ☐ No ☒

5. Name and address of party to whom correspondence concerning document should be mailed:

ALSTON & BIRD LLP
Bank of America Plaza
101 South Tryon Street, Suite 4000
Charlotte, NC 28280-4000

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) \$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number: 16-0605

DO NOT USE THIS SPACE

9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Bryan W. Schaefer
Name of Person Signing: Bryan W. Schaefer
Reg. No. 54,424

Oct. 4, 2004
Date

Total number of pages including cover sheet, attachments, and document: 5

USPTO Fax Number for New Assignments (703) 306-5995

PATENT
REEL: 016546 FRAME: 0612

ASSIGNMENT

THIS ASSIGNMENT, made by us, James H. Johnson, citizen of the United States of America, residing at 6 Central Street, Merrimac, Massachusetts 01860; Richard J. Pariza, citizen of the United States of America, residing at 43323 N. Oakcrest Lane, Zion, Illinois 80099; and Rex T. Gallagher, citizen of New Zealand, residing at 22 Old Town Road, Beverly, Massachusetts 01915, respectively;

WITNESSETH: That,

WHEREAS, we are the joint inventors of certain new and useful improvements in SELECTIVE ACYLATION OF SECONDARY HYDROXYL GROUPS for which an application for United States Letters Patent has been executed by us concurrently herewith, and which application claims priority from PCT Application No. PCT/US03/10556, filed April 5, 2003 which claims priority of US Application No. 60/370,252, filed on April 5, 2002, all applications listed above being hereinafter referred to as the "application"; and

WHEREAS, NATURAL PHARMACEUTICALS, INC., having a principal place of business at 100 Cummings Center, Suite 414G, Beverly, Massachusetts 01915, hereinafter referred to as assignee, is desirous of acquiring the entire right, title, and interest in and to said invention as described in said application, and in and to any and all Letters Patent which shall be granted therefor in the United States of America and all foreign countries;

NOW, THEREFORE, To Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we have sold and by these presents do hereby sell, assign, transfer, and convey unto the said assignee, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any and all continuations, continuations-in-part, or divisions thereof, and in and to any and all Letters Patent of the United States of America and all foreign countries or reissues, reexaminations, or extensions thereof which may be granted therefor or thereon, for the full end of the term for which said Letters Patent may be granted, together with the right to claim the priority of said application in all foreign countries in accordance with the International Convention, the same to be held and enjoyed by said assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made.

We hereby request that said Letters Patent be issued in accordance with this assignment.

We further covenant and agree that, at the time of the execution and delivery of these presents, we possess full title to the invention and application above-mentioned, and that we have the unencumbered right and authority to make this assignment.

We further covenant, and agree to bind our heirs, legal representatives, and assigns, promptly to communicate to said assignee or its representatives any facts known to us relating to said invention, to testify in any interference or legal proceedings involving said invention, to execute any additional papers which may be requested to confirm the right of the assignee, its representatives, successors, or assigns to secure patent or similar protection for the said invention in all countries and to vest in the assignee complete title to the said invention and Letters Patent, without further compensation, but at the expense of said assignee, its successors, assigns, and other legal representatives.

IN WITNESS WHEREOF, we have hereunto set our hands and seals on this 1st
day of October, 2004.


James H. Johnson

- 2 -

Sent By: NATURAL PHARMACEUTICALS INC;

978 524 8156;

Oct-1-04 15:48;

Page 4

P. U3

FAX NO. 847 872 6920

SEP-27-04 MON 02:08 AM CP Consulting, Inc.

SENT BY: NATURAL PHARMACEUTICALS INC;

978 524 8156;

Sep-24-04 18:12;

Page 6/6

IN WITNESS WHEREOF, we have hereunto set our hands and seals on this 27th
day of September, 2004.


Richard J. Pariza

- 3 -

IN WITNESS WHEREOF, we have hereunto set our hands and seals on this 1st
day of October, 2004.



Rex T. Gallagher

- 4 -