

05-19-2005

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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below

113013 U.S. PTO  
11/128564

051305

**1. Name of conveying party(ies)/Execution Date(s):**

- 1. Steven Hart Lewis, April 28, 2005
- 2. Kenneth H. Rosen, April 28, 2005

Execution Date(s) \_\_\_\_\_

Additional name(s) of conveying party(ies) attached?  Yes  No

**3. Nature of conveyance:**

- Assignment  Merger
- Security Agreement  Change of Name
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: AT&T Corp.

Internal Address: \_\_\_\_\_

Street Address: 32 Avenue of the Americas

City: New York

State: New York

Country: USA Zip: 10013-2412

Additional name(s) & address(es) attached?  Yes  No

**4. Application or patent number(s):**

This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

05/17/2005 STEUMEL1 00000003 11128564

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40.00 OP

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Mr. S.H. Dworetsky

Internal Address: Room 2A-207

Street Address: One AT&T Way

City: Bedminster

State: New Jersey

Zip: 07921

Phone Number: 908-532-1855

Fax Number: 908-532-1281

Email Address: samuelh@lga.att.com

**6. Total number of applications and patents involved:**

1

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers 5843

Expiration Date 2/28/09

b. Deposit Account Number \_\_\_\_\_

Authorized User Name Thomas M. Isaacson

**9. Signature:**

*Richard C. Irving*  
Signature

May 13, 2005

Date

Richard C. Irving, Reg. No. 38,499

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (703) 306-6995, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**ASSIGNMENT AND AGREEMENT**

For value received, we, Steven Hart Lewis, of Middletown in the County of \_\_\_\_\_ and State of New Jersey and Kenneth H. Rosen, of Middletown in the County of \_\_\_\_\_ and State of New Jersey, hereby sell, assign and transfer to AT&T Corp., a corporation of the State of New York, having an office at 32 Avenue of the Americas, New York, New York 10013-2412, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Apparatus and Method for Speech Recognition Data Retrieval** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to AT&T Corp. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize AT&T Corp. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of AT&T Corp. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to AT&T Corp. in the United States and in all countries foreign to the United States, or to such nominees as AT&T Corp. may designate.

We agree that, when requested, we shall, without charge to AT&T Corp. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

*SH Lewis*

Steven Hart Lewis

Date: 4/28/05

United States of America )

State of *New Jersey* )

County of *Monmouth* )

On this 28 day of April, 2005, before me personally came Steven Hart Lewis, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

*Angela F Smith*

Notary Public

**ANGELA F. SMITH**  
**Notary Public Of New Jersey**  
**Commission Expires 03/06/2007**

*Kenneth H. Rosen*

Kenneth H. Rosen

Date: 4/28/05

United States of America )

State of *New Jersey* )

County of *Monmouth* )

On this 28 day of April, 2005, before me personally came Kenneth H. Rosen, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

*Angela F. Smith*

Notary Public

**ANGELA F. SMITH**  
Notary Public Of New Jersey  
Commission Expires 03/06/2007